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# TRIAL BY MILITARY COURT FOR THE TRIAL OF WAR CRIMINALS

EBERHARD VON MACKENSEN

and

KURT MARLER

18th NOVEB RR. 1946

# INDEX

	Page.
CHARGE SHEET AND PLEAS	2
OPENING ADDRESS BY COLONEL HALSE	3
H. KAPPLER	15

### PROCEEDINGS

of a

# MILIPARY COURT FOR THE TRIAL OF WAR CRIMINALS

held at

#### THE UNIVERSITY OF ROME

on

# 18th NOVEMBER, 1946

upon the trial of

EBERHARD von MACKERSEN and KURT MAELZER, German Nationals in the charge of the Commander 56 Rest and Transit Camp.

ORDERS BY:

LIEUTENANT GENERAL SIR JOHN HARDING.

## PRESIDENT

MAJOR GENERAL I.S.O. PLAYFAIR, C.B., D.S.O., M.C.

## MEMORIES

LIBUTENANT COLOREL E.C. SERAG-MONTEFIORE

LIEUTENANT COLONEL D.K. HAYMAN

LIEUTENANT COLONEL R.W. TYRRELL

LIEUTENANT COLONEL P.S. KEEN, M.B.E.

Durham Light Infantry.

Lincolns.

Oxf & Buoles.

Devons.

#### JUDGE ADVOCATE

C.L. STIRLING, Esq., C.B.E., K.C., Deputy Judge Advocate General to the Forces.

## FIRST DAY

Monday, 18th November, 1946.

The order convening the Court and the charge-sheet are laid before the court.

The accused are brought before the court.

Colonel R.C. HALSE, O.B.E. Office of the Judge Advocate General, assisted by Major A.E.E. READE, Intelligence Corps, J.A.G's Branch, H.Q. B.A.O.R., appears as Presecutor and takes his place.

DR. H. KHILER appears as counsel for the accused MACKENSON and takes his place.

DR. W. CHRIST appears as counsel for the accused MARLZER and takes his place.

At 1000 hours the trial commences.

The order convening the Court is read.

The President, Members and Judge Advocate are duly sworn.

The following interpreters are duly sworn:-

MAJOR P.E. FOREST, Pioneer Corps. J. KATZ Esquire. V. CELENTANO, Esquire.

The following shorthand-writers are duly sworn:-

S.S.M. D.F. NOBLE, R.A.S.C. S.S.M. C.R. MARTIN, R.A.S.C.

THE JUDGE ADVOCATE: The accused, Eberhard von Mackensen and Kurt Maelser, German nationals in the charge of Commander 56 Rest and Transit Camp pursuant to Regulation 4 of Regulations for the trial of war criminals are charged with: committing a war crime in that they at Rome, Italy, about the 24th March 1944 in violation of the laws and usages of war were concerned in the killing as a reprisal of some 335 Italian nationals in the Ardeatine Caves. How say you Eberhard von Mackensen, do you plead guilty or not guilty to the charge ?

THE ACCUSED von MACKENSEN: Not guilty.

THE JUDGE ADVOCATE: And how say you Kurt Maelser, do you plead guilty or not guilty to the charge ?

THE ACCUSED MARIZER: Not guilty.

THE JUDGE ADVOCATE: Do learned counsel for the accused wish to apply to the court for any adjournment on the ground that any of the rules relating to procedure before trial have not been complied with and that they have been prejudiced thereby or that they have not had sufficient time to prepare their defence?

DE. KELLER: On the 13th November when the accused von Mackensen heard about the trial, on this day for the first time I had an opportunity to talk to the accused about the charge with which he is charged and therefore I had not sufficient time to prepare my defence. Nevertheless I do not apply at the present for an adjournment, but I ask for the permission of the court to apply at a later stage if I deem that is should become necessary. One of the reasons I might ask for an adjournment is that the witness Kappler might give some different evidence from what he gave on 23rd March 1944. That is one of the examples. Do the court wish further examples?

THE PRESIDENT: No; if learned counsel ask for an adjournment the court will consider it.

THE JUDGE ADVOCATES

And Dr. Charlet ?

DR. CHRIST: For the mement I do not apply for entirely with my colleague, Dr.Keller.

THE JUIGE ADVOGATE: The evidence taken at this trial will not be read over a matter of course after each witness has given his testimony, but learned coursel can apply to the President at any time throughout the trial to have the note read and then, of course, the President will have it done.

COLONEL HALSE: May it please you, sir. I appear on behalf of the prosecution with my learned friend, Major Reade, and the accused Mackensen is, as you have heard, being defended by Dr.Keller, on officer in the one time German Army, and the accused Maslacr is being defended by Dr.Christ, also an officer in the one time German Army.

Sir, this trial is a trial of alleged war orininals. The trial is authorised under Royal Prerogative by virtue of a Warrant issued by His Majesty in 1945 and promalgated in Army Order 81 of 1945 which authorise military courts convened by officers who have been given Warrants to try persons who are alleged to have committed orises against the laws and usages of war. The court takes judicial cognisance of the laws and usages of war, and your duty in due course will be to decide whether upon the facts which I lay before you as prescentor a war orise has been committed and whether these two persons accused before you were the persons who committed it.

In this case the accused, as you will observe from the charge sheet, are not, as is usual in way orimes trials up to the present, under the Ecyal Marrent, and they are not being charged with committing offences against British nationals or Allied nationals. They are charged with committing offences against British nationals or House at the of the ofty of Rome or at any rate residing in the city of Home at the time of the alleged orime atther by reason of the fact that they were in prisons there, or because that was their domicalle, and His Majesty's Covernment consider it their duty to arraign these two men upon a charge alleging that they had committed war orimes against Italian people at a time when the Italian Covernment was a co-belligarent of the Allies.

Sir, the law in this case is the law of England, as it is in all war orises trials, and under the law of England the caus of proof is upon the prosecution to satisfy you beyond all reasonable doubt that these accused are guilty of the charge as laid. It is not for the accused to prove their innocence, and if at the end of this case you have any reasonable doubt that the case against the accused has not been made out it will be your duty to acquit them. It is my duty as prosecutor in this case to put these facts as plainly as I am able before you. It is not my duty to act as is sometimes called as a persecutor. I am a prosecutor on behalf of the convening officer in this case.

THE JUDGE ADVOCATE: Perhaps you would indicate to the court that they are not obliged to acquit or convict both men.

COLONEL HALSE: Although this charge is a joint charge it does not mean to say you are bound to convict both of the accused. You may find that there is evidence against one accused which would warrant you convicting; on the other hand you may find there is no evidence, or not sufficient evidence against the other accused to convict in which case, of course, it would be your duty to convict the one and acquit the other.

Pirst of all I have to prove to you, and I have to satisfy you as to the fact that 335 Italian citisens or thereabouts were killed in or near Ross on or about 24th March 1944. I venture to think that you will have very little doubt after having heard all the evidence that that was in fact the case. The second part is that I have to satisfy you that this action of killing the 335 Italians was a reprisal; again I do not think there will be any doubt about that in your minds because the accused have both made statements which I shall refer to later and in those statements they have admitted that the killing was as a reprisal. Then, sir, I have to satisfy you that what happened was a war orine - that the killing of 335 Italians was a war orine, and if I

satisfy you of that, of all those three points, I still have to satisfy you of one other point, that is to say that the accused were concerned in the killing.

As I see the position the facts in this case are not materially in dispute. The accused have admitted that there was the killing and the accused have admitted in their statements that they gave certain orders which resulted in the killing. They set up in their statements what might be, though I shall seek to show you is not, a defence, but I will deal with that later. So much for generalities.

I propose to divide my address into three heads; first of all to deal with the facts of the case, secondly to deal with the law as I see it, and thirdly to show you how the accused by their own statements knit into the picture. In order to be fully in the picture we must go back about nine months from the actual occurrence. The court will remember, and it is a matter of history, that the Fascist Government under Russolini resigned in July 1943 and another government under the King of Italy and in the Kingdom of Italy was formed. In September 1943 that new government sued for and obtained from the Allies an armistice, and they at the same time asked the Allies, the British and the Americans, who were at that time on the point of invading Italy, for assistance. As soon as the Italian Government, the recognised Italian Government under the reigning sovereign, asked for an armistice and was granted it, it is my submission that the German forces in Italy became de facto occupying forces. In other words they ceased then to be Allies of the Italians and they became the occupiers of Italy which had not then been liberated by the American and British Porces who were continuing their attack.

