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Wednesday, 25 September, 1946 3 INTERNATIONAL MILITARY TRIBUNAL 4 FOR THE FAR EAST Court House of the Tribunal War Ministry Building Tokyo, Japan 6 7 The Tribunal met, pursuant to adjournment, 8 at 0930. 10 11 12 Appearances: For the Tribunal, same as before. 14 For the Prosecution Section, same as before. 15 For the Defense Section, same as before. 16 19 (English to Japanese and Japanese 20 to English interpretation was made by the 21 Language Section, IMTFE.) 22 23 24 25

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MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now in session. THE PRESIDENT: Major Moore.

LANGUAGE ARBITER (Major Moore): If the Tribunal please, with reference to prosecution's document 954, exhibit 479, page 2, paragraph 1, line 4 from the bottom of the paragraph, the expression "along the line of joint defense against the Red Peril" within the slants is a translator's note and should have been so indicated. We recommend that this expression be deleted.

THE FRESIDENT: Well, it must be deleted if it was not in the original but was placed there by the person who made the translation for purposes of this trial.

LANGUAGE ARBITER (Major Moore): With reference to prosecution document 1308, exhibit 527, mage 2, line 3, it is recommended that the word "not" be inserted between the words "scope of" and "being driven."

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that so?

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LANGUAGE ARBITER (Major Moore): I am sorry, sir. My statement was a little inaccurate. The "noty" sir, definitely appears in the original; the negative is in the original. Yes, sir.

THE PRESIDENT: Thank you, Major Moore. Mr. Furness.

MR. FURNESS: If the Tribunal please, I did not understand the ruling on the first recommendation. Is the phrase which was just added by the translator now part of the evidence or is it not?

THE PRESIDENT: I can't understand your attitude. I said plainly it had to be struck out.

MR. FURNESS: I didn't hear you.

THE PRESIDENT: Mr. Logan.

MR. LOGAN: If the Tribunal please, yesterday morning a request was made that the prosecution produce, for cross-examination by the defense, Mr. Ott, and the Court took it under advisement. /nd since yesterday morning there have been many more documents introduced by the prosecution and others have been served on us yesterday which contained many more statements and telegrams made by Ott, and we understand that Mr. Ott has left Tokyo and at the present time is in Peiping. We respectfully request that the Court entertain this request at this time and direct that the prosecution produce him for cross-examination by

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the defense at the earliest possible moment.

THE PRESIDENT: In reply to Mr. Logan, I may say that I might remind him that these documents purporting to be signed by Ambassedor Ott were found in the German Foreign Office, and if Mr. Ott is not in Japan we may be no more inclined to direct that he be called here than we would be inclined to direct that any of the other German officials who signed documents presented in this case be called here. But I haven't considered that yet with my colleagues. However, it is always open to the defense to administer interrogatories: and the Court will do all in its power to have the interrogatories answered. Hitherto we have spoken only of interrogatories to persons who were called here as witnesses but there is no reason why we should not administer interrogatories to others. We realize that Ott was in Tokyo and that his evidence may be more important for the defense, seeing that he made contacts with the accused in Tokyo, than would be the evidence of German officials who remained in Berlin.

Mr. Logan.

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MR. LOGAN: These documents, you Honor, which have been submitted, contain opinions and conclusions by Ambassador Ott and we deem it very important to the defense to find out from him where he obtained his

information on which he based his opinions and conclusions. Of course, the best evidence in this case with respect to these telegrams would have been the production of Ott himself by the prosecution and he could have been examined and testified as to whether or not he actually sent the telegrams. At the present time we must assume he did as his name appears on them, and in the absence of them we feel we should be given the opportunity of cross-examining him. Interrogatories, as your Monors well know, are very unsatisfactory.

THE PRESIDENT: Unless you speak in short sentences and pause for translation it will take an interminable time to put what you say in Japanese.

MR. LOGAN: I might say, if your Honors please, that this is the second witness that has left Tokyo recently that we have been unable to contact and make any inquires from. Now, subpoenss --

THE PRESIDENT: Mr. Logan, let them translate.

MR. LOGAN: Subpoens have heretofore been issued to witnesses in China. I can't see where it makes any difference that this man may be of German origin but he is in China.

THE PRESIDENT: You are treating him as though he was a witness here, or a person who had made an affidavit, a person who had voluntarily offered . . .

information to the prosecution. It is quite usual to call such a person for cross-examination. But, as I pointed out yesterday, this man has not volunterred any evidence. The evidence appears in a captured document. He may be the most hostile person in the world. He is an enemy subject, no doubt. Why should the prosecution treat him as their witness other than as a person making admissions? He is identified with the other side, with the defense, really, in the sense that his associations were with that side of the case, that is to say, with the accused and those about them.

MR. LOGAN: "ell, if your Honor please, the testimony as adduced from these telegrams definitely shows that he formed opinions from information which was given to him by someone. It is extremely difficult to defend a case unless we know the person to whom the witness talked.

THE PRESIDENT: Put it shortly. 'hy should the Allied Powers, the prosecutors here, call their enemies to prove their case? Why shouldn't they rest on their enemy's documents?

MR. LOGAN: They certainly can do that, your Honor, but it seems to me it would be of assistance to the Tribunal and of assistance to the defense to find out where this witness got his information so

1 | that the Court --THE COURT: You are almost asking us to place this proceeding on the level of a friendly civil 4 action. We do not forget it is not. It is a criminal 5 proceeding by the Alli d Powers, the prosecutors, 6 against the accused Japanese in the dock. MR. LOGAN: I fully understand that, your 8 Honor, but it seems to me that this Tribunal would 9 be interested in knowing whether or not this witness, 10 Ott, actually had these conversations, actually received this information, or whether this was some-12 thing he concocted in his own mind for the benefit of 13 his own German government. THE PRESIDENT: The same applies to every other 14 15 German and Japanese official referred to in the docu-16 ments and you would not suggest the prosecution should 17 call all those enemy subjects who, no doubt, are hostile. They must be presumed to be so until we see them here. 19 MR. LOGAN: I am not making such a suggestion, 20 your Honor, but, in view of the fact that the prosecu-21 tion has elected to call one of them, I think it 22 would be of assistance to the Tribunal and to the 23 defense to have him here in person rather than reading 24 telegrams and memoranda made by this particular witness. 25 THE PRESIDENT: Dr. KIYOSE.

DR. KIYOSE: Until recently we are given to understand that General Ott was in Tokyo and if the prosecution administered interrogatories upon this man may we --

THE MONITOR: Correction General Ott is corrected to Mr. Ott or just Ott. "e understand that Ott was in Japan until quite recently. "e would like to know whether the prosecution interrogated Mr. Ott and, if they have done so, we would like to see the report, the interrogation report or paper.

THE PRESIDENT: The defense is at liberty to call him as a witness, or interrogate him in the way we have suggested.

Mr. Tavenner.

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THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: If it please the Tribunal, I will now read from prosecution document 2137-D, in evidence as exhibit 541. This document consists of decisions made by the Conference of Prime Minister KONOYE, Foreign Minister MATSUOKA, War Minister TOJO, and Navy Minister on 4 September 1940, and by the Liaison Conference on 19 September 1940, and relates to the strengthening of the Japan-Germany-Italy Axis. I will begin reading on page 7. Where appendices are referred to in the body of the report, with the Tribunal's permission I will read the appropriate appendix before reading the other parts of the report.

"Decisions made by the Conference of the Prime Minister and the Ministers of War, the Navy and Foreign Affairs on 4 September 1940, and by the Liaison Conference on 19 September 1940.

"Strengthening of the Japan-Germany-Italy Axis.

"The trends toward the strengthening of collaboration among Japan, Germany and Italy have lately become very pronounced, and it is believed that now the time is ripe for speedy initiation of conversations among the three countries on this matter. It is accordingly proposed to commence negotiations in accordance with the under-mentioned Basic Principles, with Germany,

.. .. ..

to start with.

"Basic Principles.

"1. To make a fundamental agreement among the three countries, in order that they shall mutually coonerate by all possible means in the establishment of a New Order in Europe and in Asia.

"2. To carry out consultations among the three countries in as short a period of time as possible in regard to thebest means of the above mentioned cooperation.

"3. To begin with, publicity will be given, at home and abroad, to the purpose in 1 and 2 above, in the form of a joint declaration of the three countries.

"Explanations.

"The German Government has specially sent Minister Stahmer to Japan. Although his mission may merely be to prove the situation here, yet the fact is that he enjoys the special confidence of Foreign Minister Ribbentrop. At this time, therefore, we had better take a step forward and commence talks for the strengthening of the Japan-Germany-Italy axis. In the light of the situation now prevailing in this country and abroad, it is of urgent importance that, to meet the immediate needs of the situation, a joint

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declaration should be issued on the sense of 1 and 2 under the Basic Principles.

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"Inasmuch as the proposed declaration is to the effect that the three countries will cooperate by all possible means for the construction of the respective New Orders, Japan should be resolved, if need be, to take any action, including recourse to armed force. In so far as Britain is concerned Germany may not immediately require our armed cooperation. In this event, our main objective will be the United States. The problem of the Soviet Union will also surely claim deliberation. At any rate, unless we are resolved on the aployment of armed force, it will be impossible for us to carry on any useful talks with Germany.

"The joint declaration mentioned above is preliminary in nature. It should be followed up by negotiations for conclusion of a military agreement. The consultation as to the best means of cooneration, mentioned in 2 under the Basic Principles, signify, in the final analysis, negotiations for military collaboration.

"These negotiations are to be conducted in accordance with the Main Principles Governing Negotiations annexed hereto.

"Basic Principles concerning Negotistions for a Military Alliance.

"1. Japan, Germany and Italy, recognizing the fact that they stand on common ground in regard to the construction of a New World Order, will arrive at a mutual understanding with respect to support for the establishment and administration of their respective Spheres of Living, and also in regard to cooperation concerning their policies toward Britain, the Soviet Union and the United States. (See Appendix 1)", which will be found at the bottom of the same page, page 9, and which I will now read.

"Appendix 1 - Terms of Political Understanding Forming the Basis for the Strengthening of Japan-Germany-Italy Collaboration.

"1. Japan and the two countries of Germany 17 and Italy, recognizing the fact that they stand of common ground in regard to the construction of a New Yorld Order, for the realization of which they are presently putting forth their efforts, will mutually respect the Japanese Sphere of Living in East Asia, including the South Seas, and the German and the Italian Sphere of Living in Europe and Africa; and will cooperate by all possible means for the construction of New Orders in the said regions.

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"2. Japan and the two countries of Germany and Italy will mutually effect close economic cooperation.

"With this end in view, they will carry out the preferential mutual interchange of material resources existing in their respective Spheres of Living and the exchange of techniques, and will also accord favorable consideration to the other party's economic activities in their respective Spheres of Living.

"3. Japan and the two countries of Germany and Italy will cooperate in maintaining peace with the Soviet Union and in inducing the Soviet Union to bring her policy into line with the common ground of the two contracting parties. (In addition, an understanding will also be reached with respect to consultation as to the action to be taken in the event of a danger of either Japan or Germany and Italy entering upon a state of war with the Soviet Union, if, in the course of negotiations with Germany and Italy, it turns out that such desire is entertained by the two countries.)

"4. Japan and the two countries of Germany
and Italy will mutually cooperate in order not to allow
the United States to interfere in regions other than
the "estern Hemisphere and the United States possessions,
and also in order to safeguard the political and

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economic interests of both contracting parties in this connection. Further, in the event of either contracting party entering upon a state of war with the United States, the other contracting party will assist that party by all possible means.

"Japan and the two countries of Germany and Italy will closely cooperate with respect to the action to be taken in regard to Central and South America.

"Note: The present understanding will be treated as confidential."

Returning now to the middle of page 9:

"Note: On the basis of this fundamental understanding, such further agreements as may be necessary will be concluded among Japan, Germany and Italy, or between Japan and Germany, and between Japan and Italy.

"2. Japan, Germany and Italy will, along with the above-mentioned fundamental understanding, speedily arrive at an understanding with respect to their mutual support and cooperation concerning the China Incident and the European Tar, by which they are respectively confronted. (See Appendix 2.)"

I will read from Appendix 2 beginning near the bottom of page 10.

"Appendix 2 - Terms of Understanding concerning the Mutual Support and Cooperation of Japan and the Two

Countries of Gormany and Italy in regard to the European War and the China Incident.

"Japan and the two countries of Germany and Italy, in settling the China Incident and the European "ar, by which the two contracting parties are respectively confronted, will effect mutual support and cooperation as follows:

"Japan will:

"a. Accord as much facility as possible in meeting the desires of Germany and Italy in regard to the acquisition of natural and material resources existing in East Asia, including the South Seas; and

"b. Cooperate as much as possible in intensifying the pressure upon British influences in East Asia, including the South Sess; and in facilitating the prosecution of wer by Germany and Italy against Britain.

"Germany and Italy will

"a. Cooperate as much as possible in regard to the supply of such machinery and similar articles, and in regard to such technical assistance, as may be desired by Japan, and

"b. Give as much political and economic cooperation as possible in the settlement of the China Incident.

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"Note: The present understanding will be treated as confidential.

Returning row to page 9:

(Reading) "3. The negotiations under 1 and 2
above will be conducted in accordance with the Basic
Essentials for the Strengthening of Japan-Germany-Italy
Collaboration (in Appendix 3) and on the basis of the
Main Principles Governing Negotiations (in Appendix 4)."

I will now read Appendix 3 appearing on page 11.

"Appendix 3 - Basic Essentials for the Strengthening of Japan-Germany-Italy Collaboration.

"1. Concerning Japan's Sphere of Living for the construction of a Greater East Asia New Order,

"a. The sphere to be envisaged in the course of negotiations with Germany and Italy as Japan's Sphere of Living for the construction of a Greater East Asia New Order will comprise:

"The former German Islands under Mandate, French Indo-China and Pacific Islands, Theiland, British Malaya, British Borneo, Dutch East Indies, Burma, Australia, New Zeeland, India, etc., with Japan, Manchuria and China as the backbone.

"It is understood, however, that the South Seas Region to be indicated by Japan in conducting the negotiations with Germany and Italy will be the

region from Burma eastward, including the Dutch East Indies, and New Caledonia northward. It is further understood that India may be recognized, for the immediate purposes as being included in the Sphere of Living of the Soviet Union.

"b. The goal in regard to the Dutch East Indies is to have it in a state of preparedness for independence, but the immediate objective will be to secure recognition of Japan's position of political and economic predominance in that country.

"In this connection, if German proposals should be a variance with the above proposition, recognition should still be obtained of Japan's predominant position in the Dutch East Indies through the preferential supply to Japan of natural and material resources existing in that country, through the guarantee of Japan's continuance of the already existing German economic undertakings there, and by negotiations of a political nature on other matters in general.

"c. The same principles as in b, above will be followed with respect to French Indo-China.

"2. Concerning Japan-German-Italy economic cooperation.

