Resolutions Concerning the Japanese-American Negotiations Adopted Through the Conferences in the Imperial Presence.

- 1. Through the Imperial conference on July 2, 1941.
- 2. Through the Imperial conference on September 6, 1941.
- 3. Through the Imperial conference on November 5, 1941.

Proposition (A) Proposition (B)

- 4. Through the Imperial conference on December 1, 1941.
- 1. RESOLUTION adopted through the Imperial conferences on July 2, 1941.

A summary of the Empire's POLICY according to the changes in the situation.

Y PRINCIPLE.

- a. Regardless of any change in the international situation, Japan will adhere to the principle of establishing a "Greater East Asia Co-prosperity Sphere", and accordingly contribute to the establishment of world-peace.
 - <u>b</u> Japan will continue the disposition of the Chinese Incident, and will step up the Southward advance in order to establish for herself a basis for self-existence and self-defense. The Northern problems will be dealt with according to the changes in the situations.
 - g Japan will remove all obstacles for the achievement of the foregoing purpose.

II SUTARY.

a In order to hasten the surrender of Chiang's Regime, further pressure through the Southern regions will be strengthened to the changes in the situations, we shall execute our rights of war against the Chunking Regime at the proper time, and shall confiscate the enemy concessions in China. b For the sake of her self-existence and self-defense Japan will continue necessary diplomatic negotiations with relevant nations in the Southern regions and will also promote other necessary measures. For this purpose we shall make preparations necessary measures. For this purpose we shall make preparations necessary measures. For this purpose we shall make preparations accomplish the execution of our schemes against French Indo-accomplish the execution of our schemes against French Indo-China and Thailand," and "Matters concerning the French Indo-China and Thailand," and "Matters concerning the French Indo-China and Thailand," and "Matters concerning our Promotion of our Scuthern Policy," thereby stabilizing our structure for the Southern advance. In order to achieve the above-mentioned purpose, Japan will not hesitate to have a war with Britain and the United States.

Though the spirit of the tripartite axis will form the keynote of our attitude toward the German-Soviet War, we shall not intervene for a while, but take voluntary measures by not intervene for a while, but take voluntary measures by secretly preparing arms against the Soviet Union. Meanwhile, secretly preparing arms against the Soviet Union. Meanwhile, secretly preparing arms against the Soviet Union. Meanwhile, secretly preparing arms against the German-Soviet war cautions; and should the conditions of the German-Soviet war cautions; and should the conditions of the German-Soviet war progress favourable to Japan we shall execute arms to salve the northern problems, thereby securing stability in the Northern regions.

- In the accomplishment of the above, all measures, especial! in the case of execution of arms, must be decided so that there will be no great difficulty in maintaining our basic posture for a war with Britain and the United States.
- e We shall do our utmost in preventing the United States participation in the War through diplomatic measures in the pre-arranged programmes, and every other possible way, but in pre-arranged programmes, and every other possible way, but in case she should participate, Japan will act according to the Tripartite Pact. However, the time and method of military action will be decided independently.
- f We shall shift promptly to the complete stabilization of internal war-time structures, particularly we shall strive for the stabilization of national defense.
- As to the definite measures we shall decide separately.
- 2. RESOLUTION adopted through the Imperial conference on Sept. 6, 1941.

Summary: Execution of the Empire's Policy.

In view of the present acute situation, especially the aggressive movements the United States, Britain and the Netherlands have assumed; the situation of Soviet Russia; and the suppression of our national power; Japan will execute her Southern advance policy, related in the "Principle of Japan's Policy According to the Change of Situations," as follows:

- a In order to secure self-existence and self-defense, Japan, with a determination for a war with the United States (Britain and the Netherlands), will have completed her preparations by the end of October.
- b Meanwhile, in pace with the above, Japan will strive for the fulfillment of her demands through diplomatic measures with the United States and Britain.