It is a matter that is well known to everybody in this court that behind the German lines in Italy, and elsewhere, partisan bands were rising and there was undoubtedly in that part of Italy which was occupied by the Germans, which included in March 1944 the City of Rome, a number of partisan bands, and a number of other persons who were wholly dissatisfied with the puppet Republican Government set up by Mussolini and were anxious that their country should be liberated from the German yoke by the Allied forces, and they were only too glad to assist, in what small way they could, in the liberation by attacking the German Forces in occupation.

Under the laws and usages of war I do not suggest that what they did was lawful; it is quite clearly laid down both in the Manual of Military Law and Oppenheim that the occupied power, if they commit crimes against the occupiers, are guilty of a war treason if they are convicted but they must be convicted and if they are convicted they can be punished.

Now we come to the actual facts of this case. On 23rd March 1944 a party of German police were marching down the Via Rosella, and the time of that incident was about 1500 hours, three o'clock in the afternoon. According to the statements of witnesses it could not have been earlier than 2.30, 1430, and could not have been later than 1545, 3.45; I am taking the mean and saying 1500 hours. The timing is of some importance in this case as you will see in a moment -- 23rd March at 1500 hours. At that moment a bomb was thrown at this party of police from a house in the road and as a result of that bomb a number of German police were killed and others wounded; on the first count on 23rd March the number was 28 killed; later, as you will hear, that figure rose to 32.

It is now that the accused, Maezler first comes into the picture.

He was the Governor of Rome at the time — the Military Governor — and according to witnesses whom I shall call before you he was very quickly on the spot, and according to his own statement. According to the witnesses who will give evidence he was anxious to blow up the house from which the bomb was thrown and generally was obviously extremely annoyed about the whole affair, as one might well expect. A number of men, women and children were taken from the houses in the locality, were made to stand with their faces to the wall and their hands above their heads. The houses were being ransacked and the bodies of the Germans and the wounded were being collected. Shots were being fired. An

Italian witness whom I shall call before you will tell you that Maelser took violent steps against anybody who moved when they had their hands above their heads and were facing the wall, and in fact it so happened that one of the Italian police - he was a collaborator with the Germans - one of the police was in this party and he esked his chief if he could move whereupon Maelser struck him over the back. That is important in my view to see the attitude of Maelser from the very outset.

Now another person who was there was kappler, a German in charge of an Aussenkommando of the Sicheraeitspolised. I think you will come to the ocnolusion long before the end of this trial that he is a most unpleasant person and I do not think you will pay a great deal of regard to his evidence unless it is corroborated, but I shall, I think, corroborate a lot of his evidence evidence and also shall show you, when dealing with other matters which I shall come to later in my address, that it was against his advantage to give evidence which he made in his statement and to which I am going to refer. He will, of course, be called before you in person.

Now Kappler will tell you of Maslear's behaviour. At this place, at the time other accused in this case comes into the ploture, was Mackensen who was at the time Gommander of Math Army in whose area Ross was. Mackensen telephoned won Mackensen and there was a discussion, Maskense reperting what had happened, you Mackensen asking what was to be done and Mackensen Mackensen asking what was to be done and Mackensen Mackensen said he must make some suggestion whereupon Mackensen said he must make some suggestion whereupon Mackensen such to these which had taken place at Faris and Mantes andother places. Presumably those were matters which were well known to these two speakers and it was clear what the idea was - that people were to be killed because of this bomb incident.

telephone call when you mackensen asked who was available to be killed as a regular. Master year replied, according to his own statement, that there was another but he did not suggest that anybody should be arrested as hostages though the ED sight have some people in oustody in the gaols in Rome for offences against the statement of Master - you mackensen and that only persons in arrest wave to be killed - mark the words "in arrest wave to be killed" - and hostages wave not to be taken. I think "hostages" are used in a very loose way in this conversation because as I see it a hostage is a person who is taken in order to prevent an incident, not somebody who is taken after the incident has cooured. Maddensen then communicated with Keller who, as head of the Aussenkonsando of the ED, would know who he had in the gaols.

THE PRESIDENT: With who, who is this ?

COLOME. HALSE: I beg your pardon, Kappler, I am sorry. Maelser commandanted with Kappler to find out who was in the gaols and the reply was that there were shout two hundred. There was another telephone conversation between Maelser and won Mackensen when Maelser reported to you Mackensen the result of his enquiries and he was told that there would be a decision later.

I have only been able to give you a picture of conversations between Massler and von Mackensen from - and I say it quite deliberately - from the Massler angle because von Mackensen's statement which he has made is not so detailed as Massler's so you are getting, in what I have told you about the telephone conversations, the evidence so far as Massler on recollect it.

when the actual order was given for the killing of a number of people is not quite clear. Massiser says that he did not receive the order from won Mackensen until the next mouning, the 24th March. Kappler, on the other hand, says that he has no doubt that the order was received at 2030 hours and he was rowking through the night to collect the people who were to be killed and to get their names. So far as the actual time is concerned, it may not be vary material but I shall call before you, if he is available, Lieut-General Pastor, who was a Lieutenant General of the 35 with whom Kappler had a conversation on the evening of the 23rd from which it was quite clear that at that time Kappler had received

his instructions that he was to kill, have shot, a ratio of one to ten and that you will hear from Kappler - how he collected the bodies for that purpose.

Whichever is correct - whether the accused, Maelser's statement that the orders were given on the 24th or Kappler's statement that they were given on the night of the 23rd - whichever is correct there is no doubt that an order was given by von Mackensen to Maelser and that Maelser passed that order on that ten Italians were to be killed for every German policeman who had been killed in the bamb incident. There is no doubt further that the people who were to be killed were to be taken from the gaols of Rome. According to Maelser, von Mackensen said that 320 were to be killed, that is ten times as many as the number of policemen who eventually died, that the choosing of the victims was a matter for the 8D but that these people were to be selected from people who had been arrested and again more than the word "arrested" - for crimes involving death or fifteen years imprisonment; not merely people who had been sentenced to death but people who had been arrested for a crime which might, if they were found guilty, get them a death sentence or imprisonment for fifteen years. Von Mackensen further instructed Maelser that he was to report progress, in fact to report the result by 1900 hours on the 24th, just over 24 hours after the bomb expleded.

Von Mackensen takes full responsibility for the issuing of that order, according to his statement which I shall place before you, but he says that by ordering the killing of people who were in cells he saved the lives of innocent citsens because those people who were to die, according to his orders, would have died anyhow. It may be German justice that if a man is arrested for an alleged orine that involves the death sentence he will certainly be convicted but it certainly is not British justice, nor is it the justice of any civilized power. That man must stand his trial and if convicted, unless the only punishment is one of death, he might be given an imprisonment and not a death sentence and there is certainly no suggestion that a man who serves fifteen years imprisonment is going to die anyway unless von Mackensen knew that a man who got fifteen years imprisonment from a German court would spend one or two years of that period in a concentration camp and then would die like so many others did in Germany.

I cannot see how von Mackensen can argue that by killing people who had been only arrested for a crime, how he can say that those people would die anyway unless he had a very good insight into the procedure in the German alleged courts. Now von Mackensen is alleged to have told Maelser that he had nothing to do with the killings, he had only to enquire as to whether the action had been taken in accordance with the orders he had issued. You may think that that is a little strange, for an officer of the Germany Army to tell his subordinate "Oh well, yes, just have them killed; it does not matter what happens, how they get killed, just make certain they have been killed by seven o'clock to-night". Is that the sort of way the officer works in the German Army? I suggest to you that that shows complete disinterest in the whole proceedings so long as he has got his way and has arranged for the men to be killed, as he calls it, as a reprisal.

Now Kappler was given the job, as I told you, of selecting these unfortunate people who were to die. He will give evidence that he went round to gacls and this is what he found - these are the people whom he was able to select; four, only four, who had been condemned to death, seventeen who had been sentenced to long terms of imprisonment, four who had been arrested near the crime, one hundred and seventy six who - I am reading his own words - had been definitely proved to have committed acts according to the current German military laws which called for the death penalty, that is to say, as I understand his statement, they had not been tried, they had merely been arrested and if they had been convicted they might have been given the death penalty. Twenty-two were cases similar to the 176, that is to say 22 men who cases had been considered and were awaiting trial - that makes 223. At that time he had only to account for 280. Now, where was Kappler going to find the others? He went, sir, to the gacls and found there were 57 Jews who had committed no other crime than being Jewish and he added those to his list which made 280. They had not, according to Kappler, committed any crime at all except that they belonged to the Jewish race. Well, we get up to our 280 but four men die se 40 more Italians have to be selected. This time, Kappler will tell you, he went to the Italian police and got them to select and they selected, according to Kappler, in the same way as he, Kappler had selected. It is not clear whether it was the Italian police who were anxions

to get rid of more people or whether it was Kappler who was not doing his work properly - it is a little strange - but it was not 320 people who died on that 24th March but 335. 335 were taken to the Ardeatine cave eventually and shot in the appalling way which I will tell you in a moment. Be that as it may, fifteen at any rate more than was intended were shot because von Mackensen and Maelzer passed on an order and did not ensure that it was carried out properly.