"a. With regard to trade, Japan will supply Germany and Italy with agricultural, forestry and aquatic products of Japan, Manchuria and China, and will also cooperate in supplying Germany and Italy with special mineral products, rubber and other articles of China, French Indo-China, the Lutch East Indies, etc. Germany and Italy, on their part, will supply Japan with such technical assistance, aircraft, machinery, chemical products, etc., as may be required by Japan.

"With regard to mutual economic activities, Japan will, especially in China and Manchuria, accord de facto preferential treatment to Germany and Italy, and enable the participation of their technique and equipment.

"b. For the above-mentioned purposes, there will be separately concluded an Economic Agreement, a Trade Agreement and a Payments Agreement.

"3. Concerning Japan's attitude toward Japan-Germany-Italy cooperation in regard to the Soviet Union and the United States.

"Being destined to be the leader of East Asia in the postwar new order of things wherein it is anticipated that the world will be divided into the

1 four large fields of East Asia, the Soviet Union, Europe and the American Continent, Japan, acting in close collaboration with Germany and Italy which will constitute the guiding force of Europe, will

"a. Restrain the Soviet Union on the east, west and south, and, inducing the Soviet Union so to ; act as to align with the common ground of Japan, Germany and Italy, endeavor to cause the advance of the Soviet sphere of influence to be oriented toward a direction where the advance has little direct effect upon the 11 interests of Japan, Germany and Italy, a direction such 17 as the Gulf of Persia (it being also possible that, in case of need, Soviet advance toward India may have to be recognized); and,

"b. While peaceful means will be adhered to as far as possible in dealing with the United States, contrive to bring about a posture of things wherein Japan can, as occasion may require, bring pressure to bear upon the United States through political and economic collaboration with Germany and Italy in the East Asian and European fields, so that such posture of things can contribute toward the attainment of Japan's aspirations.

"In taking these steps, Japan will keep it in mind to make the best of inducing the Soviet Union.

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"Further, Japan will make use of the immigrant and economic foothold, both of importance, which Germany and Italy presently have in South America, in regard to such steps as Japan may in future take with respect to the United States.

"4. Concerning Japan's attitude toward the anti-British cooperation of Japan, Germany and Italy.

"a. Japan will, in the construction of an East Asian New Order, take such steps as the situation may require, in order to eliminate the political and economic interests of Britain in East Asia, including the South Seas.

"(Such steps are intended to weaken Britain's position. Fact shows that the policy which Japan is already taking toward Britain in China is automatically producing an effective influence on the war situation in Europe.)

"b. With a view to furthering her cooperation with Germany and Italy in their prosecution of war against Britain, Japan will stand no cooperative effort on her part in meeting the desires of Germany and Italy in regard to the acquisition of natural and material resources existing in East Asia, including the South Seas. Further, Japan will in larger measure cooperate with Germany and Italy in the war against Britain, in

respect of the elimination of British interests in East Asia, anti-British demonstrations and propaganda, the support of independence movements in the colonies and dependencies of Britain and other matters.

"5. Concerning the possible use of armed force against Britain and the United States, Japan will make decisions independently in accordance with the following principles:

"(1) In the event that the China Incident has nearly been settled, Japan will use armed force by taking as favorable an opportunity, as may be afforded by the situation prevailing at home and abroad.

"(2) In the event that the China Incident has not yet been settled, it will be Japan's guiding principle to take action within limits short of war. If, however, domestic and foreign conditions take a decidedly favorable turn, or if it is deemed that, irrespective of whether our preparations are complete or not, the development of the international situation permits of no further delay, Japan will resort to armed force.

"(3) The 'domestic and foreign conditions' signify the European situation, especially the state of adjustment of our relations with the Soviet Union, the United States' attitude toward us, our preparations for war, and so forth, as well as the state of disposal

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of the China Incident.

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"Appendix 4 - Main Principles Governing Nagotiations.

"1. The principal purpose of the negotiations under Paragraph 2, Appendix 1, which is designed to have Germany and Italy recognize and respect Japan's Sphere of Living in East Asia, including the South Seas, is to obtain overall recognition of Japan's position of predominance in the whole of East Asia, including the South Seas.

"Should, however, Germany and Italy be inclined to make any reservations in regard to any designated areas, Japan will conduct negotiations on each
particular matter concerning such areas in pursuance of
Paragraph 1, a., and ensuing principles of Appendix 3
(Basic Essentials for the Strengthening of JapanGermany-Italy Collaboration), and thus secure recognition of Japan's aspirations in these connections.

pounds a desire for Japanese military cooperation with them against Britain, Japan is prepared, as a matter of guiding principle, to meet the desire. It will be explained to Germany and Italy, however, that, in view of the existing state of affairs, Japan contemplates taking the courses of action outlined under (1), (2)

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and (3) in Paragraph 5, Appendix 4 (Basic Essentials for the Strengthening of Japan-Germany-Italy Collaboration), with respect to the use of armed force, i.e., participation in the war.

"Germany and Italy will be enabled by such explanations to understand Japan's position, and, at the same time, efforts will be made to the end that Germany and Italy will cooperate with us in improving domestic and foreign conditions relating to Japan's entry into the war against Britain and the United States."

I return now to the final paragraph of the document on page 9.

"4. The understandings mentioned above need not necessarily take the form of agreements, but, if Germany and Italy so desire, there is no objection to the conclusion of agreements in this connection."

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I return now to the final paragraph of the document on page 9.

"4. The understandings mentioned above need not necessarily take the form of agreements, but, if Germany and Italy so desire, there is no objection to the conclusion of agreements in this connection."

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MR. TAVENNER (Continuing): Prosecution's document 1129 is offered in evidence. It is a recital of salient points in the negotiations conducted on 9 and 10 September 1940, between Foreign Minister MATSUOKA and Stahmer, Special Envoy of Ribbentrop for a Japanese-German-Italian military alliance.

MR. CUNNINGHAM: If the Tribunal please, I would like to object to the introduction of this document for the reason that one of the participators in the agreements and discussions, Mr. Stahmer, is available to the Tribunal for examination, and his evidence would be the best evidence. He is in Sugamo Prison and is available to the prosecution for the best evidence to be submitted in this Tribunal.

THE PRESIDENT: The Tribunal's reply to this is the same, substantially, as its reply to Mr. Logan with respect to Ambassador Ott. I do not see any difference, except that this man Stahmer happens to be in Japan.

MR. CUNNINGHAM: For the further reason that there is no showing by the prosecution as to the provisions of the Charter under which this document is being offered in evidence. It is not a document of the army of any belligerent or enemy power, and, therefore, should be qualified and a better foundation

laid for its introduction than the usual document. THE PRESIDENT: The document is clearly within Article 13-c(1) of the Charter, and is ad-4 missible thereunder. But, in any event, it is an ad-5 mission from enemy sources, which you would be entitled to use if there were no provision in the Charter. CLERK OF THE COURT: Prosecution's document 1129 will receive exhibit No. 549. 8 9 (Whereupon, prosecution's exhibit 10 No. 549 was received in evidence.) MR. TAVENNER: In view of counsel's objection, 11 12 I think I should also state that this is a document 13 obtained from the Japanese Foreign Minister. 14 (Reading): "STRICTLY CONFIDENTIAL Dates (Sept. 9, 1940 15 16 (Sept. 10, 1940 17 "SOME OF THE SALIENT POINTS IN THE INFORMAL 18 CONVERSATIONS BETWEEN MATSUOKA AND STAHMER, WITH THE 19 GERMAN AMPASSADOR ASSISTING. 20 "1. Germany does not want the present 21 conflict develop into a World War, and wishes to 22 bring it to termination as quickly as possible. She 23 particularly wants the United States to stay out. 24 ". 2. Germany does not look for Japan's 25 military assistance at this juncture in connection

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with her war with England.

Japan play the role of restraining and preventing the U.S. from entering the war, by all means.
Although Germany thinks at present that the U.S. will not enter the war, she cannot take chance.

the U.S. will come to blows in the near future, but that the clash and war between Japan and the U.S. cannot eventually be avoided.

mutual advantage of both, Japan and Germany (of course Italy to be included) to enter into and understanding or agreement, whereby they will be thoroughly prepared effectively to meet emergency, at any moment. This can only prevent, if anything can prevent America from entering the present war, or entering into an armed conflict with Japan in the future.

":6. A strong and determined attitude, unequivocal and unmistakable, on the part of the three nations, Japan, Germany, and Italy, and the knowledge of it by the U.S. and the world at large at this juncture, that alone can only be of a poverful

and effective deterrent on the U.S. A week, lukewarm attitude or declaration at this juncture will only invite derision and danger.

"'7. Germany hones Japan will also size
up the situation and will realize the magnitude and
the reality of the potential (may be impending who
knows) danger coming from the Western Hemisphere,
and will act quickly and decisively to forestell
it by reaching an agreement between the three
(Japan, Germany and Italy) of such a nature that
neither the U. S. nor the rest of the world would
be left in doubt, conjecturing.

(and Italy) will do everything in her power to restrain the U. S. on the Atlantic and will at once start supplying Japan with as much of the war equipment (such as aeroplanes, tanks and other war tools with men even, if Japan wishes it) as she can reasonably spare, and will otherwise help her in every possible way. (MATSUOKA remarked that these things might and in fact will have to be left to a sort of mixed military and naval commission of the Axis, granted that Japan joins the Axis in the sense and in the way Cermany wants.)

"39. Of course, Germany recognizes and

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respects Japan's political leadership in Greater
Fast Asia. All she wants in these regions is of
economic nature, and she is ready to cooperate with
Japan to further her cims. Naturally she looks
to Japan to do her best to accommodate German
enterprises and to enable Germany to obtain in
these regions materials she needs and may need.

Germany, Italy and Japan first and then immediately to approach Soviet Russia. Germany is prepared to act part of an honest broker on the question of rapprochaent between Japan and Soviet Russia, and she can see no unsurmountable obstacle on the path may be settled without much difficulty. German-Soviet relations are good contrary to what the British propaganda tries to represent, and Russia is carrying out to the satisfaction of Germany all her engagements.

(including Japan) must be thoroughly prepared to meet the worst emergency, Germany will on the other hand, make use of every means in her power to prevent the clashing between the U.S. and Japan, and even to improve the relations between the two, if it is humanly possible.

the Axis in the fullest sense of the word and that quickly, before the war against England closes, is taking a long view of carrying on stupendous struggle against the British Empire, not to say the Ango-Saxondom including America. (In short, he is indicating that this war is desined to develop into a strife against the Ango-Saxondom.) The present wer may end before long, but this great struggle will go on for tens of years yet, in one form or another. (MATSUOKA emphasized this phrase). Let the three (Germany, Italy and Japan) stand together knitted very closely until the great aim is finally schieved.

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to join in the present discussion, the German
Foreign Minister will consider and let the Japanese
Foreign Minister know. The German Government has
not yet conferred with Italy. Neither STAHMER nor
enyone on the German side has seen the Soviet official
on the matter.

"\*14. STAHMER's words may be regarded as coming directly from Ribbentrop.

"15. The Japanese Foreign Minister also made observation on several points, but they are not noted here."

MR. TAVENNER: (Continuing) Prosecution's document No. 1202 is tendered in evidence. It is MATSUOKA'S explanation regarding the conclusion of the Tri-partite Pact at an Imperial conference, the date of which does not appear on the face of the document; but, from consideration of the context it must have been between 10 September and 26 September 1940.

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MR. LOGAN: If the Tribunal please, since the prosecutor is undertaking to testify as to the date on which this conference was held, I would like to have him point out in the document on what he bases that opinion.

THE PRESIDENT: We will insist on his doing so.

MR. TAVENNER: The document plainly shows on its face that it was after the arrival of Stahmer and that it was prior to the conclusion of the pact.

THE PRESIDENT: Well, when did Stahmer arrive, according to the evidence?

tember. The exact date is not specified but the conference in the previous document was shown to have occurred on the 9th and 10th of September.

THE PRESIDENT: This is a convenient break, Mr. Tavenner. We will recess for fifteen minutes. (Whereupon, at 1045, a recess was 3 taken until 1100, after which the proceedings were resumed as follows:) MARSHAL OF THE COURT: The Tribunal is now 6 resumed. 7 MR. TAVENNER: I desire to call to your Honor's attention that the document also refers o to the conference with Stahmer as having taken place on the 10th of September, which definitely fixes the 11 dates between which the conference must have been held. 12 The document has not been formally admitted. 13 THE PRESIDENT: Admitted on the usual terms. 14 CLERK OF THE COURT: Prosecution's document 15 No. 1202 will receive exhibit No. 550. 16 (Whereupon, prosecution's exhibit 17 No. 550 was received in evidence.) 18 MR. TAVENNER: (Reading) 19 "REGARDING CONCLUSION OF THE TRIPARTITE 20 PACT 21 "Strictly confidential 22 "Subject: The Conclusion of a Treaty between 25 Japan, Germany and Italy. (Subject of the Imperial Conference). 23

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"In order to strengthen the Japan-Germany-Italy Axis we will negotiate with Germany and Italy and conclude a pact between Japan, Germany and Italy.

"The gist of the Pact.

- "(1) That Japan will recognize and respect the leading position of Germany and Italy in the building of the new order in Europe.
- "(2) That Germany and Italy will recognize and respect the leading position of Japan in the building of the new order in the Greater East Asia.
- "(3) That Japan, Germany and Italy will co-operate with one another in the efforts based on the above principle, and that, in case one of the three is (bublicly or secretly) attacked by a power not involved at present in European War or China Incident, the three countries will aid one another by every means, political, economic and military.
- "(4) That Japan, Germany and Italy believe that they can create the fair and lasting foundation of peace only through the establishment of world new order, which is in keeping with the changing world situation, by their mutual assistance, and that they will adjust and combine their efforts for its realization.
  - "(5) That Japan, Germany and Italy confirm

that the above items do not in any way affect the political situation now existing between each of them and U.S.S.R.

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"Foreign Minister's (MATSUOKA) explanations regarding the conclusion of Tripartite Pact.

"(Council in the Imperial presence)
"A. Development.

"The present minister thought of strengthening Japan's relations with Germany and Italy, on the principle just stated by the Prime Minister, since the formation of this Cabinet in the last decade of July. At that time, Germany had conquered France, and Britain, too, seemed to be easily conquered in less than a decade. So German enthusiasm for cooperation with Japan was generally at a very low ebb. But even if Germany and Italy could subjugate British Isles now, the war for the destruction of the whole British Empire would be no easy matter. Moreover. they would have to cope with two great influences. what may be called Anglo-Saxon kingdom or Bloc, consisting of America and surviving parts of the British Empire, and Soviet Russia strengthened still further by the present war. In that case, Japan, geographically blessed, and possessing a race in a peerless body politic, would be great in her strength. Even at

the balance of the world as she likes. This is the present minister's forecast and opinion. Hitler and at least a few persons near him was aware of this, - so I imagined. Nay, I even saw some signs. Even at that time they seem to have had considerable enthusiasm for cooperation with Japan. Such being the case, I thought it unnecessary to ask humbly for German cooperation, though I was prompted to do so by various international situations. I decided then to postpone the negotiation until after the surrender of the British Isles, if I was obliged to do so, and that in that case I would take my own time. To show impatience would have been a taboo diplomatically for us.