The minimum matters of demand to be accomplished in Japan's negotiations with the United States (Britain), and the limit Japan is able to come to an agreement in this connection will be stated on another sheet.

If, through the above negotiations, our demands have no hope of fulfillment by the beginning of October, we shall immediately determine to wage war against the United States (Britain and the Netherlands).

All measures, save for those concerned with the South, will be executed according to the pre-arranged national policy, and we shall particularly endeavour to check the formation of a Russo-American combined front against Japan.

ATTACHED DOCUMENT.

Japan's minimum demand to be fulfilled in her negotiations with the United States (Britain), and the limit Japan is able to come to an agreement in this connection.

- Sect. I. Japan's minimum-demand to be fulfilled in her negotiations with the United States (Britain).
 - (1) Matters concerning the CHINESE INCIDENT.

The United States and Britain will neither meddle in nor interrupt the disposition of the Chinese Incident.

They will not interrupt Japan's attempt to settle the Incident in accordance with the Sino-Japanese Basic Treaty and the Japan-Manchukuo-China Tripartite Joint Declaration.

- <u>b</u> "Burma Route" will be closed; and the United States and Britain will give Chiang's Regime neither military nor economic support.
- REMARK -- The above does not obstruct Japan's existing contentions in the disposition of the Chinese Incident in Plan N. However, particularly regarding the stationing of the Imperial forces under the new arrangements made between Japan and China, we shall adhere to its necessity.

Following the settlement of the incident, we may assert that we have the intention of withdrawing, as a rule, all other forces except those mentioned above that have been sent to China for the disposal of the Chinese Incident.

It may also be affirmed that Japan has no intention to place any restrictions on American or British interests in China, provided that they will understand the new East Asia, and act accordingly.

(2) Matters concerning the security of Japan's national defense.

The United States and Britain will not take such action in the Far East as to threaten Japan's national defense.

- a Recognition of the special relations existing between Japan and France based on the Japan-French Agreement.
- b They will not establish any military interests in Thailand, Dutch East-India, China and far-eastern Soviet territory.
- c They will not further strengthen their present armaments in the Far East.
- (3) Matters concerning Japan's obtaining necessary materials.

The United States and Britain will cooperate with Japan in obtaining her necessary resources.

- a They will restore their commercial relations with Japan and will supply Japan from their territories in the South-Western Pacific, with resources indispensable for her self-existence.
- b They will gladly collaborate in Japan's economic cooperation with Thailand and French Indo-China.

Sect. II. The Limit Japan is able to come to an agreement.

If the United States and Britain will consent to our demands in Section I:

- (1) Japan, with French Indo-China as a base, will make no military advances into any of the adjacent areas except China.
- (2) Japan will be ready to withdraw her troops from French Indo-China after an impartial peace will have been established in the Far East.
- (3) Japan will be ready to guarantee the neutrality of the Philippines.

(NOTE)

- a In case of an interrogation as to Japan's attitude toward the Tripartite Alliance, it will be affirmed that there will be no changes in Japan's execution of her obligations to the Axis Agreement. "e shall not discuss, of our own accord, either our attitude toward the Tripartite Pact or the attitude of the United States toward the European War.
- b In case of an interrogation as to Japan's attitude toward Soviet Russia, it will be replied that Japan will not take the initiative for military action as long as Soviet Russia will respect the Russo-Japanese Neutrality Pact and will not threaten both Japan and Manchuria.
- 2. PROPOSITIONS (A) and (B), adopted through the Imperial Conference on November 5, 1941.

PROPOSITION (A)

(1) Problem of Commercial Indiscrimination.

In case our proposition of September 25 will have no hope of compromise, it will be revised, "If the principle of indiscrimination is to be universal the Japanese Government will accept it to be enforced in all the areas in the Pacific, including China."