You will remember that I told you that Kappler said that these people were selected according to the same basis as he, Kappler, had selected. I shall call before you one witness at least who was at the gaol who will tell you that quite obviously the selection was completely arbitrary because there were some people who had been sentenced to death by German courts who were not taken and others who had, one who had been acquitted by a German court and who was taken - there is an immoment man if ever there was one who died, and others were taken whose cases had not been investigated nor had they been interrogated by the German authority.

At 1200 hours on the 24th Kappler reported to Maelser that the arrangements had been made and Maelser was satisfied except that he was surprised that only four people from the Via Rosella were going to be shot - he thought there should be more. I think I mentioned that of the people in the Via Rosella who were taken to the gaols all the woman and children were released in the early hours of the morning of the 24th and the men, with the exception of these four, as I understand it, were released during the afternoon of the 24th and it was only four people who actually lived in the Via Rosella who were shot in connection with this incident.

At this meeting between, or telephone call - I think it was a meeting between Maelser and Kappler the question arose as to who was going to do the shooting, which part of the German forces should have the job of killing in cold blood 320 - as they thought - people, or you may think that it was just any old number in excess of 320. The officer commending the police battalian was asked if he would arrange it because it was his men who had been killed. He was unvilling because of the shortness of time, there were only seven hours left, and because his men would have religious scruples. Meelser thereupon telephoned 14 Army. Though I do not think he spoke to the accused, von Mackenson, he spoke to a senior staff officer sid asked for troops to carry out this shooting. The reply was "Oh no, this is a police case so the police must do their own dirty work and do the shooting". Well, eventually it fell on that part of the German police force who were so good at doing these mass killings, the SD, and Kappler was given the job. Kappler collected all his officers and men and arranged that they should take part in the shooting. He decided that it would be best that they should be shot in a cave for reasons which you will see later, and eventually he chose the Ardcatine cave. He issued the necessary instructions that the persons to be killed were to be collected in the prisons and were to be taken to the cave and at 1400 hours, two hours after the conversation at Maclace's headquarters, this somewhat depressing party proceeded to move off.

THE PRESIDENT: Not Wehrmacht; they were of the police.

COLONEL HALSE: The police - they were a police battalion --- how they should allow this to happen without making certain that it was done in a decent way. You may think that indicates that they were not concerned in any way about it so long as they got what they wanted - terrorism of the Roman population. Well, Kappler went back to his headquarters and found one of his officers had not fired a shot so he talked to him, in his own statement, "in a conradely way" and the next time the party of five went in he stood beside him and helped him to shoot into the head of one of these innocent men-

The firing went on until 20% hours. Meanwhile Kappler had made all necessary arrangements for the Ardeatine cave to be blown in so that nobody could recover the bedies and nebody could see how the executions had been carried out. I shall show you these gruesome pictures of how those bodies were found, the way in which they were piled up in the cave when they were uncovered by order of the Mayor of Rome after Rome was liberated.

Now a report was made at 1900 hours, Kappler to Maslacr, Maelser to von Meckensen, that the execution, the shooting, was going according to plan-Nobody was told "We are killing fifteen more than you told us" and Maclaer was do disinterested in the whole affair that he did not know until two days afterwards where the execution had taken place, and when a representative of His Holiness the Pople asked for a list of names he was unable to supply them. Those are the gruesome details of the massacre in the Ardeatine cave.

with regard to the law there are one or two points I would like to address you on: first of all upon superior orders. I have no doubt that the orders in this case came from the High Command in Berlin but orders which are obviously illegal are, in my submission, no defence to a charge alleging a men has committed a war crime. The court will have in mind the relevant paragraph of the Manual of Military Law, paragraph 443 which is contained in Amendo of the Manual which lays down quite clearly that a soldier is not bound to obey an order which is patently illegal and it says this: "Undoubtedly, a court confronted with the plea of superior orders adduced in justification of a war crime is bound to take into consideration the fact that obedience to military orders, not obviously unlawful, is the duty of every member of the armed forces and that the latter connot, in conditions of war discipline, be expected to weigh scrupulously the legal merits of the orders received. The question, however, is governed by the major principle that members of the armed forces are bound to obey lawful orders only and that they cannot therefore escape liability if. in obedience to a command, they commit acts which both violate unchallenged rules of warfare and outrage the general sentiment of humanity".

THE PRESIDENT: I take it that these references are available to Dr.Keller and Dr. Christ ?

I have told counsel that I will let them have any document I am CCLONEL HALSE: reading from to-night. Following on that the German courts themselves, at the Wer Crime trials at the end of the last war, the 1914-1918 war, decided quite clearly in the case which is known as the Llandovery Castle, a trial which took place at Leipzig, that to obey an illegal order is no defence to a war crime. I read from page 55 of the War Trial Reports which was published by His Majesty's Stationery Office: "The firing on the boats was an offence against the law of nations. In war on land the killing of unarmed enemies is not allowed (compare the Hagus regulations as to war on land, para. 23(c), similarly in war at sea, the killing of shipwrecked people, who have taken refuge in life-boats, is forbidden". "Patzig's order does not free the accused from guilt. It is true that according to paragraph 47 of the Military Penal Code, if the execution of an order in the ordinary course of duty involves such a violation of the law as is punishable, the superior officer issuing such an order is alone responsible. According to No. 2, however, the subordinate obeying such an order is liable to punishment, if it was known to him that the order of the superior involved the infringement of civil or military law. This applies in the case of the accused" and junior officers of a submarine were charged with firing at the life-boats of a ship which the U-boat had sunk and they pleaded that they only did it because their captain ordered them to do it. The Leipzig court held quite clearly that they must have known that that was wrong, it was obviously a wrong order, and that therefore there was no defence to the charge.

Now, passing to reprisals I do not suggest that a belligerent is not entitled to take reprisals. If you will refer to Chapter 14, again of the Menual of Military Law, paragraph 186: "If, contrary to the duty of the inhabitants to remain peaceful, hostile acts are committed by individual inhabitants, a belligerent is justified in requiring the aid of the population to prevent their recurrence and, in serious and urgent cases, in resorting to reprisals". I do not suggest here that the commander of the Rome garrison and the 14th Army cusmander were not entitled to take some reprisals but I suggest that the reprisal, as I will show you in a minute, must first of all only be after due enquiry has been made with a view to ascertaining whether it is possible to find out the culprits; secondly, that it must be against the locality, not the population as a whole, the locality where the crime was committed and, thirdly, that they must not be excessive.

If I may again refer to Chapter 14 of the Manual, on the first point I referred to, paragraph 456: "An infraction of the laws of war having been definitely established, every effort should first be made to detect and punish the actual offenders. Only if this is impossible should other measures be taken in case the injured belligerent thinks that the facts warrant them. It goes on: "As a rule the injured party would not at once recort to reprisels but would first lodge a complaint" and that is the position in this case. But you will notice that the Manual says quite clearly that you must first make enquiries with a view to seeing whether you can bring the true culprits to justice.

Secondly I say that reprisels should be against the locality concerned. Paragraph 458: "Although collective punishment of the population is forbidden for the acts of individuals for which it cannot be regarded as collectively responsible, it may be necessary to resort to reprisals against a locality or community, for some act committed by its inhabitants, or members who cannot be identified". My submission, therefore, that if after due enquiry, which I think you will be satisfied there could not have been in this case shooting takes place at 3 o'look one afternoon, the killing as an alleged reprisel starts at 2 o'clock on the next afternoon, less than 24 hours after the actual incident - first of all then I would submit that the belligerent must make enquiries and secondly, if he fails to find the oulprit, he must proceed against the locality where the alleged incident took place. There can be no question that with the exception of the four men who were taken to the prison who were arrested near the scene of this cutrage, not one of those 335 Roman citizens was anywhere near Via Rosella on the afternoon of the 23rd March 194. They could not have been, they were all in gaol. It would be the same as if you had reprisals for an attack made on the police, let us say in the East End, and the police suddenly decided to have a reprisal against the men awaiting trial in formwood Sorubs.

The next point is that they must not be excessive. Paragraph 459: "What kinds of acts should be resorted to as reprisals is a matter for the consideration of the injured party. Acts done by way of reprisals must not, however, be excessive, and must not exceed the degree of vilation committed by the enemy".