"I believed that Japan must show the attitude that she would stand pat on her independent
position, that she had no need to co-operate with
Germany and Italy, and that she would join hands with
America, or even dare to save Britain, if it was necessary or convenient for her existence and mission.
Then, first of all, I had to begin reconnoitering
throughout the world about this matter. The present
minister had done so about the time of his appointment,
and came to acquire a little information about world

affairs. So I went a step further, invited Ambassador Ott to tea on August 1st, and told him that, as he knew, strengthening of the Axis was a desire of both our government and our people, but that the time was not ripe for it, and that the Cabinet had not come to concrete decision yet. Then, I continued and informed him that Japan intended to settle China Incident by herself by and by, and that Germany had no need to trouble herself. This silenced the German Ambassador, who had begun to talk about mediation. I then further informed him that Japan was seriously determined to realize the ideal of HAKKO-ICHIU (the whole world-one house), which was her traditional ideal since her foundation, and that she was going to try it first within the Greater East Asia Co-prosperity Sphere. I went on to say that, even though the British Isles might succumb shortly, it would be only the beginning of the collapse of the British Empire, not the end. (The German Ambassador, too, said so of his own accord.) I then concluded that Germany should decide on the problem of Japanese-German cooperation from the above two long-range viewpoints, and asked him (1) what attitude Germany was going to take regarding above-mentioned Japan's realization of her ideal within the Greater East Asia Co-Prosperity Sphere,

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how Germany could assist Japan, whether Germany intended to assist Japan or not, what Germany desired within this sphere, (2) what Germany thought about Soviet-Japanese relations, what Germany could do about the situation, (3) what Germany thought about Japanese-American relations, what Germany could do about the situation. Lestly I requested him to wire the above-mentioned 3 items immediately to Fuehrer Hitler and Foreign Minister Ribbentrop and get their answer.

easy matter, and was unwilling to wire to Berlin. I also expected that I should not get the answer ouickly. It turned out as I expected, but I left the matter to take its own course, without pressing him.

Meanwhile, Foreign Minister Ribbentrop promoted Consul-General Heinrich Stahmer, reportedly his right-hand man in Criental problems, to the post of Minister, and ordered him to start from Berlin on Aug. 23rd, and quickly come to Japan via Moscow. The present minister showed no sign of impatience to see him.

Then on 9th he asked for an interview. So I met him and Ambassador Ott at my private residence for the sake of secrecy. Then on the 10th we met for the second time, and on the 11th for the third time. At

the last meeting we came to agree on a draft treaty.

After exchange of opinions between the German government and the present minister, we decided upon something like the draft now submitted to you for approval.

Regarding various points in this draft, and the present minister's questions which had been wired to Berlin through Ambassador Ott on August 1st, Stahmer expressed his opinions clearly and frankly. The present minister is satisfied with this. Therefore, I am going to carry on negotiations and hope to come to compromise with this draft as the basis.

"B. The Explanation of the Gist of the Pact.

"Item 1 says that Japan will recognize and respect the leading position of Germany and Italy, in the building of the New Order in Europe. I thought Germany would speak of the building of the new order in Africa, but the German Ambassador did not speak of it, so this item was confined to the recognition of the position of Germany and Italy in Europe. The 'Greater East Asia' in 'the building of the new order in the Greater East Asia' of Item 2 means for the present French Indo-China, Thailand, Burma, Strait Settlement, and such Oceanic islands as N.E.I., New Guinea, New Caledonia and C. At that time it was expected that the meaning would be gradually changed in

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keeping with the change in East Asia situation and with the development of world situation. So I spoke broadly to the German Ambassador on purpose, and told him that, though Greater East Asia did not at present contain Australia, New Zealand, and /areas/ to the south, it will gradually extend itself with time. I did not refer to India. The German Ambassador did not ask any detailed questions and agreed with the present minister.

"The German Ambassador said in reply that Germany recognized Japan's political leadership in the above area, but that Germany might be given as many facilities as possible in economic field, in trade, enterprise, and acquirement of materials, for instance. I told him that Japan also expected the cooperation of Germany and Italy in the economic exploitation of that area.

"The 'one power' in Item 3, 'in case 'publicly or secretly' attacked by one or more powers . . .' implicitly and chiefly means America. If one of us three were attacked by that one power, the other two would be automatically obliged to participate in the war. That is, our country will enter into a military alliance with Germany and Italy, with America as the objective. 'Publicly and secretly' was inserted by

Germany, but Minister Stahmer and Ambassador Ott agreed to striking out the expression for the time being, and wired to Foreign Minister Ribbentrop for instructions. But the German Foreign Minister may insist on its being left as it is. It is pretty difficult to interpret it correctly, and I asked the German envoy what was meant by 'secretly attacked.' He replied that what America had done up to then did not come within the meaning of this expression. expression means such cases as America using important British bases in the Pacific under a secret Anglo-American treaty, or American fleet directly entering Singapore, and it was inserted rather with Japan's interests in mind, -- so said the German envoy. Then the present minister asked, 'How about Germany and Italy?! The German envey replied it probably meant such cases as Britain allowing America to occupy some strategic British base in a region near the European battlefield, for example, in the Mediterranean Sea. Whether attack is to be divided into the above two categories, or whether the expressed is to be simply 'attacked,' the decision upon whether an action or a chain of actions by America or another third power would be regarded as constituting 'attack,' shall only be decided by a consultation among us three powers.

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We agreed to make the point clear in one form or another. So, which expression may be used. I think there is little cause for anxiety."

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"Regarding Item 4, I think I need not explain.

I am now negotiating with the intention of making
this item the pramble of the pact, and the German
envoy has agreed in a general way. The phraseology
has been carefully polished, taking in the principle
of Hakko Ichiu (the whole world - one family), which
was enunciated in the Imperial Rescript at the
time of the foundation of our State, the principle
that every nation and every race shall find its right
place in the world.

"Item 5 stipulates that this pact is not directed against the U.S.S.R. As the U.S.S.R. is supposed not to be involved in the war of Germany and Italy against Britain and France, there is room for doubt if 'one power' in Item 3 may not apply to U.S.S.R. So this item makes it clear that there is no possibility that Japan, Germany and Italy will make an enemy of U.S.S.R. in building world new order. Especially this item makes it clear that the present pact in no way affects the existing agreements, views or situations between the U.S.S.R. and Germany, regarding Poland and other European countries. So this item purports to relieve the apprehension of the U.S.S.R., and to prevent Soviet-American rapprochement. Apart from this, I want to draw up

notes annexed to the pact, and stipulate that, after the conclusion of this pact, joint military and economic committees shall be formed immediately in Tokyo, Berlin and Rome, which shall study detailed arrangements regarding the carrying out of this pact, based upon its purport, and that the agreed arrangements shall be submitted to the government for approval. I think Germany and Italy will promise to offer their good offices to make the U.S.S.R. attune herself with the purport of the present pact, and to adjust Soviet Japanese relations. I think, too, Germany will promise to supply us with war materials and technique, and, at our request, technicians and other personnel. Germany will also promise to help us to acquire oil from the U.S.S.R. and other countries. We, on our part, will promise Germany to supply war materials, foodstuffs and other necessaries of life, and technique, if we are able to do so. Meanwhile, the phraseology of the pact will have to be reciprocal in form for the time being.

"Moreover, the purport of this gist may be changed more or less by the development of negotiations, or expressions and form may be revised. Regarding these points I hope I may be allowed to exercise my discretion which is necessary in diplomacy. I am

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determined not to change the fundamental purport of the gist in any case, but if it should ever become necessary to change the fundamental purport, I shall take necessary steps anew. I want you to understand that.

## "C. Conclusion

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"The fundamental principle of the recent negotiations with Germany is entirely different from that of HIRANUMA Cabinet days. That is, as may be known from the fact that Germany has explicitly said Japan need not participate in the European War, Germany and Japan has a common aim in concluding this pact. Germany wants to prevent American entry into the war, and Japanese-American conflict.

Accordingly, the non-intervention policy, which the Imperial government has maintained up to now, will be continued for the time being, with the reservation that it may be influenced by this pact in future.

"Shortly after American-Canadian joint defence plan was decided upon, America has begun to assume an attitude hardly bearable even in trifling problems between Japan and America. So the present minister was obliged to demand the reconsideration of American President and Secretary of State.

"Judging from the recent movements, America,

in addition to the military establishments which she has already built or is building both in the Pacific and in the South Sea, may now be trying to acquire strong military bases, in great numbers and in a hurry, within important British possessions in Australia, New Zealand, India, Burma and other regions, and may be preparing a battle array for encircling Japan. The present minister thought of this already at the time when the conclusion of the above-mentioned American-Canadian joint defence agreement was announced. As I expected, even the press has come to report a news, which seems to be pretty trustworthy, that negotiations are going on among the British Empire, Australian Government and America. There is some reason to suppose that America, considering that Japan has suffered greatly through war of attrition in China Incident, and that her strength has suffered remarkable decrease, may use intimidating language. Whatever may be the cause, I think Japanese-American relations now leave little room for improvement through courtesy or desire for friendship. I rather fear such an attitude on Japan's part may only aggravate the matter. Is there a measure to improve the situation even a little, or prevent its further aggravation? I think there is at

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present nothing left for us to do but to stand firm.

If it is so, we must cope with America by firmly
joining hands with as many countries as possible, to
back up our firm attitude, and by making the fact
known at home and abroad as quickly as possible. I
believe this to be an urgent diplomatic move. The
present minister will watch the repercussions or
effects of such a measure on the one hand, but, on
the other hand, will always be careful not to overlook
an opportunity to improve relations with America.

For all that, we must, first of all, show a firm
coping attitude with a steady resolve to all the
world, so explicitly that there will be no room for
doubt. This is the most important point in concluding
this pact, so I repeat this in conclusion."

Prosecution document 1259 --

THE PRESIDENT: Doctor KIYOSE.

DR. KIYOSE: Mr. President, I refer to the first page of the exhibit No. 550, just read by prosecutor Mr. Tavenner. It is written here that it is the draft of the Foreign Minister's explanation concerning the three -- Japan, Germany, Italy -- three power pact.

THE MONITOR: "Concerning the conclusion of the Tripartite Alliance."

DM. KIYOSE: (Continuing) However, in the English text the word "dreft" does not exist and in parenthes is it is written "MATSUOKA." As what concerns Mr. MATSUOKA there is no objection because he was Foreign Minister; but there is a great difference between a draft and a document which is not a draft, and I should like to have this examined by the Language Arbiter.

THE MONITOR: Slight correction there: "There is a great difference between the draft and the explanation itself; therefore, I would like to have the Language Arbiter decide on this issue."

THE PRESIDENT: "The have no doubt as to what

I've PRESIDENT: "e have no doubt as to what is meant to be the draft and what is the explanation.

Mr. Tavenner.

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MR. TAVENNER: Prosecution's document 1259 is offered in evidence. It is a recital of the salient points of questions at a Privy Council meeting on 16 September 1940.

THE PRESIDENT: Admitted on the usual terms. CLERK OF The COURT: Prosecution's document No. 1259 will receive exhibit No. 551.

(Whereupon, the above-mentioned document was marked prosecution's exhibit No. 551 and received in evidence.)

MR. TAVENNER (Reading): "SALIENT POINTS OF QUESTIONS (PRIVY COUNCIL) "September 16th, 1940.

"No. 1. 'Is not there any danger of extreme intensity of economic pressure by the United States upon Japan, as result of the conclusion of Tri-Partite Pact? What would be our counter-measure in such a case?!

"No. 2 'What preparation have you to deal with, should the worst case (the commencement of war against U. S. A.) happen?!

"1. 'We should be ready for that case. And, our precautions shall be (1) to strengthen our international standpoint by the conclusion of this pact, and (2) to take diplomatic, economic and military

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measures for the purpose of procuring necessary
natural resources for national defense from the South
Seas and other places, which we have procured hitherto
from the United States. In deciding upon the present
matter this very point was most carefully investigated.'

"Question. 'What effect will the Three

Power coalition have upon the disposal of Sino-Japanese
conflict?'

The translator's note appears there that "The answer is not given in the notes available."

"Questions asked of the government by the Supreme Command of the Navy at an Imperial Conference regarding the military alliance between Japan, Germany and Italy, Sept. 16, 1940.

"No. 1 'To what degree will this pact contribute to the adjustment of Japan-Soviet relations?"

"No. 2 'By concluding this pact, Japan's trade relations with Britain and U.S. are deemed to deteriorate and the acquisition of resources now dependent upon the outside is deemed to become more difficult, should the worst condition come to exist. And, Japanese-American war will be probably a prolonged one. In consideration of the present condition of our country in which our resources have

been considerably used up on account of the still existing Sino-Japanese conflict, what are the prospect foreseen and precaution taken by our government in order to maintain our resources?!

"No.3 'By the conclusion of the present alliance it becomes imperative that preparation of our navy for Javanese-American war be accelerated and strengthened. And, this matter will be impossible to be realized, unless our government give serious consideration and cooperation with the Navy. What principle does our government have in this regard?'

"No.4 'Even if Japan should be compelled to participate in the European war from the participation of the United States in it, we would wish to choose the time of outbreak of hostility, independently. What measure does our government have in this regard?'

## "(War Ministry)

aging Chungking government or anti-Japanese movement at the present time. Should a solid coalition come to exist between Japan, Germany and Italy, it will become the most effectual expedient to restrain the United States. The more effectually we restrain the United States, the more possibly and quickly we shall be able to dispose of Sino-Japanese conflict. On the other hand, if we can bring about approachment between the Soviet Union and our country as result of Tri-Partite coalition and through the good offices of Germany and Italy, especially of Germany, we shall be able to spur the quick ending of Sino-Japanese conflict.'

"(Navy Ministry)

"No. 1. 'Should this Tri-Partite alliance come to exist, Germany and Italy--especially Germany--will use its good offices with pretty firm self-confidence in ironing out Soviet-Japanese relations.

In view of the present amicable relations now existing between Germany and the Soviet Union, it must be far easier to iron out, with the aid of Germany, the difficult problems which involve Japan and the Soviet Union.'

"No. 4 'In the event Japan was compelled to participate in the European war, the time to start the war will be virtually decided in the following manner: First, the Army and naval authorities set a question at rest. Secondly, our government bring the matter to a settlement, basing upon the opinions of the Army and naval authorities and other

circumstances. Thirdly, our government confers with German and Italian governments. In this regard . (choice of time to start war) the standpoint of our Empire will be held independent, as a matter of fact." 

MR. TAVENNER (Continued): I now introduce in evidence prosecution's document 1461. THE PRESIDENT: On the conclusion of the 3 Tri-Partite Pact. MR. TAVENNER: It is a record of a conference of the Investigation Committee of the Privy Council on the subject of conclusion of the Tri-Partite Pact 7 between Japan, Germany and Italy held on 26 September 1940 at which War Minister TOJO, Foreign Minister and concurrently Minister of Overseas Affairs MATSUOKA, 10 Chief of the Planning Board HOSHINO, and Director of 11 the Military Affairs Bureau of the War Ministry, Major 12 General MUTO were present. 13 14 THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Prosecution's document 15 No. 1461 will receive exhibit No. 552. (Whereupon, the document above mentioned 17 was marked prosecution's exhibit No. 552 and 18 received in evidence.) 19 20 MR. TAVENNER: This and the succeeding document are used for the purpose of showing some of the consider-21 22 ations which motivated Japanese leaders in concluding 23 the alliance. (Reading) "CONCLUSION OF THE TRIPARTITE PACT 24 25 BETWEEN JAPAN, GERMANY AND ITALY.