(2) Problem of Interpretation and execution of the Tripartite Treaty. We shall further make it clear that we have no intentions, without good reason on our part, of expanding the meaning of our rights of self-defense; and that at the same time,

we shall act, as we have often explained, according to our own decision concerning the interpretation and execution of the Tripartite Pact. We shall reply that we feel this point has already had the acknowledgement of the United States.

(3) Problems of Withdrawing Troops.

This problem will be eased as follows:

a Stationing and withdrawing of troops in China.

Among the Japanese troops despatched to China for the disposal of the Chinese Incident, those in the designated areas in North China and Mongolia and on Hainan Island will be stationed there for a certain necessary term after the establishment of peace between Japan and China. Simultaneously with the establishment of peace we shall commence to withdraw the rest according to a separate agreement between Japan and China, and with the stabilization of public order this will be completed within two years.

(Note) If the United States should make an inquiry as to the necessary term, it will be replied that our aim will be approximately 25 years.

b Stationing and withdrawing of troops in French Indo-China.

Japanese government will respect French Indo-China's sovereignty upon her land. The Japanese troops now despatched to French Indo-China will be withdrawn immediately after the settlement of the Chinese Incident, or after an impartial peace will have been established in the Far East.

Moreover, every effort will be made to avoid including the Four Principles in the formal agreements between Japan and the United States (both understandings and other statements).

PROPOSITION (B)

- (1) Both the Japanese and the United States Governments will firmly agree not to make military advances into areas in Southeast Asia and Southern Pacific, excluding French Indo-China.
- (2) Both the Japanese and the United States Governments will mutually cooperate to guarantee the obtaining of necessary resources from the Dutch East-Indies.

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(3) Both the Japanese and the United States Governments will restore their mutual commercial relations to the conditions before the freezing of the assets.

The United States Government will promise Japan her necessary supply of petroleum.

(4) The United States Government will not take such action as to obstruct Japan's efforts for a peace with China.

(REMARKS)

- (1) If necessary, after the conclusion of this agreement, it may be promised that the Japanese troops will be withdrawn after the establishment of peace between Japan and China, or after an impartial peace will have been established in the Pacific area.
- (2) If necessary, the regulations for commercial indiscrimination, and the prescriptions for interpretation and execution of the Tripartite Pact, both included in Proposition A, may be additionally inserted.
- 4. RESOLUTION adopted through the Imperial Conference on December 1, 1941.

Our negotiations with the United States regarding the execution of our national policy adopted on November 5 have finally failed. Japan will declare war on the United States, Britain, and the Netherlands.

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CERTIFICATE

Statement of Source and Authenticity

I, HAYASHI Kaoru, hereby certify that I am officially connected wit the Japanese Government in the following capacity: Chief of the Archive Section, Japanese Foreign Ministry, and that as such official I have cutody of the document hereto attached consisting of 16 pages, dated see below, 1941, and described as follows: Resolutions concerning the Japanese-American negotiations adopted through the conference in the Imperial presence on July 2, 1941; Sept. 6, 1941; Nov. 5, 1941; and Dec. 1, 1941, respectively.

I further certify that the attached record and document is an office document of the Japanese Government, and that it is part of the official archives and files of the following named ministry or department (specifying also the file number or citation, if any, or any other official designation of the regular location of the document in the archives or files): Foreign Ministry.

Signed at <u>Tokyo</u> on this <u>5th</u> day of <u>Sept.</u>, 1946.

/s/ K. Hayashi Signature of Official

SEAL

Witness: /s/ Nagaharu Odo

Official Capacity

Statement of Official Procurement

I, Richard H. Larsh, hereby certify that I am associated with the General Headquarters of the Supreme Commander for the Allied Powers, and that the above described document was obtained by me from the above sign official of the Japanese Government in the conduct of my official business.

Signed at Tokyo on this ____ day of Sept., 1946

/s/ Richard H. Larsh NAME

Witness: /s/ Douglas L. Waldorf 2nd Lt., Inf. & Pl. Investigator, I.F.S.
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