I suggest here it would have been quite feasible before my question of shooting people started, to have made enquiries in the locality with a view to seeing whether anybody could be apprehended and charged with the offence.

Now may I refer to the leading text book on International Law, Oppenheim, Volume 2, the 6th Edition, page 47. Oppenheim is dealing with reprisals which are admissible: "Whereas reprisals in time of peace are admissible for international delinquencies only, reprisals between belligerents are admissible for any and every act of illegitimate warfare, whether it constitutes an international delinquency or not. Thus, the dermans during the Franco-German war frequently, by way of reprisal, bumbarded and fired undefended open villages where their soldiers had been treacherously killed by enemy individuals in ambush who did not belong to the armed forces". Well, let us put this case on a par with the incidents of the war in 1870. If Malzer had decided, as a reprisal, to blow up the house from which this bomb was thrown no-one would say he was not entitled to do it and if, by some mischance, a Roman citizen had been in that house at the time the house was blown then I would be the last to suggest that Maelzer had committed a war crime, or Mackensen for that matter, but I do

suggest that he is not entitled to kill 10 Italian subjects because one German subject was killed. "Again, Lord Roberts, during the South African War, ordered by way of reprisal, the destruction of houses and farms in the vicinity of a place where damage was done to the lines of communication. Or, again, the appalling atrocities committed in 1914 during the World War by the German soldiery in Belgium, Germany in so far as she did not deny them altogether, declared to have been necessary as measures of reprisal.

There is nothing in the Hague or Geneva Convention which deals with reprisals and this court must therefore consider all the text books on the subject in order that they may come to the conclusion, as a military court, whether what the accused did - if you find that - was justified as a reprisal. At the conclusion of the war of 1914-18 the Peace Treaty Commission set up a Commission of Responsibility. It was a commission on which all the them allied nationalities were involved and the names of the British members are well known, eminent Justices; Sir Gordon Hewitt, later Lord Hewitt, Lord Chief Justice and Sir Ernest Pollock. At that commission they decided what in their opinion - it was, of course, only their opinion which had not been tested by courts at all what in their opinion should be war orises and they headed their list with murder and massacres, systematic terrorism and secondly, with putting hostages to death. Now, what else was this, the Ardeatine cave, than an attempt at terrorising the Italian population in general and the Roman population in particular. Professor Lauterpacht wrote a long article in the British Year Book of International Law in 1944, if I may read two paragraphs of it which deal with the effect of the operations on reprisals.

They are on page 76 onwards, and I think you will find that they give you great assistance in coming to your conclusion in this case. "A war crime do not necessarily cease to be such for the reason that it is committed under the guise of reprisals. But, as a rule, an act committed in pursuance of reprisals, as limited by international law, cannot properly be treated as a war crime. A tribunal confronted with the plea of reprisals as a justification of the offence will be faced with a task of considerable difficulty. International law regulates, in a necessarily rough and indeterminate manner, the occasions for and the use of reprisals both in peace and in war. It postulates the requirements of a prior attempt at redress by negotiation" - that I think is obviously more in peace. I suggest one could also read in there "attempt at redress by apprehending the criminals themselves" - "of proportionality, of reasonableness, of compliance with fundamental principles of war such as respect for the lives of non-combatants, and of due consideration for the legitimate interests of neutrals. But the law on the subject is necessarily elastic. The element of reprisals may have a significant and perplexing bearing upon the plea of superior orders. It has been shown that the strength of the plea of superior orders is conditioned by the degree of heinousness of the offence and its approximation to a cosmon crime apparently diverced both from belligerent necessity and from elementary considerations of humanity. But the force of this latter consideration may become considerably impaired - though never totally eliminated - when the act has been ordered, or represented to the subordinate as having been ordered in pursuance of reprisals against a similar or identical crime committed by the adversary. The subordinate may be expected when confronted with an order utterly and palpably contemptuous of law and humanity alike, to assert, at the risk of his own life, his own standard of les and morality. This is an exacting though unavoidable test. But no such independence of conviction and action may invariably be expected in cases where the soldier or officer is confronted with a compand ordering an act admittedly illegal and cruel but issued as a reprisal against the similarly reprehensible conduct of the adversary. We may attribute to the accused a rudimentary knowledge of the law and an elementary standard of morality, but it may be more difficult to expect him to be in possession of the necessary information to enable him to judge the lawfulness of the retaliatory measures in question in relation to the circumstances alleged to have given rise to them. An example will illustrate the position; No person can be allowed to plead that he was unaware of the prohibition of killing prisoners of war who have surrendered at discretion. No person can be permitted to assert that, while persuaded of the utter illegality of killing prisoners of war, he had no option but to obey an order. But the situation is more complicated when the accused pleads not only an order, but the fact that the order was represented as a reprisal for the killing by the adversary of the prisoners of his own State" - then it is not

10

material to this case - and finally a sentence on page 77: "While it is imperative that we should bear in wind the limitations upon the prosecution and punishment of war criminals - limitations such as those following from the plea of superior orders, from the controversial character of some of the laws of wer, and from the application of reprisals - it is of equal importance that we should not in this matter lose sight of the wood for the trees. These exceptions may make the work of the tribunals more intricate and more responsible, but there is no reason to assume that they will invariably affect the issue. orders may be invoked, uncertainties of the law of war may be relied upon, and reprisals may be cited as an excuse - but that does not mean that when thus appealed to they will confound the ends of justice. They will be subjected to judicial scrutiny. They may be found sufficiently weighty to warrant acquittal; they may be considered in the light of and as having the effect of extenuating circumstances; or they may, upon careful investigation, be brushed aside as a flimmy device to cover the horrors of a war crime. At the same time, it is clear that all these three factors imply a limitation upon the punishment of war orims which cannot be disregarded consistently with the determination to conduct the trials of war criminals within the limits which law and justice impose". Those are the observations of Professor Lauterpacht, an eminent international lawyer.

> (At 1140 hours the court adjourns) (At 1155 hours the court re-opens)

(The accused are again brought before the court)

CCLOMEL HALSE: When the court adjourned I was going to get to the law at the present day by referring to the Nuremburg International Military Trial. The court will remember that the tribumal were given a charter under which they had to work and in one moment I will refer to that part of the charter which relates to war orimes. Keeping in date order I read a part of the indictment against the accused at Nuremburg. You will remember it was divided into four counts, the first charge being 'waging aggressive war and crimes against peace'; the third charge "war crimes' and the fourth count 'crimes against numanity'. Now part of the particulars of the third charge, 'war crimes', include this paragraph; "between March 1944 and April 1945, in Italy, at least 7500 mm, women and children, ranging in years from infancy to extreme old age were murdered by the German soldiery at Civitella, in the Ardestine caves in Rome, and at other places. That count you will see on page 4 of part I of the trial of German major war criminals. That says quite clearly that when the International Military Court at Muremburg gave their judgment they were called upon to make a finding whether or not those matters were a war crime.

Sir, the judgment given at Muremburg, covering as it would have to so much if it covered every part of the charge, does not specifically state whether they find any particular part of the indictment a war crime. On the other hand there are parts of the judgment which quite clearly state that the court find it was not a war crime or that it was not a crime against humanity. The judgment, as you are aware, lasted for a day and a half and I would not ask you to read all of it. You may take it from me that there is nothing in the judgment of Nuremburg to show that the court found that the killing of the Italian civilians was not a war crime as charged in count 3 of the indictment.