"Subject: Conclusion of the Tripartite Pact between Japan, Germany, and Italy.

"The Investigation Committee.

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"The President and the Vice President of the Privy Council and its members met on September 26 (Thursday) Showa 15 /1940/ at 10 A.M. in the antechamber East-3 in the Imperial Palace, and soon after the introduction by His Majesty of the draft pact for review, President HARA opened the conference, designating the set-up of the plenary session of the Committee."

I will read from the list of those present only those who are accused in this case.

"State Ministers: War Minister TOJO,
Foreign Minister and concurrently Minister of Overseas
Affairs MATSUOKA.

"Explainers: Chief of the Planning Board HOSHINO, Director of the Military Affairs Bureau of the War Ministry Major General MUTO."

THE PRESIDENT: Are the Privy Councillors

MATSUI and OSHIMA identical with the accused here?

MR. TAVENNER: No, sir, they are not.

THE PRESIDENT: The Vice-President SUZUKI is

MR. TAVENNER: The only persons who are

accused in this case are those that I read, four. (Reading) 2 "CONCLUSION OF THE TRIPARTITE PACT BETWEEN JAPAN, GERMANY AND ITALY "Subject: Conclusion of the Tripertite Pact between Japan, Cermany, and Italy. "The Investigation Committee "The President and the Vice President of 8 the Privy Council and its members met on September 26 (Thursday) Showa 15 /1940/ at 10 A.M. in the 10 ante-chember East-3 in the Imperial Palace, and soon 11 after the introduction by His Majesty of the draft 12 pact for review, President HARA opened the conference, 13 designating the set-up of the plenary session of the 14 Committee. 15 "(The meeting came to order at 10:10 A.M.) 16 "SUZUKI, the Chairman of the Committee, 17 18 celled the meeting to order. "Premier KONOYE and Foreign Minister MATSUOKA 19 delivered explanations respectively as on separate 20 leaf, following which interpellations and answers were exchanged between the members of the Committee and State Ministers the gist of which is as follows: 23 1

"Councillor KAWAI: 'The supplementary

documents accompanying the treaty which has been

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exchanged concerns the relations between our empire and the State of Germany but they do not touch upon Italy. What is the reason for this?

"Foreign Minister MATSUOKA: 'The German Foreign Minister RIBBLNTROP previously advised us that, as far as Italy is concerned, he himself will take care of everything, and he desired that we refrain from even having an interview with the Italian Ambassador for the time being. That is why I had an interview with him for the first time yesterday (the 25th). On that occasion, he stated that all affairs pertaining to Italo-Japanese relations had so far been entrusted to Germany, and that the conclusion of a treaty such as the present one was what Italy had been looking forward to for some time. Moreover, in the documents exchanged between the German Ambassador and myself, we touched upon our relations with Italy to the effect that Italy in all probability would fall in line with Japan and Germany.'

"Councillor KAWAI: 'How about our preparations to meet the situations when the worst turns up?'

"War Minister TOJO: 'As far as the Army is concerned, only a part of its strength would be employed in case of war with the United States.

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Therefore, there is nothing to be worried about.

Relations with Russia in such case, I believe,

would be advantageous to us because the present

Treaty acts as a check. But as long as Russo
Japanese relations are not satisfactorily adjusted,

we of course cannot afford to delay preparations.

Concerning the China Incident, it is necessary that

we bring a speedy end to it and prepare ourselves

for the worst.

"'Moreover, as regards supplies, we have enough reserved to last for quite a while."

"Nevy Minister OIKAWA: 'Preparations of our ships for battle have already been completed. Regarding war materials, crude oil in particular, we have enough to last us for quite awhile. If, however, the war becomes prolonged, we may be faced with a major difficulty in the way of replenishment. In order to meet such possibilities, we will take the best means possible to expand facilities for the production of synthetic oil.'

"Chief of the Planning Board, HOSHINO:

"We are as yet not complete in the matter of selfsupply and self-sufficiency of resources. According
to our resources mobilization plan for this year, out
of the total amount of ¥5,000,000,000 worth of material

necessary, we must depend on \$2.600,000,000 from abroad out of which we must depend on the United States and Britain for \$1,900,000,000 worth. However, we are already quite prepared. Regarding petroleum, particularly gasoline for airplanes with which we were most acutely concerned in the past, we have done a great deal in securing them from various countries with the result that we have a considerable amount in stock. In case the war becomes prolonged, the prospect of obtaining supplies from the Dutch Indies, Saghalien, and so forth, are quite good.

"Councillor ISHII: '(1) The treaty
under review does not contain a clause for nonseparate peace. What is the reason for this?

(2) What is the meaning of New Order in Europe
referred to in Article 1 of the treaty?'

"Foreign Minister MATSUOKA: 'With regard to the clause for non-separate peace, we did not touch upon it at all since the treaty under review aims, in principle, at prevention of war. If war should break out we would immediately come to an agreement on this subject between the three countries.'

"'As regards the New Order, I interpret it as a materialization representing the preface of

the treaty which embraces the spirit of 'Hakko-iu' (all the world under one roof) recommended by the Imperial Empire.'

"Councillor ARIMA: 'If Japanese-American hostilities are at all events unavoidable, I believe it best to avail ourselves of the present opportunity. There is, however, one thing of which I cannot but feel concern. That is the scarcity of petrol. If war is once started between Japan and America, it will not end in a year or two. Even if we are with a good surply of oil in stock at present, we may become short of it. I would like to know what measures are being considered to meet such outcome.'

"Navy Minister OIKAWA: 'The production of synthetic oil is a recent undertaking. We can hardly expect much here. I, however, believe that there is still a possibility of importing oil in quantity from the Dutch East Indies and Northern Saghalien through peaceful means. I feel we can cover our needs for a good length of time with our present stock of oil plus what we will obtain in the future. Furthermore, our production of aeroplane gasoline is now reaching a substantial volume. We are not feeling the dearth which we experienced

for a time.

"Councillor KUBOTA: '(1) Judging from
what is stipulated in Article 3 of the treaty, the
Sevict can be considered as coming under the category of 'A state which is at present moment participating neither in the European war nor the SinoJapanese conflict.' What is the view with respect
to this? (2) Are there no signs that STAHMER,
the special Cerman envoy, discussed this question
with some Soviet authorities on his way to Japan?
(3) The proposition in view is liable to prompt
a collaboration between the United States and
the Soviet. What are the views of our authorities
concerned?'

"Foreign Minister MATSUOKA: 'Specifically for the purpose of making it clear that the treaty under review has nothing to do with the Soviet, Article 5 is provided, while it is indicated in the supplementary documents to the effect that Germany shall take the trouble of mediating Russo-Japanese relations. (2) STAHMER said that he had no talk with any of the Soviet authorities in relation to this proposition. I, however, can hardly believe it. Rather I take it that much negotiations have taken place between Germany and the Soviet.

(3) With regard to the supposed collaboration between the United States and the Soviet, our Foreign Office authorities are keeping close watch. We are convinced that so far nothing has been done by them in this connection. We will continue to be on the alert and watch their doings. Rather we intend to take the initiative in adjusting Russo-Japanese relations.'

"Councillor ISHIZUKA: 'In the light of verious bygone facts, I fear that we can hardly expect due fulfillment of the treaty on the part of Germany. In putting the treaty into effect, we must prove to her our sincerity; at the same time we must also ask for sincerity on the part of Germany. I hope that our government will make due efforts especially regarding this point.'

"Councillor SHIMIZU: '(1) What are the fects regarding Germany's assistance to the Chung-king Government? (2) What are the contents of the talks regarding the mandatory areas, the former German colonies?'

"War Minister TOJO: 'According to reports,

German technicians have made their way into Chung
king. There is another report that the Chinese

Ambassador in Germany is busy in an attempt to

purchase the arms which Germany seized from France

in the current European warfare. However, both informations are unauthentic.

"Foreign Minister MATSUOKA: 'With regard to the mandatory areas under our control, the former German colonies, I wish to dispose of it in line with the supplementary documents we exchanged in connection with this proposition. In other words, we wish to hold the former German colonies included in our Empire just as heretofore by making some compensation to her. And the word 'some' means very little, almost tantamount to nominal.'

"Councillor MINAMI: 'What is the real meaning of Greater East Asia, which recognizes the leadership of our Empire? I fear that a lack of understanding between the three states with regard to the concrete demarcation of such area would give rise to an undesirable outcome in the future. (2) Who is the author of the treaty under review, Japan or Germany? According to rumors, there are some who say that the treaty was proposed by Germany, whose military operations turned out contrary to what she expected at first, for the purpose of utilizing Japan as a check upon the United States due to the fear that possibility of America's participation in the wer would increase

when the presidential election is over if the Germano-British war becomes prolonged. I wish to hear from our Foreign Minister his view in this respect.

"'(3) Relations between Britain and
America are very close. The latter is vitally
interested in the Greater East Asia sphere; whereupon it is inevitable that relations between Japan
and the United States grow worse. On the other
hand, there are possibilities of improvement in
relations between Germany and America. Is there no
fear of Japan alone drinking from the bitter cup?

"'(4) In the event of war between Japan and America, the attitude of Russia would have a serious bearing upon us. Why did not your government make any effort to reach an agreement with the Soviet also when we negotiated with Germany? Has there been anything done between Japan and Germany with a view to cause the Soviet to drop assistance to Chiang?

"(5) What is the meaning of so-called "participation" contained in Article 3 of the treaty under consideration?

"Foreign Minister MATSUOKA: '(1) By
"Creater East Asia" I mean the area which includes
French Indo-China, Theiland, Burma, the Straits

Settlements, and the Oceanic Grown comprising the Dutch East Indies, New Guinea, New Caledonia, etc. Regarding this sphere we have made an understanding that this sphere could be automatically broadened in the course of time. This point is already stated in records of the treaty negotiations.

"1(2) The treaty under review was proposed by Germany. The rumors in circulation contain a helf truth, but nevertheless the German Foreign Minister's view as given in the documents exchanged between us is no empty word.

"'(3) Our Empire should at this moment ally itself with Germany and Italy, and adjust its international relations with the Soviet Union, to bring about an international situation favorable to us, and thereby do our utmost to avoid an outbreak of hostility between Japan and America. Even if Japan and America should unfortunately come to fight against each other, I consider it advisable for us after all to maintain friendly terms with America. Also from such a viewpoint, I consider it very advantageous for us if we ally with Germany and manipulate to our interest in Americans of German descent, who number in the neighborhood of 20 millions and who already hold an influential

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position in the United States. Further, I fear that if we do not bind us in an alliance with Germany, it would be possible that in future Britain and Germany might conclude peace between themselves, create a new situation in Europe, and attempt to rule over the South Seas area and other regions for their own purposes. It is necessary to show at this time Japan's determination."

'(4) A Soviet-Japanese Pact had been already planned by the preceding cabinet. The Soviet, however, made excessive demands --"

I will omit reading down to the sentence beginning "in other words."

(Reading continued): 'In other words, the Pact under review forms the basis of the future foreign relations of our Empire. Further, although the German Ambassador, in the course of our negotiations, proposed to me to have the Soviet Union abandon her pro-Chiang regime policy, and to mediate between the Chungking government and Japan, I deliberately told him that our country itself would dispose of such problems.

'(5) For instance, if our country cannot sit idle watching in case a powerful American fleet enters Singapore, I believe that we may then get help from Germany; contrariwise, a U. S. -- Canadian common defense can hardly be construed as "participation", since the present Pact aims to avoid war. I feel that everything has been decided on the basis of the relevant conditions.

## AFTERNOON SESSION.

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The Tribunal met, pursuant to recess, at 1330.

MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now resumed.

IR. BLAKENEY: May it please the Tribunal,
I should like to refer briefly to a matter which occurred at yesterday afternoon's session. On page
6270 of the record can be found a statement by Mr.
Prosecutor that TOGO, Shigenori continued in his
position as Ambassador to the U.S.S.R. after the
change of government in July, 1940. Since I am sure
that no misrepresentation was intended, I should like
to make the correction that he continued only until
August, 1940.

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: I am not informed as to the correctness of the date of his leaving the position of Ambassador to Russia. I will check further on it and if counsel is correct, as I have no reason to doubt, I will make the correction in my statement.

MR. TAVENNER: (Roading)

"Councillor MINAMI (not the accused): 'With regard to the situation concerning the supply of materials, petrol in particular, I wish to be given an explanation sufficient to set our minds at ease.'

"President HOSHING of the Planning Board:

'We have a considerable stock of petrol, but difficulty is inevitable if worst conditions prevail for a long period. We will in this connection endeavor to secure its supply by utilizing various domestic installations and also by establishing connections with oversea sources.'

"War Minister TOJO: 'As for the Army, the supply of oil on hand would be enough to cover our operation for sometime to come, but I have no confidence in this respect if war should continue three to four years further. I feel there will be no alternative other than to strive for a way out of the desperate situation.'

"Navy Minister OIKAWA: 'For the use of the Navy, we have sufficient stock of petrol to cover our requirements for a considerable period. Assuming that our yearly requirement equals to our estimated maximum consumption of oil for a series of full scale flect-to-fleet clashes, I do not think that our

stock will be exhausted in the course of helf a year or one year. If the war is protracted for a long period, say five to ten years, the frequency of battles would automatically drop, and it is our intention to take steps to adjust our consumption so that our stock can be consumed during an extended period.'

"Councillor MINAMI: 'How about our finance when hostilities between Japan and the United States break out before the China Incident is ended?'

"Finance Minister KAWADA: 'It will be quite natural that our financial distress will increase more than ever, but I do not think that there will be no measures available with which to meet the situation. As for the source of our revenue, we may look to bonds and taxes. In either case, we have no alternative but to rely upon the savings of the people. If the situation should progress in the present state for another few years, we would possibly meet no major difficulty in finance. If the situation should grow still worse, we must try to raise funds enough to cover the war expenditures by exercising utmost economy in the general expenditures. The difficulty in the field of national finance would almost be the same as that in the domain of

materials.

"Councillor ARAKI (not the accused): 'With
the development of the situation, will there be no
shortage of troops? Besides, I have lately been informed that among the returnees from the battle fields
there are many who are suffering from tuberculosis.
What is the true state of affairs?'

OIKAWA: 'Since the manpower needed by our Army in the war against America will be comparatively small in number, while Neval personnel is by its very nature limited in size, we feel no concern in respect to number of personnel. There are among the returntees a considerable number of tuberculosis cases, but the military authorities in charge and the Ministry of Public Welfare are doing their best in the way of medical facilities for these patients.'

"Councillor SUGAWARA: '(1) What is the relationship between the treaty under review and the
anti-Comintern Pact? Moreover, Germany seems to be
the only party with whom we have negotiated this
treaty, and likewise in the supplementary instruments
exchanged between the contracting parties Germany
appears to be the only other party. Thus, there is
concern with regard to our relation with Italy.

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How about this print? (2) What is the resolve of our government with respect to the finance to meet with the outbreak of a Japanese-American war?

"Foreign Minister MATSUOKA: 'The AntiComintern Pact shall be retained. As to the adjustment of our relations with the Soviet Union, I believe that we can consider it separately. The reasons why Germany is the only party with whom we negotiated the treaty under review and with whom we
exchanged the relevant documents, are that the treaty was negotiated at the request of the German government, and also that what is being sought by our Empire is principally related to Germany. With regard
to our relations with Italy, we will endeavor to
leave no cause for any regrets.'

"Finance Minister KAWADA: 'With regard to our finance, doubtless the burden on our people will grow heavier. I wish to arrange various measures so that the whole nation can bear the increasing burden.'

"Councillor MATSUURA: 'With the conclusion of the Alliance under review, the attitude of the Soviet Union will greatly affect the future destiny of our Empire. Hence I hope that our government authorities would direct their efforts especially on

this point.'

"Councillor USHIO: 'The conclusion of the treaty under review will naturally result in increasing difficulties to our country. I feel it essential for our government authorities and also the leaders of our people to harness themselves with a renewed resolve and to arouse the people's spirit. As the dearth of civilian consumption goods will engender unrest in the people's thought, at least the supply of requisite items for sustaining the civilians' living should be given adequate consideration so as to leave no cause for any regrets. What is the government's intention in this respect?'

"President HOSHINO of the Planning Board:
'In our commodity mobilization program, the center
of gravity is laid on the items for military purposes. We have, however, paid a good deal of consideration to secure also the necessaries for the
civilians' living.'

"Councillor HAYASHI: 'In the light of the address made by STALIN before the Communist Party members after the signing of the Soviet-German agreement, it appears that his basic policy of launching a movement to Sovietize Germany and Britain at such a moment when their strength is spent,

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and likewise to Sovietize Japan and China, remains unchanged. If this be the case, rapprochement between Japan and the Soviet Union would be a task very difficult to accomplish. What is the view of the Foreign Minister in this respect?'

"Foreign Minister MATSUOKA: 'In my opinion, pessimism and optimism are divided on a fiftyfifty basis. Therefore, we intend to utilize Germany to bring the situation to an optimistic view.

It is still not certain to what extent Germany will
work upon the Soviet to adjust Soviet-Japanese relations. If, however, the Soviet Union is afraid of
Germany, the latter would make considerable efforts
to mediate between Japan and the Soviet Union.'

"Councillor FUKAI: '(1) In what way will
Germany give us military assistance in the event of
a Japanese-American war? (2) I learned that a protest was made in connection with the Soviet-German
non-aggression treaty on the ground that this infringes on the secret anti-Comintern Pact between
Japan and Germany. How has this turned out?

(3) In the preface of the treaty under review I
find a passage which reads: 'This enables each nation of the world to have a proper place in the
world,' but in Hitler's own language, he says

'against other races the survival of the fittest
is the grand high-road of heaven and earth.' These
two clearly contradict each other. Is there no cause
for unrest here? (4) If a Japanese-American war is
unavoidable, as Foreign Minister said, his assertions
might be justified; if, on the contrary, it is not
unavoidable, there must be room for maneuvering behind the scenes.'

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"'In the event of a war, there will be the unrest in respect to the supply of civilian necessaries, and also unrest in thought. Has the government any conviction that it can tide over the situation without the occurrence of a serious state of affairs? I wish to ask the Premier's resolve on this point.'

"Foreign Minister MATSUOKA, War Minister
TOJO and Premier KONOYE:

"'(1) German assistance will consist of the supply of superior arms and materials. This, however, will depend upon an understanding being reached with the Soviet Union.'

"'(2) It is open to question whether the protest was made to Gormany officially. No answer has been received from Germany.

"'(3) Any race that dies out under the

rule of the survival of the fittest is not worth existing on this earth. If we fail to accomplish our
grand mission of spreading the Imperial way at the
time it should be done, then it can't be helped
even if we go out of existence. The fact that we
succeeded in placing these words in the preface is a
victory of our diplomacy.'

"1(4) As to whether we shall be able to avert a crisis by courting America, such idea is wrong. In order to avoid a crisis, we must take a firm stand and nothing clsc. By this we will prevent an unfortunate situation to arise. Our government has come to a decision to conclude the treaty under review with an unusual determination, by taking into consideration from all conceivable angles the conditions of our country, the living conditions of the people, red propaganda, etc., in case of the worst situation. The Emperor himself also with an unusual resolve granted us his gracious words. We were deeply impressed at this as it reminded us of the resolve of the Emperor MEIJI at the time of the Russo-Japanese war. We are now determined to lay down our lives to serve the Throne. '

"Councillor FUTAGAMI: '(1) Did his
Majesty consult us on the treaty alone? Or are

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both the treaty and the exchange instruments involved? (2) Does the passage in Article 3 which reads: 'the European war or the China dispute' mean that no participation is made in either of them? (3) What is meant by the mixed expert commission? (4) There exists a non-agression treaty between Germany and the Soviet Union. Hence, does Article 5 mean that Germany will not attack the Soviet Union even when the latter assaults Japan? Further, is Japan bound to fight against the Soviet Union if a Soviet-German hestility breaks out? If this were the case, would not such an arrangement be unilateral. Does the word 'existing' mean the date of signing?'

"Foreign Minister MATSUOKA: '(1) What we had submitted to the Emperor for review was the draft for the treaty; and we have here presented to you the draft of the exchanged official documents for your reference.'

"'(2) Yes, that is just what it means.'

"'(3) It means the Economic Expert Commission and the Military Expert Commission."

I will omit the reading of the answer to question 4 as it is involved in a later phase of the case where it will be considered.

"'With regard to the word 'existing', if
you mean to ask if the present status of the Soviet
Union cannot be modified, I say no; I mean that it
will not be modified by the treaty under consideration.
It will be well to readjust Japan's relation with the
Soviet Union hereafter.'

"Councillor OSHIMA: (not the accused) '(1)
To what extent does the Greater East Asia include?

(2) Three or four years later, when Germany will have recovered from her scars of war she may get herself involved in hostilities with Russia. Has there been any talk about Japan and Germany combining to cope with Russia?'

"Foreign Minister MATSUOKA: '(1) This question has been mentioned before."

/gain, if the Tribunel please, I will not read the enswer to question 2 for the reason previously mentioned.

"Councillor OBATA: 'Although we have heard explanations on the necessity of concluding the treaty in question, it does not mean that insecurity has been obliterated. Since we have decided upon this matter with great determination, unerring insight, and solemn formality, we shall hope that it will not bring about the worst situation.

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"'Article III, externally, seems bilateral,
but the United States is about to participate in the
European war, while on the other hand it does not
consider Russian aid to Chiang Kai-Shek an act of
participation. Therefore, in reality, is it not
unilateral? It appears that Japan alone is bound to
a great sacrifice, while the burden on the part of
Germany is hardly conceivable.

#Foreign Minister MATEUCKA: 'STAHMER
maintains that the United States' participation in
the war is not desirable and there is no fear of such
a possibility.'

"'A Japanese-American war will be fatal.

There is just as much danger of a Japanese-American war, as there is in the United States' participation in the European war. The situation of the presidential election will have great influence upon it.

In connection with this election we must not ignore the influence of Germans residing in the United States, as these Germans will be a potent factor in preventing the United States' participation in the war.

"Councillor TAKEKOSHI: 'In the event of the worst situation, will Japan be able to choose the time and place to let the Japanese Navy partici-

pate in the war?

"Foreign Minister MATSUOKA: 'As it is to be decided by the three powers whether the exchanged official documents will prescribe obligations for participation in a war or not, your opinion in the case just mentioned is true.

"Chairman of the Committee SUZUKI: 'Irrespective of whether this pact will be concluded or
not the Japanese people, must realize the inevitability of a Japanese-American war. Both the Japanese
and American Navies will not be able to challenge
each other. If they should fight in the Western
Pacific the Japanese Navy will be able to annihilate
the American Navy. The Americans also may think the
same way. The United States is now going through a
naval-expansion program, but I believe that Japan
will be able to fight with its present strength in
the course of the next year or two. What is the
opinion of the Navy Minister on this point?

"Navy Minister OIKAWA: 'For the time being, if we presume that the United States will challenge us to a short and decisive war, I have full confidence of victory. With regard to future plans I wish to improve the quality of our Navy and expand our armaments as much as possible.

"Councillor ISPII: 'I have some doubts regarding the disposal of Japan's mandated areas as stated in the exchanged official documents. May I have the opinions of the authorities?

"Foreign Minister MATSUOKA: 'I wish to have it arranged, at this moment, that we shall have these mandated islands ceded to us free from Germany, to avoid any controversies in the future."

"Councillor MITSUCHI: '(1) As the consequence of the signing of this pact, American economic pressure upon Japan and the internal economic difficulties to follow will be to some extent inevitable. To meet with such a situation, it will be necessary to control the various phases of economic life within our nation. As a result, some of our people will lose their nower to procure their necessities of life. What are the Government's measures to cope with such a situation? (2) The Japanese people have an aptitude to look upon the signing of an alliance treaty as an assurance of perpetual amical relations, and to consider the nations who oppose it as enemies. I wish to ask our government, in making the announcement of this treaty, to emphasize that our people should be careful not to be captivated by Germany and Italy, and also not to have hostile orinions against

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the United States and Great Britain.

"Chief of the Planning.Board HOSHINO and Prime Minister KONOYE: '(1) With regard to the Anglo American pressure, we agree with you. As a result, those concerned with export and import will have to suffer. We are now taking up this aspect with a view to obtain some adequate measure to deal with it. (2) We entirely agree with your opinion on controlling the anti-Anglo-American movements.

"Chairman of the Committee SUZUKI, considering this the end of interpellations, ordered the State Ministers and the explainers to leave.

"Then, deliberations were started among the committee members, every member exchanging his opinion on the formality and substances of the pact.

"Finally, it was decided to avoid any incitement that may develope from this proposition against the United States and Great Britain; and to smoothen Japan's relations with the Soviet Union; and moreover, demanded the government authorities not to neglect preparations for the possible worst situation. Thus, the pact was unanimously approved."

MR. TAVENNER: I now offer in evidence 1 prosecution's document 1215. It is a record of the 2 meeting of the Privy Council the 26 September 1940, 3 at which the draft of the alliance was approved. All 4 of the accused present at the earlier meeting of the Investigating Committee were present at this meeting, 6 with the exception of Major General MUTO. THE PRESIDENT: Admitted on the usual terms. 8 CLERK OF THE COURT: Prosecution's document 9 No. 1215 will receive exhibit No. 553. 10 (Whereupon, the document above re-11 ferred to was marked prosecution's exhibit No. 12 553 and received in evidence.) 13 MR. TAVENNER: (Reading) 14 "Strictly Confidential. Original. Record 15 16 of the Meeting of the Privy Council. "Subject: Conclusion of a Three-Power 17 18 Treaty between Japan, Germany and Italy. "The meeting is opened on Thursday, September 19 20 26, 1940 at 9:40 P.M. "His Imperial Majesty enters." 22 I will not read the names of those present in view of the statement I have just made. I will 23 24 begin to read near the middle of page 2. (Reading)

"PRESIDENT (HARA). The meeting is called

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to order.

"The matter of the conclusion of a three power treaty between Japan, Germany and Italy is submitted as the subject for discussion.

"I now open the first reading. Omitting the reading I immediately call for the report of the Chairman of the Investigation Committee.

"REPORTING COUNCILLOR (SUZUKI):" (not the accused) "Recently the conclusion of a three power treaty between Japan, Germany and Italy was referred to this Council for deliveration. Today I and all other councillors present received the command to serve on the Investigation Committee. We immediately opened the committee meeting, and thinking of the great importance of this draft, we listened minutely to the explanations given by the ministers of state and various officials concerned and gave the matter careful consideration. Moreover as the matter was urgent there was no time to issue a report on the investigation. I ask for your understanding concerning the above.

"According to the explanations of the ministers of state, at this time when the China incident is still unsolved the recent attitude of the United States towards our country had gradually

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stiffened. The attitude of the Chungking Government and other countries antagonistic to our country have also been influenced by this attitude; the international position of our country has become more and more difficult; and we cannot but be gravely concerned over the future. Now the only way to surmount this crisis and strengthen our international position is to strengthen our cooperation with countries having the same interests as ours. Now Germany and Italy are eager to prevent the entry into the war of the United States, while our country also is desirous of avoiding a crisis with that country, so that our interests coincide. Therefore the Government has made zealous efforts in this direction ever since its formation. Recently, based on a German proposal, the opportunity for us to strengthen our cooperation (ties) with Germany and Italy without entering the present European war has arrived. For this reason we planned to conclude a treaty, opened negotiations with these two countries, and after many conferences finally reached a conclusion on the twenty-fourth of this month. This is the treaty now placed before this Council for deliberation.

"I shall now give the substance of this treaty. First, in the preamble, the governments of

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the three countries of Japan, Germany and Italy recognize that the prerequisite for eternal peace is the enabling of every country to have its own place, and therefore, their basic principle is the construction and maintenance of a new order sufficient to show the fruits of co-existence and coprosperity of the various races in Europe and Greater East Asia. They have decided to act in harmony and cooperation regarding the efforts to be based on this object (aim) and furthermore they will give unstinting cooperation to countries in every part of the world who desire to make the same efforts. In this way the three countries hope to realize their ultimate aspirations regarding world peace. Next, in the body of the treaty, the following points were decided: (1) Japan recognizes and respects the leading position of Germany and Italy in the construction of a new order in Europe while Germany and Italy recognize and respect Japan's leading position in the construction of a new order in Greater Last Asia.

"(2) The three countries of Japan, Germany and Italy will cooperate with each other in their efforts based on the above-mentioned line of policy. When one of these three countries is attacked by a

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country not at present involved in either the European war or the Sino-Japanese hostilities the three countries will aid each other with all political, economic and military means.

"(3) In order to carry out this treaty a mixed special committee composed of members appointed by each of the governments of the three countries shall be assembled without delay.

"(4) The three countries confirm the fact that the articles of this treaty shall in no wise affect the existing political situation between these three countries and the Soviet Union.

"(5) This treaty shall take effect simultaneously with its signing and shall be effective for ten years. At an appropriate time before its expiration, upon the demand of one of the contracting powers negotiations shall be opened regarding the renewal of the treaty."