There are one or two references to mass cres, similar to the massacre of the Ardeatine cave, referred to in the judgment. Taking them in chronological order, the Tribunal when referring to war crimes and crimes against humanity at page 16866 said, reciting various war crimes: "The Tribunal proposes, therefore, to deal quite generally with the question of war Crimes, and to refer to them later when examining the responsibility of the individual defendants in relation to them. Prisoners of war were ill-treated and textured and murdered, not only in defiance of the well established rules of international law, but in complete disregard to the elementary dictates of humanity. Civilian populations in occupied territories suffered the same fate. Whole populations were deported to Germany for the purposes of alave labour upon defence works, armament production and similar tasks connected with the war effort. Hostages were taken in very large numbers from the

civilian populations in all the occupied countries, and were shot as suited the German purpose". On page 16895, again dealing with war crimes it says: "The practice of keeping hostages to prevent and to punish my form of civil disorder was resorted to by the Germans; an ordered issued by the defendant Keitel on the 16th September 1941 spoke in terms of fifty or a hundred lives from the occupied areas of the Soviet Union for one German life taken. The orders stated that 'it should be remembered that a human life in unsettled countries frequently counts for nothing, and a deterrent effect can be obtained only by unusual severity'. The exact number of persons killed as result of this policy is not known, but large numbers were killed in France and the otheroccupied territories of the West, while in the Hast the claughter was on an even more extensive scale. In addition to the killing of hostages, entire towns were destroyed in some cases; such massacres as those of Oradour-sur-Glane in France and Lidios in Casohoslovakia, both of which were described to the Tribunal in detail, are examples of the organised use of terror by the occupying forces to best down and destroy all opposition to their rule". No-one can forget the case of Lidice in Czechoslovakia where you will remember the whole village was destroyed and every human killed because Heidrich was shot in the vicinity. Similarly in France a few Germans were killed in the village Gradour-sur-Glane, with the result that the whole of this village was burnt and every inhabitant was killed in the church

I suggest those are similar, though possibly the number of victims killed are greater, to the Ardestine cave case, and the judgment of Nuremburg made it quite clear that the whole object was to inflict terror on the population of the occupied country. Ca page 16925 the Tribunal sets out the charter so far as war orimes are concerned: "War Crimes: namely, violations of the laws or customs of war. Such violations shall include, but not be limited to, murder, ill-treatment or deportation to slave labour or for any other purpose of civilian population of, or in occupied territory, marder or ill-treatment of prisoners of war or persons on the sees, killing of hostages, plunder of public or private property -", and so on. So again the charter expressly says those shall be war orimes, but the Tribunal could well have said that any particular one was a war crime, but the Tribunal have made no such statement and on page 16926 a submission was made by downsel for the defendants "that Germany was no longer bound by the rules of land warfare in many of the territories cooupled during the war, because Garneny had completely subjugated those countries and incorporated them into the German Reich, a fact which gave Germany authority to deal with the occupied countries as though they were part of Germany. In the view of the Tribunal is it unnecessary in this case to decide whether this doctrine of subjugation, dependent as it is upon military conquest, has any application where the subjugation is the result of the crime of aggressive war. The dostrine was never considered to be applicable so long as there was any army in the field attempting to restore the occupied countries to their true owners, and in this case, therefore, the doctrine could not apply to any territories occupied after the 1st September 1939".

Finally, sir, the Tribunal's observations against the defendant Keitel. Keitel, whom I have referred to before "ordered that attacks on soldiers in the East should be met by putting to death 50 to 100 Communists for one German soldier, with the comment that human life was less than nothing in the East. On 1st October he ordered military commanders always to have hestages to execute when German soldiers were attacked. When Terboven, the Reich Commissioner in Norway, wrote Hitler that Keitel's suggestion that workmen's relatives be held responsible for sabotage, could work only if firing squads were authorised, Keitel wrote on this memorandum in the margin: 'Yes, that is the best' ". Again an order was i sued which was referred to by the Tribunal that all civilians suspected of offences against troops should be shot without trial. That was in 1941.

The Nuremburg Tribunal does not expressly say that the Ardeatine cave killing was a war crime; it does not say that it was not a war crime. A military court for the trial of war oriminals must be well aware of the order of 18th October 1942 issued by Hitler, known as the Puhrer befell,

which ordered the killing of commandos. Many German soldiers have been convicted for obeying that order and killing commandos. It was clearly

The British and American court trying war criminals have accepted that while they accept that a reprisal can be taken, the reprisal must be approximate to the offence, and the court must in this case, as in all other cases in my submission, decide whether or not the reprisal was a lawful one. Did the accused when they gave the orders realise that they were insuing an unlawful order? I think you will be satisfied after hearing their own statement that they realised quite well that this order was not a lawful order to give.

Kappler if any of the persons who were to be shot as this reprisel had put in an appeal. Kappler's answer to that was that they had put in an appeal, but that according to the SS rules appeals did not matter very much and they would not be considered anyway. Despite hearing that You Mackensen never made an enquiries to find out whether those appeals had been dismissed. He allowed those 335 people to be shot knowing that some of them had put in appeals for mercy. In my submission, sir, this complete disregard by the sommed for the way in which these men were collected together, selected, killed, shows that they must have been firmly convinced that the killing of a number of people, not matter how, was essential in order that the terror which they wented to impose on Rome was effected, and they made mure that their troops did not take part in the shooting; they left that to the SD, the black sheep of the Mazi Perty.

Again the accused both say that they are good soldiers. I do not suggest they are not good soldiers; they are both regular soldiers, but they say now this was an SS responsibility. If it was an SS responsibility why did Maelzer not say to von Mackensen "Look here, if this is a SS responsibility why do the SS not issue the order for this killing. Why does Wolf, who is head of the SS in Italy, not issue the order for this killing? Why should we soldiers have anything to do with the killing of these hostages as reprisals? If it is an SS matter let the SS give the order". And why could not von Mackensen have gone to Kesselring and said "Why does it not come through SS channels and not through our channels. Why should we have anything to do with this?" Why did they not say as was said on another occasion "We wash our hands of the blood of these immoment victims". I suggest that this was merely again the principle in the German Army of reigning by fear and subjugation of the occupied territory. The Germans acted on that policy wherever they were and wherever they went during this war and this is merely another example of the massacre at Iddies and the killing of 50 Russians for every German who was killed in the Near East, Russian battles.

I told you that I was going to read at the end of my address the statements of the accused to show you how they came into this picture. I think it would be convenient if I read at the same the affidavits of the persons who took the statements. I understand there is no objection to the affidavits going in under Rule of Procedure 814. This is the affidavit of Lieutenant Colonel Alexander Paterson Scotland, Intelligence Corps.

(Affidavit of Lieut.Col A.P. Scotland, dated 25th July 1946, is read, marked Exhibit "1", signed by the President and attached to the proceedings)

(Statement of the accused Mackensen, dated 27th June, 1946, and English text is marked Exhibit "2", signed by the President and attached to the proceedings)

(The historical portion of Exhibit "2" is read)

(At 1230 hours the court is closed until 1400 hours)

(The accused are again brought before the court)

COLOREL HALSE: May it please you. When the court rose for the adjournment I had just finished reading the historical part of von Mackensen's statement. I will now go on with the statement.

(The remainder of the accused Mackensen's statement

is read)

Colomia Halass: The accused Maclzer was interrogated at the Disciplinary Training
Centre, Mtousa. There is an affidavit of Captain Douglas Albert Thom, Royal
Armoured Corps, and an affidavit of Mr. Hans Propper, the officer who took
the statement and the person who was the interpreter. I will read, if I
may, the affidavit of Captain Thom.

(Affidavit of Captain D.A. Thorn, dated 13th October, 1946, is read, marked Exhibit "3", signed by the President and attached to the proceedings)

(Statement of the accused Haelzer is read,
marked Exhibit "4", signed by the President and
attached to the proceedings)

(Affidavit of Hans Propper is read, marked Exhibit "5", signed by the Freeident and attached to the proceedings)

colloned HALSE: Those are the statements of the accused taken after caution and I think those quite clearly show to you whatviews the accused took of this incident. I propose to say nothing further at this stage, but now to call before you the witnesses for the prosecution.

# having been duly seorn, is examined by COLONEL HALSE as follows:-

- 2 Is your name Herbert Kappler ? A. Yes.
- Are you held as a prisoner by the British suthorities ?
- Were you a member of the SS ? A. Yes.
- What were your duties at the beginning of 1944 ? A. I was in charge of the detachment of the SD in Rome.
- How many men had you in your aussenhoussendo? A. About 60.
- What were your duties ? A. As I was told by Field Marshall Kesselring my duties were to keep order in the back of the fighting units.
- Q What is your age ? A. 39.
- Do you remember a bomb exploding in the Via Rosella ? A. I do.
- Q Was that 23rd March 1944 ? A. Yes.
- Q At what hour ? A. Between 1400 and 1500 hours.
- When did you first hear of it? A. I cannot remember exactly, but approximately about 20 minutes after.
- Q where were you ? A. In my office.
- Q Where was this ? A. In the Embassy.
- What did you do? A. I collected immediately some of my men who were in the vicinity, and I do not remember whether we went in one or two larries to the place where the thing was committed.
- Did you see anybody on the way? A. Yes, about 100 or 120 metres before I reached the point I met the then Consul, von Moelhausen.
- ? That is the Consul at the Embassy ? A. That is so.
- Are we speaking of the Embassy to the Mussolini Government or the Embassy to the Vatioan? A. I am speaking about the Embassy to the Republic, the Italian Republic, the Republic of Mussolini.
- Do you speak English, Kappler ? A. I understand a little bit.
- Did the Consul tell you anything when you met him ? in an expited way my car. A. Yes, he stopped
- Did he tell you who was at the scene of the incident? A. He told me that I should see to it that nothing rash should be done because apparently they had the intention to blow up the whole quarter.
- 0 Did he say who intended to blow up the whole quarter ? very excited against General Maelser. A. I believe he was
- Is he the accused in the dook ? A. Yes.
- 1 Did you then go to the scene of the incident ?
- Q Did you see General Maelser there ? A. Yes.
- 2 Did you have any conversation with him ? A. Yes.