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"We think that the proposed Japan-Germany-Italy Three Power Treaty is based on the common interests of the three countries of Japan, Germany and Italy, and will strengthen all the more the cooperation of the three countries in working together and pushing forward in constructing a new order respectively in Greater East Asia and Europe. Furthermore it is proposed that the three countries promise to aid each other in every way against the attack of another country not at present in the war. This is indeed a matter of unprecedented gravity for our country and there is need for deep and mature deliberation on the influence it will have on our national destiny. Nevertheless, in view of the present situation, we are forced to recognize that it (the treaty) was truly unavoidable. But there is need to take steps to prevent, as far as possible, the inciting of Great Britain and America es a result of the conclusion of this treaty. At the same time, we must also make ample preparations in case by any chance worst should come to worst. The Government has said it will make ample efforts concerning these several points. Also, as to our country's relations with the Soviet Union, we need to make special efforts for smooth relations, and

on this point also the rovernment had declared it will exert itself for the accomplishment of its object through Germany. Hereupon, I and my colleagues place our trust in the government's declarations and furthermore earnestly desire the government to do its utmost. Thus I and my colleagues unanimously voted that this draft should be passed at it stands, together with the above-mentioned requests.

"'I hereby report the results of the investigation.

proposed dreft (this matter) is one of the most important problems since our country opened up diplomatic relations. I hereby make clear that in the final analysis I agree with the proposed dreft. Because I deeply fear that the enforcement of this alliance is apt to invite grave disasters I wish to give a few outspoken opinions concerning this dreft and thus urge the careful attention of the government authorities.

"To begin with, modern international alliances are different from those of olden times, which gave birth to very intimate relationships comparable to those of husband and wife, or of brothers, for they constitute simply a union (partnership)

of one country with another. Furthermore in the operation of a treaty of alliance, one ally tries to gain exclusive benefits for his own country at the secrifice of the other. It is because of this that diplomatic relations between allied countries ere particularly difficult. With this actual fact as a premise, let us consider the national character fo the two countries of Germany and Italy. It is a conspicuous fact that there is not a single country that has gained any benefit from allying itself with Germany and her predecessor Prussia. Not only so. but there are countries which because of this alliance have suffered unforeseen disasters and have finally lost their national entity. Chancellor BISMARCK of Cermany once said that in international alliances one horseman and one donkey are required, and that Germany must always be the horseman. Sure enough, just as he had said, in the last European wer, her attitude towards Austria and Turkey was as that of a horseman shouting at and whipping a donkey -she made these two countries endanger their very existence, so that even onlookers could not help shuddering. But of course these were events concerning Imperial Germany, and there are people who will say that the newly-arisen Nazi Germany will

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not necessarily follow in the tracks of the Imperial Regime. In spite of this I believe that Chancellor HITLER of Nazi Germany is a character of no little danger. He is said to have taken MACHIAVELLI as a model and always has his book Il Principe at his right hand. Verily, he has declared that international alliances are a temporary expedient, and has publicly stated that one should not hesitate in breaking them as soon as the time comes. For instance the conclusion of the German-Soviet pact last August, which clearly conflicts with the enti-Comintern mact that country had previously concluded with us, should from the 12 first have occasioned no surprise. From early years he (HITLER) had studied about the Orient, and held from the first that Japan should not be allowed to become a strong power, and it is said he often spoke of this to those close to him. From whatever angle we view it, we cannot believe that Nazi Germany under the leadership of HITLER can be a loyal friend of Japan for a long time. Next, when we consider Italy, we find her national character just as unreliable as that of Germany. From early days she has thought of herself as being the king (queen) of power politics among the European powers. Probably this is why she received no heavy damage from Germany in the last

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European War.

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"'To sum it up, both Germany and Italy should not be trusted too much. Then what is my reason for agreeing to this draft, the purpose of which is to conclude an elliance with these two untrustworthy countries? In spite of the reasons I have here stated, I believe that the proposal of allying ourselves with these two countries is opportune. When one thinks of the position of Germany and Italy in recent years, from the economic viewpoint of haves and have-nots, or from the connection of the belence of power, it may be considered that there are no countries whose interest are as similar as those of Japan, Germany and Italy. The alliance can be said to be based on common national interests, rather than on their national character of the personality of their leaders. Thus the Germany of today must be called a truly invaluable ally for the Japan of today. However as I have said before, as the national character of Germany is such as would suck the blood of others, we must pay deep attention lest she should boast of being the only horse rider, Both in modern science and in her capacity for the production of munitions Germany shows a degree of progress one step sheed of ours. Since we are con-

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cluding an alliance with her we should of our own accord make efforts to take advantage of those points in which she is superior. I am in favor of the conclusion of this treaty of allience as a timely step, but in the light of past history. I earnestly hope that when this treaty is realized, the honorable members of the government will not neglect to take exhaustive and scrupulous care so that we mead have no future regrets. It is a matter for rejoicing that our isolated and friendless country has here unexpectely received a hand from strong other countries, and I fervently pray that my fears may prove to be groundless. But if by any chance even a part of my fears should be realized it will lead to serious consequences. It is for this reason that I have taken it upon myself to give some outspoken advice to the government, and have hereby stated my inmost thoughts so that they may 'be of reference to you.

"'PRESIDENT (HARA): As no other opinions are voiced, I shall omit the second reading and so fofth and immediately call for a vote. I ask all those in favor of the draft to rise.

"'(The entie body rises.)

"'PRESIDENT (HARA): The dreft has been

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passed by a unanimous vote. The meeting is adjourned.
                "'His Imperial Majesty leaves.
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                "'(Meeting closed at 10;15 P.M.)"
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The Tribunal's attention is called to prosecution document 922, a basic document in evidence as exhibit 43. It is the Tripartite Alliance of Japan, Germany and Italy, of 27 September 1940.

May I read it?

THE PRESIDENT: It is not already read into the transcript?

MR. TAVENNER: I think not, sir. (Reading)

## "TRIPARTITE ALLIANCE OF JAPAN, GERMANY AND ITALY.

Italy, under the common belief that the first essential for lasting peace rests only upon enabling every nation to have contentment and peace, being lotted to a certain sphere of activity of her own, have made it our fundamental principle to establish a new order for co-prosperity of its own race, in Great \*\*sia and Europe, and to maintain the same; and have reached the decision to cooperate and co-assist each other in carrying out this basic fundamental in each respective field; and further, the governments of these three nations to be willing to extend their cooperative hands over all rations willing to endeavor in realization of the same idea in any part of the world; and in hope of the realization of our final object of establishing

lasting peace, the governments of Japan, Germany and Italy have hereby entered into the following agreement:

"Article I: Japan shall recognize and respect the leadership of Germany and Italy for establishment of new order in Europe.

"Article II: Germany and Italy shall recognize and respect the leadership of Japan for establishment of new order in Great Asia.

shall agree to cooperate with one another in carrying out the aforementioned policy; and, further, if and when any one of the signatories be attacked by any third power not presently engaged in the present European war, or the China Incident, the other two shall aid her in any way political, economical or military.

"Article IV: In order to effect this alliance, a joint specialized committee, composed of
representative members appointed by each power of
Japan, Germany and Italy, shall meet as early as
possible.

"Article V: Japan, Germany and Italy shall confirm that the above stated articles of this alliance have no effect whatsoever to the present exist-

ing political relation between each or any one of the signatories with Soviet Union.

"Article VI: This alliance shall become offective on the day of signature and shall remain in force for the period of 10 years.

"Upon demand of any one of the signatories before expiration of the term, the signatories will confer over its renewal.

"As evidence for the conclusion of this elliance, the undersigned, duly authorized by each respective nation hereby, has signed his signature on this paper on this day of twenty-seventh, the month of September, the Fifteenth Year of Showa, that is 1940 A.D.; the twenty-seventh day of September of Fascist Eighteenth year, 3 copies were made in Berlin."

I offer in evidence prosecution document 2600-A.

It is an Imperial Rescript issued on 27 September 1940.

THE PRESIDENT: Proclaiming the pact, isn't it?

CLERK OF THE COURT: Prosecution's document

Admitted on the usual terms.

No. 2600-A will receive exhibit No. 554.

(Whereupon, the document above referred to was marked prosecution's exhibit No. 554, and was received in evidence.)

MR. TAVENNER: The prosecution contends its purpose was to unify the nation in support of the principles of the Tripartite Pact -- Alliance.

(Reading) "27th Sept., 1940 /Showa 15th/.
Official Gazette Extra.

"The enhancement of great righteousness in the eight corners of the world, and the unification of the world under one roof has been the great instruction given to us by our august Imperial forefathers, which we have never neglected. The disturbances in the world situation today are being endlessly aggravated, and the disasters which would be inflicted upon humanity would be immeasurably great. As we earnestly desire that no time will be lost in overcoming all the troubles and restoring peace, we have ordered our government to discuss the matters concerning coalition and ollaboration with Germany and Italy, who have similar objects

with the Empire. Accordingly, we are deeply pleased to see the conclusion of the pact between the three countries.

have a very long way before we accomplish the unprecedented task of enabling all the nations to have their own proper places and to live in peace and security.

Ye, our subjects, guard and maintain the prosperity of our Imperial Throne which is eternal as Heaven and earth, by overcoming the critical situation of today with united will and strength and with far-sighted wisdom and clearer concept of the national polity.

"The Imperial signmanual and seal.

"27th September, 15th year of Showa (1940)."

Among those whose names appear as principal officers of the government, at the end of this Imperial Rescript, are the following names of accused:

War Minister, TOJO, Hideki.

Foreign Minister and Minister of Overseas Affairs, MATSUOKA, Yosuke."

President of Planning Board, HOSHINO, Naoki.

I now offer in evidence prosecution documents 940-B, C, and A, as one exhibit; and also prosecution document 1214-A. These documents contain the secret letters exchanged at the time of the conclusion of the

Pact as referred to by Foreign Minister MATSUOKA in his explanation of the Pact.

940-B is a letter from the German Ambassador in Tokyo of September 27, 1940. The name of the addressee does not appear on the English version or on the translation. But it does appear--

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Prosecution's document

No. 940-A will receive exhibit No. 555-A; and document

940-B, exhibit No. 555-B; 940-C, exhibit No. 555-C;

and document No. 1214-A will receive exhibit No. 556.

(Thereupon, the documents above referred to were marked prosecution's exhibits No. 555-A, 555-B, 555-C, and 556, respectively, and were received in evidence.)

MR. TAVENNER: Exhibit 555-B, which is prosecution document No. 940-B, was addressed to Foreign Minister MATSUOKA by the German Ambassador, and it is as follows:

(Reading)

"Tokyo, September 27, 1940

"Strictly confidential.

"Excellency:

"At the moment when our conversations, begun on the 9th instant at Tokyo, are about to eventu-

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Pact, it is Minister Stahmer's and my sincerest desire to tender to Your Excellency the expression of deepest appreciation for the principal part Your Excellency has played throughout in a most generous and accommodating spirit.

"We wish to take this occasion to state

ate in a successful conclusion of the Three Powers

"We wish to take this occasion to state once more in this letter some of the salient points reiterated in our conversations with Your Excellency which are as follows:

"The German Government are convinced that the Contracting Parties are about to enter into a new and decisive phase of world history in which it will be their task to assume the leadership in the establishment of a new order in Greater East Asia and Europe respectively.

"The fact that for a long time to come their interests will coincide and the unrestricted mutual confidence of the Contracting Parties form the solid foundation on which the Pact is built.

"The German Government firmly believe that the technical details concerning the execution of the Pact will be settled without difficulties and that it would not be in keeping with the far-reaching importance of the Pact and it would be practically

impossible to anticipate all possible cases which might arise in the course of its application; they can only be dealt with in the spirit of mutual confidence and helpfulness as they arise from time to time.

"Conclusions of the Technical Commissions stipulated in Article 4 of the Pact shall be submitted to the respective Governments for approval in order to be put in force.

"It is needless to say that whether or not a Contracting Party has been attacked within the meaning of Article 3 of the Pact shall be determined upon consultation among the three Contracting Parties.

"If Japan, contrary to the intentions of the Pact, should be attacked by a Power so far not engaged in the European War or the China Incident, Germany will consider it a matter of course to give Japan full support and assist it with all military and economic means.

"With regard to the relations between

Japan and Soviet Russia, Germany will do everything within its power to promote a friendly understanding and will at any time offer its good offices to this end.

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"Germany will use her industrial capacity and other resources technical and material as far as possible in favour of Japan in order both to facilitate the establishment of a new order in Greater East Asia and to enable her to be better prepared for any emergency. Germany and Japan will further undertake mutually to aid each other in procuring in every possible way raw materials and minerals including oil which they will have been in need of.

"The German Foreign Minister implicitly believes that Italy will of course act in concord with Germany and Japan when and where assistance and cooperation by Italy is sought in reference to the matters above enumerated.

"I have the honour to present to Your Excellency the above expose as the views of the German Foreign Minister conveyed personally by his special delegate, Minister Stahmer, and repeatedly transmitted to me from my Government.

"I avail myself of this opportunity to renew to Your Excellency the assurance of my highest consideration.

"Signed: Ott"

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Document 940-C, which is in evidence as exhibit 555-C, is likewise a letter from Ambassador Ott addressed to Foreign Minister MATSUOKA.

(Reading) "Tokyo, September 27, 1940. Strictly confidential.
Excellency:

"I have the honour to acknowledge receipt of your Excellency's Letter Jyo - ni Nr.133 of this date with the contents as follows:

Excellency that, the Japanese Government earnestly share the hope with the Governments of Germany and Italy that the present European War will remain limited as far as possible in its shpere and scope and will come to a speedy conclusion and that they shall on their part spare no effort in that direction.

"However, the conditions actually prevailing in Greater East Asia and elsewhere do not permit the Japanese Government to rest assured in the present circumstances that there is no danger whatever of an armed conflict taking place between Japan and Great Britian, and accordingly they desire to call attention of the German Government to such a possibility and state that they feel confident that Germany will do their utmost

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to aid Japan in such eventuality with all means in their power.' End of quotation. "I take this occasion to note the con-tents of Your Excellency's letter. "I avail myself of this opportunity to renew to Your Excellency the highest consideration. 'Signed: "Ott." 

I will now read document 556, which is prosecution document 1214-A.

(Reading) "Strictly Confidential (Draft).

"Letter from the Foreign Minister to the German Ambassador. Excellency:

"I have the honour to ask Your Excellency to confirm the following oral declaration which was made by Your Excellency on behalf of the German Government:

German Colonies actually under Japan's Mandate in the South Seas shall remain in Japan's possession, it being understood that Germany be in a way compensated therefor. In regard to other former Colonies in the South Seas, they hall be restored automatically to Germany upon conclusion of peace ending the present European War. Afterwards the German Government would be prepared to confer, in an accommodating spirit, with the Japanese Government with a view to disposing of them as far as possible in Japan's favour against compensation.'"

"I avail myself of this opportunity to renew to Your Excellency the highest consideration."

I return now to document 555-A.

MR. LOGAN: I wish to call the Tribunal's attention to the fact that prosecution exhibit 556

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has no date on it. THE PRESIDENT: So we observed. We also observed that it purports to be a draft only. 2 MR. TAVENNER: The next document that is intro-3 duced in evidence refers to this letter and I think 4 answers your Honor's question. 5 6 Prosecution document 940-A, in evidence as exhibit 555-A, is a letter from the German Ambassador 7 to MATSUOKA of September 27, 1940, which I will read. 9 "Tokyo, September 27, 1940, Strictly Confi-10 dential. Excellency: 11 "I have the honour to acknowledge receipt of 12 Your Excellency's letter Jyo-ni Nr. 134 of this date and to confirm the oral declaration made by me concern-14 ing the former German colonies in the South Sea con-15 tained in Your Excellency's letter under reply. "I avail myself of this opportunity to renew 17 to Your Excellency the highest consideration. 18 "Signed: Ott." 19 THE PRESIDENT: You may omit those formal 20 parts. MR. TAVENNER: I present for introduction in evidence, prosecution document 2361. It is an article written by the accused SHIRATORI, Toshio. THE PRESIDENT: On the Three-Power Pact and 25

the World of Tomorrow.

MR. TAVENNER: Yes, sir.

THE PRESIDENT: Admitted on the usual terms.

There is no objection, is there?

MR. CAUDLE: If it please the Court, I am Charles Caudle, associate counsel for SHIRATORI. I would like to object to the introduction of this article, inasmuch as the translation from the original article, according to the defendant, is not correct.

at which we determine contested questions of fact of that type. The prosecution may be prepared to admit errors and to correct them. Subject to that, of course, you have only the right to give evidence for the defense later correcting any errors.

Objection is overruled.

MR. TAVENNER: This article is taken from "Contemporary Japan," a review of Far Eastern Affairs, Volume IX, December 1940, at page 1514. I would like to present this volume of "Contemporary Japan" for identification only.

THE PRESIDENT: Mark it accordingly.

CLERK OF THE COURT: Prosecution's document
No. 2361, to-wit, a copy of "Contemporary Japan,"
Volume IX, Lecember 1940, will be marked exhibit 557

for identification only; and the extract therefrom, towit, prosecution's document 2361-A, will receive exhibit No. 557-A. THE PRESIDENT: 2361, not A. CLERK OF THE COURT: Correction: Prosecution document 2361 instead of 2361-A. (Whereupon, the document above referred to was marked prosecution's exhibit No. 557 for identification.) THE PRESIDENT: You may read it after the recess. We will recess now for fifteen minutes. (Whereupon, at 1445, a recess was taken until 1500, after which the proceedings were resumed as follows:) 

Wolf \* Yelde

MARSHAL OF THE COURT: The Tribunal is now resumed.

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: "The Three-Power Pact and the World of Tomorrow by Toshio SHIRATORI." I will begin to read at the beginning of the first paragraph on page three.

"What, then, is the new order which Japan, Germany and Italy intend to bring about, first in their respective spheres and ultimately throughout the world, as stipulated in the instrument? To give a clear-cut answer to this question is difficult at the present stage; but in view of the fact that the three Powers, discarding the ideologies of individualism and democracy, have adopted the principle of dealing with human society from the totalitarian point of view, the character of the new order to be created under their leadership must for the most part be clearly perceived.

"From the time of ancient Greece down to the modern age, Western civilization has undergone various changes in form, but the basis upon which it has developed has consistently been the individualistic view of the world. It was the movement for <u>Kultur</u> staged in various fields of activity by the German

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people with the rise of Prussia to power which revolted for the first time against this conception of civilization. Yet because of the structures of her state and society as well as the thoughts of her people not yet being entirely free from liberalism and individualism Germany was ultimately defeated in the last European War, and the German term Kultur was accordingly made the butt of ridicule and contempt by the conquerors. By its subsequent development, however, Kultur Kampf has proved that, though its steps may be temporarily interrupted, it is after all in line with the inevitable course of progress which mankind is destined to take. The totalitarian movement, started by the Fascist Revolution in Italy and now about to reach its zenith in the National Socialist Revolution in Germany, is spreading like a prairie fire, leaving no room for doubt that the world of tomorrow will be thoroughly permeated with this new concept of world and man.

"The writer understands the totalitarian movement to mean, in the west, a return to that simple but virile human instinct which characterized the German and Latin peoples of more than a thousand years ago. Simultaneously with the appearance of such a movement in Germany and Italy, there also arose in

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Japan a similar movement for going back to the intrinsically Japanese way of ancient days. In Japan, however, no such drastic change as warrants being called revolutionary was necessary, as was the case in Germany and Italy. For Japan's polity has since the foundation of the Empire been established and maintained upon a permanent and unchanging basis, and the pure and unadulterated totalitarianism embodying the unity of sovereign and subjects as one organic body has always been the immutable faith of the Japanese people. But it would be untrue to say that the evils of liberalistic civilization have not for the past half-century poisoned her ancient tradition. Indeed, the accumulation of its harmful influences had become so intolerably large in both internal and foreign affairs that at last the nation revolted and its dissatisfaction found expression in the form of the Manchurian incident of 1931. This incident meant the bursting out of the thitherto suppressed healthy, racial instinct of the nation, in challenge externally of unjust conditions long forced upon mankind by the democratic Powers and internally of all alien ideas and thoughts grafted upon the people. It called for a re-examination and a return to what is really Japanese in politics, economics and indeed in

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all activities of the people. The characteristics of this movement in Japan have become even more accentuated in the current China affair, in which the classic expression, Hakko Ichiu, has been adopted as the national slogan, and the ultimate object of the affair, in consonance with the spirit of the slogan, is to be the establishment of a new order in East Asia. The controversies of the past ten years in the Far East, therefore, may well be called the racial revivalist-reform movement of the Japanese people, chief obstacles to which having been offered by the machinations of the democratic Powers who have clung to the old order as the best purveyor of their own interests. The war in Asia can thus be regarded as essentially a conflict between the view of the world as represented by these democratic Powers and the Kohdo spirit which has consistently been protected and fostered by the Japanese nation since the foundation of the Empire. Since the current war in Europe is, fundamentally speaking, a struggle between two different ideologies and a clash between old and new civilizations, it may safely be said that in this sense the wars in the East and West are essentially the same.

"Unless one views the present wars in the above

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light and takes into consideration the fact that the so-called new order movement is ultimately an ideological and cultural movement for a return to man's innate character, one will never be able to understand correctly the real nature of the new world order of tomorrow which is to be established through Japanese-German-Italian co-operation.

"Before a new world order is fully established, there must first of all be effected a radical change in the thought of the people in general. In other words, the mental habit must be broken which has for past centuries controlled mankind. Everything requires reappraisal, and even the essential qualities of God and men must necessarily be re-examined. In this process many a dogma and prejudice will have to be wiped out, for in order to achieve the contemplated change, such things as freedom and equality of individuals, the inherent rights of man, the absolute sovereignty of a state and the right of self-determination of the people must be viewed from a completely different angle from that of the past. In the new world, many things which formerly were highly evaluated as making this earthly life worth living may lose their value, for it must be emphasized that the very things which the old forces in the world have specially prized

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constitute the sources of injustice, irrationality, tyranny, and exploitation. It is not expected, of course, that such a tremendous reformation which has no parallel in world history can be accomplished in a brief space of time. But now the trend of the age is definitely in that direction. Not only that, but the construction of a new order is in fact already progressing over wide areas of Asia and Europe and promises to be greatly accelerated hereafter.

"In view of this epoch-making movement now under way in Europe and Asia, there should be no doubt as to the reasons for the birth of the treaty among Japan, Germany and Italy or as to the duty with which it has been charged."

I tender in evidence prosecution document 1204-D. It is a radio speech for the president of the Board of Information in commemoration of the first anniversary of the conclusion of the Three-Power Pact, which was published in official announcements concerning foreign relations by the Board of Information.

THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Prosecution's document No. 1204-D will receive exhibit No. 558.

(Whereupon, prosecution's exhibit No. 558 was received in evidence.)

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MR. TAVENNER: (Reading)

"RADIO SPEECH OF DR. NOBUMI ITO, PRESIDENT
OF THE BOARD OF INFORMATION IN COMMEMORATION
OF THE FIRST ANNIVERSARY OF THE CONCLUSION
OF THE THREE-POWER PACT BETWEEN JAPAN,
GERMANY AND ITALY.

"September 26, 1941.

"Exactly a year has elapsed since the
Three-Power Pact was concluded between Japan, Germany
and Italy on September 27 last year. Following its
conclusion Hungary joined it on November 20, last
year, followed by Rumania on the 23rd of the same
month, and Slovakia, on December 24. On March 1, this
year, Bulgaria participated in the Pact and on June 15,
Croatia followed suit. In such a manner the Pact has
gained successively new participants and all the
signatory Powers in the East and West have been exerting themselves to their utmost for the construction
of the new world order. We, the people of Japan, must
bear in mind that tomorrow's anniversary is the day to
be heartily felicitated.

"The real meaning of the spirit of the Three-Power Pact is clear from the Imperial Rescript granted on the day of its conclusion and from the message of Prime Minister Konoye. In the Imperial Rescript is

stated, 'We fervently hope that the cessation of the disturbances and the restoration of peace will be realized as swiftly as possible,' and in the Prime Minister's message, also, the same sentiment is expressed. As stated in the Prime Minister's message, it goes without saying that the maintenance of world peace as well as the stabilization of East Asia are attributable to the spirit of the founding of our Empire and constitute our immutable policy. The Three-Power Pact is nothing other than a concrete expression of this spirit which constitutes the basis of our foreign policy at present.

"The situation prevailing at the time of its conclusion was such that more than a year had already passed since the outbreak of the European War with the hostilities steadily expanding in scope and warlike clouds appearing to spread over the whole world. Ever being solicitous of the maintenance of world peace, the Japanese Government, considering it to be of urgent necessity for the welfare of mankind to forestall the spread of the war, concluded the Pact. Expressed in other words, the Three-Power Pact is not one like military alliance of the past which were made for the purpose of acquiring advantages for the prosecution of war.

"The fundamental purpose of its conclusion lay in the prevention of world-wide conflagration and the establishment of world peace on a firm foundation. It was this spirit which largely motivated the conclusion of the Three-Power Pact.

"The second point is the fact that by this Pact the leading position of Japan in the establishment of the new order in Greater East Asia was definitely recognized. The very fact that Japan, with a view to bringing about the permanent stabilization of East Asia has already waged more than four years of hostilities for the overthrow of the Chiang Kai-shek regime which is the cat's paw for the defense of the countries clinging to the old order, can be considered as one of the great movements for the change in the world at present. It is indeed regrettable that the real intentions of our country have not yet been fully understood throughout the world. Some think mistakenly that to persist to maintain the old order means peace, and some, although they recognize the necessity of change, still cling to the status quo; and thus they are prone to oppose the construction of the new order in Greater East Asia by Japan. Under such circumstances, it is quite natural that Japan should cooperate with Germany and Italy, which share with us the same ideals

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and aspirations.

"In the region of Europe, Germany and Italy have, with irresistible power, made signal progress towards the establishment of the new order since the conclusion of the Pact. It needs not be elaborated here what a strong encouragement it has been to Germany and Italy that Japan, as one of the signatory Powers, has maintained in the meantime a firm attitude in East Asia. In East Asia the first forward step was made for the construction of the new order with the three countries -- Japan, Manchoukuo and China -- serving as its pivot following the recognition in November last by Japan of the National Government of China under Mr. Wang Ching-wei. Not only that, our country mediated the border dispute between Thailand and French Indo-China in the early part of this year and successfully settled it, and, in July, concluded the Agreement for the Joint Defense of French Indo-China under which our forces made a peaceful entry into that country. Thus, the construction of the new order is also making steady progress in Greater East Asia where our country is shouldering her responsibility in this part of the world.

"As I have already said, a year has passed since the conclusion of the Three-Power Pact with new

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and aspirations.

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"As I have already said, a year has passed since the conclusion of the Three-Power Pact with new

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participants increasing, and in East Asia as well as Europe each signatory Power has steadily marched forward for the construction of the new order. undeniably many difficulties lying in the path of our country as is mentioned in the Imperial Rescript in which His Imperial Majesty graciously stated. task of enabling each nation to find its proper place and all individuals to live in peace and security is indeed one of the great magnitude, unparalleled in history. The goal lies still far distant. ' As for us, it is my belief that we must be strongly determined to exhaust all peaceful means possible in accordance with the spirit of the Pact, and if there be any interference by a third Power, to dispel it resolutely, and to go forward with steady steps toward the ultimate realization of the new world order.

"In welcoming the first anniversary of the Three-Power Pact, I congratulate upon the various accomplishments of the past year. Whatever changes there may be hereafter in international situation and whatever difficulties we may encounter, there will not be any change whatever in the fact that the spirit of the Three-Power Pact constitutes the key-note of our diplomacy and that it will not be affected in the least by the activities of third Powers to alienate the

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three countries from each other. This point I wish specially to emphasize in view of the present delicate international situation."

This completes the phase of the subject of the Tripartite Pact as to the conclusion of the Pact.

THE PRESIDENT: Mr. Hyde.

MR. HYDE: If the Court please, I shall now take up collaboration between Japan, Germany and Italy under the provisions of the Tripartite Pact. I offer in evidence prosecution document No. 955. This is an agreement providing for the establishment of a general commission and two technical commissions to be formed under the provisions of Article IV of the Tripartite Pact.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Prosecution's document
No. 955 will receive exhibit No. 559.

(Whereupon, prosecution's exhibit No. 559 was received in evidence.) MR. HYDE: I will read exhibit No. 559:

## "Memorandum

"The governments of Japan, Germany and Italy have reached an agreement regarding the mutual technical commission provided for in Article IV of the Tripartite Pact concluded in Berlin on 27 September 1940.

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"Upon instructions from their governments, the Imperial Japanese Minister for Foreign Affairs, Mr. Yosuke MATSUOKA, the German Ambassador, Mr. Eugen Ott, and the Royal Italian Ambassador, Mr. Mario INDELLI, met in order to confirm and sign the agreement which had been reached. The text agreed upon has the following wording:

"A general commission and two technical commissions (a military and an economic one) are to be formed independent of each other in each of the three capitals--Tokyo, Berlin, and Rome.

"The general commission to be formed in Tokyo is to consist of the Imperial Japanese Minister for Foreign Affairs (as chairman), the German Ambassador and the Royal Italian Ambassador.

"The general commissions to be formed in Berlin and Rome respectively are to consist in Berlin of the German Reichs Minister for Foreign Affairs (as chairman), the Imperial Japanese Ambassador, and the Royal Italian Ambassador, in Rome of the Royal Italian Minister for Foreign Affairs (as chairman), the Imperial Japanese Ambassador, and the German Ambassador.

"The members of the general commission can, if necessary, take into consultation military, economic, or other technical experts for their sessions.

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"The general commissions have the task of executing the Tripartite Pact. For this purpose they can claim the support of the military and economic commissions.

"The military and economic commissions are to consist of permanent members appointed by the governments of the three countries.

"The military commission in Tokyo is to be composed of the representatives of the Japanese Army and Navy as well as of the Military, Naval and Air Attaches of the German and the Royal Italian Embassy.

"The economic commission in Tokyo is to be composed of the number of experts found necessary by the Japanese Government, and the German and the Royal Italian Embassy.

"The military as well as the economic commissions may, if necessary, take into consultation also non-permanent co-workers and technical experts.

"A number of secretaries are to be appointed for every commission.

"The military and economic commissions in Berlin and Rome are to be composed in a similar way as they are in Tokyo.

"The chairman of each commission is principally appointed by the government of the country in

which the commission is formed.