- Will you tell the court what you said to him and what he said to you?
  A. Of course I cannot remember literally now after two and a half years exactly the words which were spoken between us, but I remember quite exactly the gist of this conversation.
- What was the gist of the conversation? A. The general was very depressed; he had teers in his eyes and he showed no the bodies of these Germans who were lying about in a hardly recognisable state. Then I remainder that he said also approximately these words that the whole quarter should be blown to pieces, but of course after a few words of conversation the realisation of such a plan was excluded.
- 0 Why was that excluded ? A. I believe that it was quite sufficient that I talked to him in a rather convincing voice, and I said, "I do not think that is really necessary and spart from that I do not think this practicable.
- 0 What action was being taken by other people in the neighbourhood ? A. Do you mean from our soldiers ?
- Yes ? A. Some action was taken already before I arrived.
- 0 What was that action ? A. The search for the culprits and the searching of the houses in the vicinity.
- Had an Italian citizens or Roman citizens been arrested? A. Yes, Italian citizens were already under arrest and they were being arrested during the
- Where were they being put? A. They were put near the railings of the Parberini Palsoe which lies in the Dello Quatro Fontane.
- with their hands up and faces to the wall? A. Handsup, yes, but I do not
- Did you have any other conversation with Maelser? A. I do not rewhether I still had a further conversation there and then with him.
- Did you understand who had given the order for the searching of the houses?
  A. I do not know who gave this order, but when I arrived I carried this order
- Was Oberfuhrer Dollmann present ? A. Yes.
- Did you later see Maelser in his office ? A. Yes, I went to see him.
- He was the commandant of Rome, was he not ? A. Yes, he was commander of the open city of Rome.
- At what time was that ? A. About 1630 hours; maybe a little bit later.
- 0 Did you hear Maelzer having a conversation on the telephone ? A. Yes.
- Who was that with ? A. With HQ 14 Army Group, and I believe with won Mackensen.
- You only beard the Maelser end of the conversation? A. Yes, but I do not know whether I paid particular attention at that time to this conversation; as a matter of fact, I do not think so.
- Can you remember anything about that conversation? A. I got the receiver after Maelser finished his conversation and from my conversation with Mackensen I could draw some conclusions about which matters the previous conversation was held.
- What was your conversation with von Mackensen ? the gist, but a very exact gist. A. Again, I can only give

- Had you met von Mackensen before ? A. I do not think so
- How did you know it was won Mackensen on the other end of the telephone?
  A. I do not know; either Mackensen himself said that Mackensen was his name or maybe Mackenser told me so.
- Tell us what the conversation was about ? A. The question was what sort of reprisal actions should be taken for the outrage which was committed in the Via Rosella.
- Yes? A. Mackensen and myself -- both of us were convinced that from higher authority an order will be issued that Italian citizens in the ratio from one to 10 would have to be shot as a reprisal, and the result of our conversation was the following: if it will remain the responsibility of Army number 14, i.e., General von Mackensen to see about these reprisals then he would issue the order that the ratio should be one to 10 of those who had to be shot, and that would be also the number which would be published, but in reality it would be also the number which would be published, but in reality it would be also the shoot only so many -- only so many people should be shot as are worthy of death.
- THE PRESIDENT: Did he say "my task would be" or did he not ? A. Yes, my task, my duty. Concerning the conversation between Mackensen and myself shout the words "worthy of death" I would like to give an explanation to the court.
- THE JUDGE ADVOCATE: I am not getting a very good picture of this conversation. He says he is talking to von Mackensen on the phone, and then he said the result was that if it remained on Mackensen to take reprisal action it would be a shooting in the ratio of one to 10, and that would also be the number of the publication, but in reality it would be "my task to shoot only so many as were worthy of death". Whose task?
- THE INTERPRETER: Empler's task.
- colonel HALSE: Why do you say "my task" ? A. At this moment it was not really the question of the execution itself; it was the question, to say it in a trivial way, to provide the lists, the nominal roll, of those who had to be executed.
- Do I understand you to say that it was your duty to find the bodies who were to be shot? A. That is so.
- And that though there would be publication that the shooting was 10 to one and the order was shooting of 10 to one, less than 10 to one would in fact be shot? A. Frovided that it would remain the responsibility of you Mackensen to issue the order for reprisal.
- THE JUDGE ADVOCATE: Do I gather that at this time won Mackensen was simply saying, "If I get certain orders you will do certain things". A. That
- Will you explain that very shortly? A. So that Italian authorities should not be mixed in the shorting of hostages it was the usage that only such people should be shot who either were condemned to death or who were awaiting a condemnation to death.
- THE JUDGE ADVOCATE: I am still getting no ploture. Does the witness mean that had been the practice or that was to be done in this case? A. The usage, the practice.
- COLONEL HALSE: Do you mean that it was the practice in the past only to kill for reprisals people who had been sentenced to death or who had been charged with an offence which involved the death sentence? A. In those cases where I had to choose the victims, yes.
- 0 So this is not the first experience you have had of reprisals ? it is not. A. No

- What was the ratio of reprisals in other A. Always one to lo.. cases you had to deal with ?
- Did you always shoot one to 10? A. Yes, because it was always a number which could easily be reached at without taking immodent people.
- Do you mean by innocent people those who were not in Jail ? A. No, more.
- THE PRESIDENT: I do not understand that ? A. In my opinion if somebody is in prison he is still not guilty.
- COLONEL HALSE: So you did not take any of those? A. Yes, as far as they were condemned to death or were expecting the death sentence.
- Now could anybody expect the death sentence unless he had been convicted A. If I may give an example. If a member of an organisation which was hostile to our forces at that time, if such a member was found with a weapon in his hands then it was the usage that such a man would be convicted and condemned to death.
- And would you take such a man as being one worthy of death before he had been tried by a court? A. If I had the order to shoot people in the ratio of one to 10 then I would have taken such people, so as to avoid to take other Italians who were less guilty.
- This policy of taking one to 10 of people who were in jail; was that well-known in the German Army in Italy 0 A. I do not know, but in my circles where we had to deal with them it was known.
- THE PRESIDENT: That means in the SD ? A. No, what I mean is in the region of Rome; whether it was know to the whole army in Italy I do not know but in the region of Rome it was well-known.
- question of people who had not been tried as people being worthy of death? A. I believe I have apoken with him about it because of the himuber of victims which was asked for. Whether this ratio of 32 ---the high
- 2 Do you mean you made it clear to Mackensen that you would have to include in your number people who had not yet been tried? A. Whether I did this with these words I do not know but - believe that Mackensen himself asked me whether we shall have enough people available because of this high number required.
- What did you tell him when he asked you that? A. I said before that I believe that this conversation was held, or this question was asked. Whether this question was really raised in this rather short conversation, and if the question was raised whether it was answered I really do not become. The result of the conversation was what I have said already before.
- THE JUDGE ADVOCATE: It just does not make any sense at all to my mind. What he said was, "I believe I spoke to von Mackensen about the high number and I believe Mackensen asked me if we should have enough available because of the large number of people involved." The question asked him, and what he might apply his mind to, is what does he say he answered to that question that the senior officer asked him? A. I said that I do not remember really this question of von Mackensen, but that I assume, that I believe that he asked me this question and that is the reason that I cannot really my exactly what I might have answered to such a question.
- COLONEL HALSE: Passing from there, did you do anything after your telephone conversation with Mackensen? A. I believe I want back to my office.
- When did you next hear anything of this incident ? A. It is quite natural

of course, that almost continuouse conversations had taken place between the various offices and particularly, almost exclusively, dealing with this incident.

- Do you remember any further telephone calls with instructions coming from Maelsen's headquarters ? A. Yes, I do.
- Who did that telephone call come from ? A. I believe to remember surely from Major Bohn.
- ? Was he the lA? A. Yes.
- At what time was that, about ? 2000 hours. A. At approximately 1900 hours, perhaps
- What did Bohn tell you? A. Rohn issued an order to me.
- What was the order? A. The order was 10 times the number of the victims have to be shot within 24 hours.
- Did Rohm tell you who that order came from ? A. He surely said so and I believe to remember now that he said from Army headquarters.
- Q Do you mean 14 Army ? A. No, Army Group 'G'.
- That is Kesselring's headquarters ? A. Yes.
- If you refer to him again will you refer to him as OKSW.

THE PRESIDENT: What does offer mean ?

COLONIA HALSE: Ober Befehls-haber South West.

THE PRESIDENT: That is his headquarters ? A. That is Kesselring's

- COLOREL HALSE: CAN 14 is Mackensen. (To the witness) As a result of this order which you got, did you have any other telephon conversations? A. Yes.
- With whom did you speak? A. I telephoned with General Harster who was in charge of the secret police.
- Did you tell him what orders you had got ? the contents of this telephone conversation. A. Yes, I recollect exactly
- Whose duty was it now to select the unfortunate victims? A. Exactly it was the duty of the person who got the oblar.
- Who was that ? A. In my opinion the German Commander of the City of Rome.
- Who, in fact, did do the getting of the names ? A. Fardy mysolf.
- THE JUDGE ADVOCATE: I do not follow this at all. I understand that a verbal conversation over the telephone comes from Kesselring's headquarters saying that 10 times the number of victims have got to be shot within 24 hours. Who is that order to ?

COLOREL HALSE: Boks is lA to Maelzer.

THE JUDGE ADVOCATE: Who has this order came to ?

COLOUGI HALSE: I understand that Bohm received the order from Kesselring's

THE JUDGE ADVOCATE: I appreciate that. When an order is given somebody must be the recipient of the order to carry it out. I would like to know who was given the order to carry out -- Resselring's order.

COLONEL HALSE: When you had the telephone conversation with Bohm was the order given to you to put into execution ? A. No.

- Q Why was the order given to you ? A. As I have mentioned before it was the usage that not just any Italian citizen was taken as a victim.
- Q Kappler, do you say the order was given to you to carry out ? A. The order for the shooting in this moment not.
- Was the order that ORSW had said the ratio of 10 to one would be shot within 24 hours handed to you for executive action. In other words had you got to do anything on that order ? A. Yes.
- What had you got to do? A. I had, if I may say so, to choose death worthy persons and to draw up the nominal roll.
- So you had an executive function in this matter? A. Not a function which came out normally by habit which I took over when the previous cases it seemed more humane to me to shoot, as I used to do it, to choose so-called death worthy, instead of choosing other people which might have been done if another command had to deal with the matter.
- At any rate, Kappler, you did the selection this time? A. Yes, in the numerical limitation as I have told it before.
- Q First of all what happened to the people who were taken to prison from the scene of the bombing; first of all, women and children? A. Women and children were handed over by my personal order to the Italian police with an instruction to take care of them and to release them afterwards.
- Q When is afterwards ? A. I believed they were released during the same day, but at the latest the following morning.
- ? The 23rd or the 24th ? A. Women and children on the 23rd.
- Now the men who were captured ? A. The captured men were released, on my explicit order, on the morning of the 24th, with one exception.
- Who gave you the order to do that, or did you do it on your own initiative?
- Q Did you ever tell Maezler or Mackensen that you had done so ? A. Surely to General Naezler; General Mackensen, I do not believe so.
- Q You had to get the names during the night, had you not, because the execution had to be finished by 7 o'clock on the 24th ? A. Yes.
- Now did you collect the names of the people who were to be killed, very shortly? A. I cannot recollect now the musters, but I can give the groups without numbers. Firstly, some prisoners who were already sentenced to death.
- Q How many of those were there? A. In prison one and a half years ago I had a reconstruction of the figures but it was taken away from me and now after two years and a half I cannot recollect the figures. I can give the figure roughly.
- Q Give the figure roughly; first of all, how many condemned to death ?
- Q Let us put it down to the maximum of five. How many people had you selected who were arrested near the scene of the incident? A. Two; at the most four but I believe two.
- What is the next number? What about people who had been sentenced to a long term of imprisonment? A. A decision on that was given by the superior Army Judge.

- That is not the question I asked you. I asked you how many were selected of people who had been sentenced to long terms of hard labour ? A. It might have been 15 or 20.
- ? They, of course, were not certain of death ? A. No.
- liow many cases were there, according to your records, when men might be found guilty of a crime involving the death penalty? A. It was either 176 or 167 but I believe it was 1.76.
- Was there any other type of case ?
- THE JUICE ADVOCATE: I am not clear on that type at all. Does that mean people who occumitted a serious offence, or people who were bound to be sentenced to death?
- COLONEL HALSE: Do you mean that these 176 were charged with serious offences or that there was evidence available which would warrant then being charged with a serious offence involving the death sentence? A. I have not understood.
- Of these 176 were they charged with an offence involving the death sentence, or was there evidence against them available in the files which would justify them being charged with an offence, involving the death penalty?

  A. My reply is yes, because either it was this way or the other.

THE PRESIDENT: You mean the total was made up of both categories ?

- A. Which I selected, not, but there were two or three other groups which were finally put on the list, but I did not select the names.
- 40 I am not talking of the people who were selected by the Italian police; I am taling of the ones that you selected when the number of victims was 28 ? A. Yes.
- According to my figures now you have given us 205 ? A. The 57 Jews which were at the hands of the SD, who according to orders should have been sent to Mauthausen, were put on the list.
- Q Were they deserving of death ? A. No.
- 0 Thy did you put them on the list? A. They were put on the list because somebody had to be put on the list and because I had to draw up the list from these people which I had at my disposition in the jail.
- 0 Did you tell anybody in the Army that you had added 57 Jews to your list. When I refer to the Army I mean Maczler and his Staff or Mackensen and his Staff? A. It may be that I mentioned it in a conversation which I had on the following day with General Maelser, but I am not sure.
- Did you have to render a return of how you had made up the figures ? a military HQ, not. A. To
- To whom ? A. It was not requested that I should render the return, but I did it on my own initiative to EDS. According to orders I had to submit the list to General Maelser, but I took it again with me.
- 0 Did you tell him then how the list was made up ? A. Ferhape at this moment
- That is to say the majority of the people on the list had not been sentenced to death ? A. Yes.

THE PRESIDENT: He said he was ordered to take the list to Maelser later

COLOREL HALSE; He said next day at 12 o'clock when I raised the question of

of the Jews earlier. Then you remember he told Maelzer that the majority of the people were not sentenced to death.

THE INTERPRETER: "It happened on the next day and I took this nominal roll with me."

THE WITNESS: I know sure that General Maelser did not check this list and did not look through it.

COLONEL HALSE: One more question of how you reached the figure of 280 which you were working on at that moment. You have given us at a maximum 205, plus the 57 Jews, making a total of 262. Do you rememberhow the other 18 were made up?

THE JUDGE ADVOCATE: Before he put the Jews on he knew there were 32 deaths ?

COLONEL HALSE: I think he says 28.

THE JUDGE ADVOCATE: What does he say in his statement ?

OCLONEL HALSE: When you added the 57 Jews was that when the victims were 32 or 28 ? A. 28;

- So now when there were 28 policemen killed we have got to 262 victims; how did you collect the other 18 ? A. I have stated already before that the figures which I can give today are very approximate only. In the interrogetical of 15th August 1945 I made an exact statement of the figures.
- Q All I want to know is this: the maximum number of people who had been sentenced to death by courts who were on this list was five, was it not ?
- THE PRESIDENT: I thought he said three to five just now? A. But I stated exactly out of my memory today. I can give the figures only very roughly in los; if the difference is 10 up and 10 down ....
- COLONEL HALSE: Perhaps you will, during the adjournment, write down how you got to the 280 and let us see it when you come back? A. Would it be possible to bring this tomorrow; I have in the jail a piece of paper where it is written down.

THE HRESIDENT: That is to refresh his memory ?

COLONEL HALSE: I was going to give him the chance now to do the mathematical calculations. In his evidence he said 12. I will not press it.

THE PRESIDENT: That is all you want to know.

COLONEL HALSE: That is all I want to know.

(at 1550 hours the court is adjourned until 1610 hours)

- COLONEL HALSE: Kappler, how did you get the names of these 200-old people who were in prison? A. I gave orders in the evening and also during the night to the other officers dealing with this matter to provide me with suitable names, and these proposals from the various offices were handed into me at the same time with the files concerning the persons, and by studying the files and cometimes even making personal investigations with thereople concerned, after having done this I made the decisions to the best of my ability and knowledge.
- Q Are these the sort of documents you saw ? (harded) A. No, they are not.
- Q Do you know what those are ? A. These are only index cards concerning prisoners and have nothing to do with this matter at all.
- You got these mames then from your own officers; what about the courtmartial at Rome, did they give any information? A. Yes.