"The military and economic commissions convene either upon invitation from the appertaining general commission, or when the necessity arises to discuss questions which come up. In each case they will submit their proposals to the general commission.

"All proposals of the general commission will be submitted to the governments of the three powers for the final approval.

"Drawn up and signed in three copies in the Japanese, the German, and the Italian language. "Tokyo, 20 December 1940.

"/s/ OTT

"/s/ INDELLI

"/s/ MATSUCKA."

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Goldberg & Spratt

MR. HYDE: The personnel records in evidence reflect that the following of the accused were members of the Commission: MATSUOKA, Yosuke; OSHIMA, Hiroshi; TOGO, Shigenori; MUTO, Akira; OKA, Takasumi; and SATO, Kenryo.

I offer prosecution's document 4042-B, which is a telegram from Ott, dated 13 December 1940. The telegram does not indicate to whom it was sent, but it was found in the files of the German Foreign Ministry. It is offered to show the indispensable part that the accused OSHIMA and SHIRATORI expected to play in promoting pro-German relations in Japan.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Prosecution's document

No. 4042-B will receive exhibit No. 560.

(Whereupon, the above-mentioned document was marked prosecution's exhibit No. 560, and received in evidence.)

MR. HYDE: I will read exhibit No. 560.

(Reading): "Telegram from Ott, dated

13 December 1940, telegram (Secret Cipher Process)."

It is marked "To be kept in locked file."

It is dated "Tokyo, December 13, 1946." It arrived

December 13, 1940, marked "Secret," and "Most urgent."

(Reading continued):

"The Foreign Office reports that Ambassador KURUSU is commissioned to seek an agreement for General OSHIMA as Ambassador in Berlin. For the previous history, I know that the Foreign Minister has repeatedly offered the Ambassadorial post in Berlin to OSHIMA, who, however, declined it in order to be able to continue his politically active work for the Tripartite Pact in Japan. After the appointment of Admiral NOMURA as Ambassador in Washington, the Foreign Minister put renewed pressure on OSHIMA who thereby wanted to avoid the appearance of a weakening of his Tripartite Pact policy. At the same time, the army, supported by important navy circles, urged OSHIMA to accept, in the endeavour to have a completely reliable proponent of the Alliance policy with Germany occupy the most important ambassadorial post in Europe, especially since the newly appointed Japanese Ambassador in Rome is primarily a parliamentarian prominent in domestic policy who has little experience in foreign policy and since the former press chief SUMA appointed as envoy to Spain is widely known for his leanings toward America. Also Ambassador SHIRATORI, who is at present indispensable here as a leading figure in a Japanese pro-German rejuvenation movement, has emphatically supported the candidature of OSHIMA." Signed, "Ott."

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MR. HYDE: For the same purpose indicated with respect to the document just read I now desire to read prosecution's document 473-C. This is an extract from prosecution's document 473, a collection of addresses by Foreign Minister MATSUOKA which is in evidence as exhibit 261. The part I desire to read is Chapter XXV of exhibit 261 which we have identified as prosecution's document 473-C. It is an address delivered by MATSUOKA on 15 January 1941 upon the departure of Ambassador OSHIMA for Germany. The Clerk will hand up copies for the convenience of the Tribunal.

I didn't hear the Court.

THE PRESIDENT: Was exhibit No. 261 tendered as to the whole exhibit or as to part of it?

MR. HYDE: Yes, your Honor.

THE PRESIDENT: Yes.

MR. HYDE (Reading): "CHAPTER XXV. 'I Count Upon AMBASSADOR OSHIMA! (Speech delivered by YOSUKE MATSUOKA at the farewell party held on 15 January 1941)

"I am most delighted to speak a part of my feeling at this farewell party which is given here today in honor of the Ambassador to Germany OSHIMA. At the beginning of the new year of 2601 of the Imperial Calendar, perhaps, you all will keenly feel that the

international situation is growing most serious. In Europe, the war of Germany and Italy against Britain is being fought more and more violently, and in Asia our Empire has already been engaged for three and a half years in a holy war for the purpose of establishing a New Order in East Asia. And according to the results of this European War and also to the success or failure of establishing the New Order in East Asia by our Empire the history of the world will fundamentally be altered.

"The efforts exerted by our country for establishing the New Order in East Asia which began with the Manchurian Incident, and the efforts exerted by Germany and Italy to break down the Versailles system both have a fundamental common cause which in turn will contribute to the establishment of the New Order of the world. The unity between Japan and Germany effected by the Tri-Partite Pact was not at all by chance, but it can be said to be the result of a strong spiritual combination based on a common idea surpassing any pledge made between countries which have simply a common interest.

"It is our profound pleasure that His Excellency Hiroshi OSHIMA departs as Ambassador again to one of the member countries of the Alliance, Germany,

which is now in an inseparable relation with us and, at the same time, is making an effort to establish the future by overcoming present difficulties, while the /lliance itself is the leading principle of our diplomacy. His Excellency not only assumed previously the posts of military attache and also of imbassador to Germany, but has studied about Germany for scores of years, and must be well-informed of the state of affairs in Germany. In fact, he has built up such an absolute personal credit among the leaders of the German government that he can talk with them without reserve. No wonder that the German authorities have already expressed fervent welcome, as soon as his appointment was announced. "ith German-Japanese relations, destined to become closer, this very suitable appointment will help to promote future cooperation. The practical use of the Japanese-German relations which is built upon the foundation stone of the Tri-Partite Pact will largely depend upon the future and the field, wherein we will depend upon His Excellency OSHIMA's ability, is extensive. "hen I come to think of the future of the two countries, which are endeavoring to develop their fate in East Asia and Europe under the present international situation, I cannot but think of the importance of

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responsibility of the Ambassador who is to be sent to the Allied power. Then I come to think of the future relations between Germany and Japan, I believe that our national relience upon Ambassador OSHIMA will be cuite tremendous.

"Lastly, I wish to congratulate His Excellency OSHIMA on his departure, and will look forward to his future activities."

MR. HYDE (Continuing) I tender in evidence prosecution's document 4033-A, which is a confidential memorandum by WEIZSACKER regarding a conference he had with Ambassador KURUSU in Berlin on 29 November 1940. This document is offered to show that within several months after the conclusion of the Tripartite Alliance collaboration between the three powers was taking the definite course of preparation for Japanese advance through the region south of China as a prerequisite for an attack on Singapore.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Prosecution's document

No. 4033-A will receive exhibit No. 561.

(Whereupon, the above-mentioned document was marked prosecution's exhibit No. 561, and received in evidence.)

MR. HYDE: I will read exhibit No. 561.

(Reading): "The Japanese Ambassador looked me up today to repeat to me in outline what he had said at his last visit to the Foreign Minister of the Reich: that is, that, on account of the peace-feelers between Japan and China, it is necessary at first to wait to see what instructions the Chinese Ambassador will now receive from CHIANG KAI-SHEK. KURUSU indicated that the simplest complete solution (which,

however, had supposedly not been given to him by his Foreign Minister) for a settlement between Japan and Russia would be the conclusion of a Russo-Japanese neutrality pact with the simultaneous Japanese renunciation of her oil concessions in North Sakhalin, with, however, the guarantee of the exportation of 200,000 tons of Russian oil to Japan. Territorial questions should at that time be completely left out of the picture. The publication of the neutrality pact and the oil arrangements were to be arranged in such a manner in regard to time that the Japanese population would not take offense at it.

"KURUSU described the conclusion of these two agreements (the Sino-Japanese and the Russo-Japanese) as the desired and at the same time required prerequisites for a Japanese advance through the regions south of China (including Siam), without the use of which Singapore could hardly be brought to fall. KURUSU portrayed the latter idea also as his private strategy.

"(Signed) WEIZSACKER."

I present prosecution's document 4032-B, which is a telegram from the German Ambassador in Tokyo to the Reich Foreign Minister, dated 31 January 1941, for introduction in evidence. This document is

used for the purpose of showing, (1) that the Japanese Government and nation were unified behind the Tri-Partite Pact, and (2) that the demand for a Japanese attack on Sungapore by activists circles led by the accused, SHIRATORI, inspired the making of a research study of such an attack by the military specialists of the German Embassy in Tokyo. THE PRESID' MI: Admitted on the usual terms. CLERK OF THE COURT: Prosecution's document 4032-B will receive exhibit no. 562. 10 (Whereupon, the above-mentioned 11 document was marked prosecution's exhibit 12 562 and received in evidence.) 13 MR. HYDE: I wil' read exhibit No. 562. 14 In the upper left-hand corner, in handwrit-15 ing, the word, "KURUSU." Marked, "To be kept in 16 17 locked file." "Telegram (Secret Cipher Process)." 18 Arrived January 31, 1941, dated Tokyo, 19 31 January 1941. Marked, "Very urgent" and for the 21 Reich Foreign Minister. (Reading): "Sharpened attitude of Amiercon 22 Government has heightened the political tension of 23 Jaran considerably and has brought conflict with America closer.

"Unity of government and nation behind the Tripartite Pact has been unmistakably proved in the Diet negotiations, economic circles are also decreasing their resistance. The government is trying to scare America from entering the war by threatening attitude, armament measures, and sharp speeches in Diet and press.

"In contrast to this, activistic circles demand preventive attack on Singapore as the key position in the West Pacific Ocean. They expect to deprive America by a surprise action of the possibility of military verfare in the Pacific Ocean or to render it difficult. This minority group is under the leadership of Admiral SUETSUGU, Ambassador SHIRATORI, and has the support of the young officers' corps and individual high leaders of the Army and Navy.

"In two days' research with the attaches of the armed forces, and the officials in charge of policy and economic policy at the Enhassy, I examined in detail the prospects of an attack on Singapore with the following results:

"1) Chances of success of an attack against Singapore, in which case, however, Japan for the first time meets with a European opponent of full quality, are favorable; it will have to be carried out in

Peninsula. At present British forces of any kind are far inferior to available Japanese offensive forces and cannot be increased decisively in a short time. The same holds true for addition of American forces of the West Pacific Ocean, in case America automatically renders military support. Japanese Navy does not consider complete activation of American Pacific Ocean Fleet. It could easily be detected on the overly long approaches from Hawaii and would be endangered to the point of annihilation.

"2) As consequences of the capture of Singapore, Japan will have to expect -- "

MR. HYDE (Continuing): I might pause to point out that the two words "to expect" do not appear on the English reproduction.

(Reading): "a. Achievement of the claim for leadership in the Great East Asia Sphere. The Netherlands Indies would no longer be able to resist Japanese pressure.

"b. Speedy conclusion of the China conflict.
CHIANG KAI SHEK's position would be much weakened by
Japan's gain of prestige and the breakdown of supplies.

"c. Acquisition of war economically necessary raw material sources. However, Japan's well known unmethodical exploitation would limit planned production for a long time.

"d. Lessening of tension of inner political pressure by categorical actions.

"/gainst these advantages stand the disadventages of a continual state of war with England
and America's entry into the war, which will probably
occur. Both states will carry on mostly economic war
against which Japan can stand up with approximately
one year's war supplies.

"Summarized the advantages for Japan prevail if the war does not last longer than one year. Thus there are chances for the idea of a Japanese preventive

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economic circles. Experiences in history teach, however, that Japan would choose as moment for action least (one group garbled), and, therefore, will try to await the German attack on England which will seek the decision.

"3) Judgment from the German-Italian standpoint. All advantages enumerated above strengthen the Japanese partner in the area of the Pacific Ocean and are, therefore, indirectly in our interest. The Netherlands Indies will hardly be able to maintain their independence in the course of the war, but are endangered by the grasp of Japan or America. The Japanese invasion to be mentioned would, therefore, be the lesser evil. Especially favorable would be a Japanese pressure from Singapore on the Indian Ocean end India, the main British reinforcement area to Africa and the Mediterranean Sea, especially from Australia and New Zealand -- the development of the Italian war situation has raised the importance of Singapore extraordinarily. Main disadvantage lies in an extension of the war, against the endeavors we made up till now, by the nearly certain entry of America into the war, which cannot permit dominating position of Japan in the Pacific Ocean. New theater of war in the

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Pacific Ocean would be largely removed from our influence and would complicate a conclusion of peace.

"Furthermore Japan would be out for transit trade from and to Germany and she herself is in need of raw materials and would no longer be capable of transit to overseas countries. "hether Russia's attitude would be unfavorably influenced, cannot be judged from here.

to a decisive blow against England, this attack on Singapore might be useful. The Japanese Government will, in view of the increasing pressure of the minority group, orientate itself widely in accordance to the plans of Germany and will have to be influenced accordingly. The role of intermediary between Thailand end Indo-China gives Japan (one group garbled) possibility to establish herself in Saigon militarily as guarantor of peace, as the first politically unimpeachable step towards the action against Singapore. In case the Japanese government and political circles should be influenced in the sense of the preventive action against Singapore, I would ask for instructions."

Signed "OTT."

THE PRESTDENT: Those words "to expect" do

not appear to me, in all events, to be necessary either in the English or the German version.

MR. HYDE: I am sorry, your Honor. I didn't have my phones on.

THE PRESIDENT: The language is elliptical without them, but I cannot see they are necessary to make sense.

JR. HYDE: Thank you.

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THE MONITOR: Mr. President, are you referring to that German word "vollwertig" and "Anmerschweg", is that right?

(to Mr. Hyde) Is he referring to this German word mixed in the English text?

MR. HYDE: I think it is to the missing words.
THE MONITOR: Missing words.

THE PRESIDENT: So why add those words?

MR. HYDE: Your Honor, I was of the opinion you were referring to the place where the words were garbled.

THE PRESIDENT: No, refer back to page two.

You say in lines one and two appear the phrase: "Japan will have to expect." I understood you to say the words "to expect" did not appear in the English document.

MR. HYDE: I understand now, your Honor. I

had a note on my papers that they had been eliminated. I didn't realize that this had been corrected and those words placed in there. I was trying to fill a blank that was in the paper that I had originally processed.

THE PRESIDENT: They appear in our copy.

MR. HYDE: They appear in the copy we have here.

THE PRESIDENT: All I want to know is whether they are in the original German.

MR. HYDE: They are in the original, yes, sir.

THE PRESIDENT: Will American counsel for

SHIRATORI come to the lectern, please? Yes. I

understand that you suggested there were mistakes in
that article.

MR. CAUDLE: What I meant --

THE PRESIDENT: In exhibit 557-A. Have you noticed the affidavit appended to it?

MR. CAUDLE: I am afraid the Court misunderstood me, sir. I meant to convey to the Court that
we are in the possession of the original Japanese
manuscript and that the translation in the magazine
article itself is in stronger language than was intended and is stipulated in the Japanese original document.

THE PRESIDENT: The Japanese manuscript is

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not in evidence, so the Tribunal's direction on your objection stands.

MR. CAUDLE: Yes, sir. I didn't actually intend to make it as an objection, sir. I just wanted to call it to the attention of the Court that we had this original document and would submit it at any time, either now or at the time we present our evidence.

THE PRESIDENT: We will adjourn now until half-past nine tomorrow morning.

('Thereupon, et 1600, an adjournment was taken until Thursday, 26 September 1946, at 0930.)