- 0 And who gave you those names ? Rome, Dr. Winden. A. The president of the court-martial in
- 0 Were there any other courts you had to go to to get the names ? A. No, no other courts.
- So it was prisoners of the SD and prisoners of the Army Court-martial in None who supplied the names ? A. Yes, and the Italian police.
- Fassing to 24th March, you then heard that four more police had died, did you not ? A. That is so.
- Q You had to find another 40 people ? A. 50.
- Q Why 50 ? A. On the day before there were 280.
- And then four more died, so it should then be 320 ? remember not 32 but 33 were killed. A. As far as I
- So you looked for 50 more bodies; 50 more viotime? A. Yes
- How did you get those; your oupboard was bare was it not ? A. yes, that is
- There did you get them from ? A. I went to the Italian police
- Did you give them any instructions as to how the names were to be selected? A. I did not give them any orders or instructions, but I told the chief of the Questure to see and select those people according to the same ideas as I selected mine. He was the chief of the police.
- Q Was that Corutti ? A. Cerutti.

THE JUDGE ADVOCATE: When it mays Caruse, who is that.

OCLONEL HALSE: When it says Caruso who does that mean ? ohlef of the Questura. A. Caruso, he was

- Is that the police as well ? A. Caruso was chief of the Juesture, and the Questure was a branch of the police, the regional branch, and Caruso was subordinated to the deputy chief of the police at that time named Carutti.
- The Italians selected their bolles, did they ? A. Their duty was to select
- According to the instructions which you had given them, that is to say the people must be deserving of death ? A. That is so.
- 2 Did you concern yourself to find out whether the people whose names you had been given were worthy of death? A. No, concerning those 50Idid not make any investigations. I left the responsibility for the names of those 50 to the Italian suthorities. At the beginning of my conversations with them I asked them whether out of the hig number of prisoners available they could provide 50 according to these ideas I set out to them.
- but you did not mind who they produced, is that right? A. I could not have any influence on it and did not want to have any influence on this.
- THE JUDGE ADVOCATE: Would you ask what sort of orine the Italians had

COLOREL HALES: The Italians I am talking about now.

THE JUDGE ADVOCATE: The 50.
against the German state.
to have consitted. I understand the other who committed offences what are these that the Italians held supposed

OCLOWEL HALSE: What crimes had these people whom the Italians held counitted or were alleged to have counitted? A. I do not know all the details but I do remember some particulars concerning these 50.

- Let us hear of some of them? A. I remember one case; a lieutement in the public security who had been alleged to have committed espionage on behalf of the Allies whilst being a member of the public security.
- Any other cases? A. And other examples were mambers of the resistance movement who were arrested by the Italian police on their own inlative because of the possession of arms, or similar origes.
- Did youknow that one of the people who was shot had been acquitted by a court ? A. No, I hear this for the first time today.
- I want to come to a conversation that you had with Maelzer on the telephone, or was it personally on the 24th ? I think it was a conversation, a visit ? A. Yes, a visit.
- What time was the visit? A. Approximately 12 o'olook.
- what did you tell Maelser? A. That I had prepared with great pains this needinal roll and that I had got it with me.
- Did you tell him how the nominal roll was made up? A. I know for certain that I mentioned that the Italian police had to provide about 50 because those 50 were not fixed nominally; they were only fixed as a number, but not the names of the 50, because the 50 were only promised for later by the Italian police. But I do not think that I gave any details concerning the other groups.
- Did he ask how the people were made and whether they were all death worthy ?
  A. I believe that General Maelser said "You yourself must take the
  responsibility for it".
- Take the responsibility for what? A. For this nowinal roll which I brought to him for that purpose. As I said before, I cannot remembered course, every word but I do scoole to the possibility that denemi Maelser did ask that question but I cannot be sure.
- Did he ask any questions about how many people from the Wia Rocella were being shot? A. Yes, I do remember quite clearly that that was one of the questions. That is one of the few things which I resember quite clearly and definitely.
- Instead of talking about it so many let us hear what it was he said?

  A. General Maelzer asked me what happened to those people who were arrested at the place where the orime was committed and I told him also how many out of those were to be on the nominal roll, and how many and for which reasons were released.
- Did he say anything about that, because you only told him there were four or five going to be shot, did you not? A. Maybe only two; I believe General Maelser was surprised about the law number of these people. Yes, but that is quite clear because everybody wee who is not a professional policeman imagines that on the place of a crime, where it is committed, a bigger number of criminals should be found, but, of course, for a professional policeman it is not the case.
- However, you made it quite clear to him that there were only a very few people from the Via Rosella being shot? A. Yes, I did and I gave the reasons for
- Did you have any other conversations with Marzier as to the number of people that you had available yourself and could produce? A. I believe this was the only conversation I had; I cannot remember now any other.
- 2 You do not remember an occasion of 200 being mentioned ? A. I do not know

of such a case.

- Q During this discussion at midday was there some discussion to to who was to do the shooting ? A. Yes.
- Who was it suggested should do the shooting first? A. I believe this was not a suggestion; it was understood as natural that the shooting should be done by the unit concerned, which means the unit that suffered the victims.
- That was the police battalion under Dobrick ? A. Both the Third Battalion and the 11th Regiment under the leadership of Major Dobrick.
- Were you present when he was asked by Hawaler if he would do the shooting ?
- Q Did he say he would do it ? A. Yes, first.

THE PRESIDENT: That he would do it ? A. Yes.

- COLONEL HALSE: And afterwards; you say first he would do it. Afterwards was some objection made ? A. There was an objection made with regard to the technical possibilities of the carrying out of this order due to the short time available.
- Who raised the objection ? A. Dobrick.
- Did he say any other reason, other than the shortness of time ? A. No, he did not give any other reason. It seems I was not well understood.
- Q Go on, explain ? A. He first was quite willing to take over this duty but when during the conversation it came up that due to the shortage of time the shooting, technically, could not be carried out as it was normally he mentioned that he could not require his men to do that.
- What do you mean by that; is it that you mean that it would have to be a rush job and he could not do proper firing squads ? A. No.
- What were the technical difficulties? A. Major Debrick saw the technical difficulty in the point that he could hot put 10 men in front of two or three victims at eight or 10 metres. To do this it would have required 40 or 45 hours to carry out the shooting.
- That is exactly what I asked you; I do not know if the interpreter got it wrong. I asked you, was it because they could not have proper firing squade? A. I misunderstood the question. That was the difficulty.
- Q Maelser having failed to get Dobrick to carry the baby, who did he go to next ? A. After General Maelser and myself did not succeed to convince Major Dobrick to take over the job General Maelser applied to the 14th Army Corps.
- Q It is not the Army Coppe; I think it is 14 Army.

THE INTERPRETER: 14 Army.

COLONEL HAISE: Who did he ask for there ? A. Colonel Hauser, Chief of Staff.

- Q Did he ask for troops ? A. He declared that Major Dobrick would not take over the job and asked that a unit of 14 Aray should be detailed for this shooting.
- Q Did he say how many men were wanted ? A. I believe not.
- What was General Hauser's answer to the request for troops ? A. General Maelzer communicated Colonel Hauser's answer, after he had put down the receiver, to me literally: "The police is concerned; the police is to do the job."

- Who did that fall on? A. There were only two police departments in Rome, the SD, the Security Police, and the police for maintaining order. The police for maintaining order could not take over the job and General Maelzer agreed to the objections and reasons of Major Dobrick and then mentioned: "So it will remain on you".
- Q Now the answer could very simply have been to my question: "I had to do it".
  Did you discuss with General Maelser how the shooting was to be carried out;
  "yes" or "no"? A. I believe, no.
- Q Did you say that you had only got 60 men to shoot 330 people? A. It may be anyhow General Maelser knew of the strength of my unit.
- Q Did you say to General Maelser: "I shall not be able to do it by the time limit"? A. No, I did not say that.
- Q Did he point out that you had not got very long? A. It was agreed that it had to be carried out within one afternoon.
- Now, then, you had got the job. Did you see a communique that was going to be put out about this shooting? A. I did not do it but a communique was issued.
- Q I did not ask you if you did it; I asked you if you saw a communique which was going to be published. A. Yes, I have seen one.
- Q What did that communique say? A. This communique said that 320 Badglion and Communist elements have been shot as an atonement for the vile crime committed in Via Rosella.
- That was before the shooting took place, was not it? A. When I heard about it for the first time it was before the shooting.
- Q But you knew, of course, that there were going to be 330 shot, did not you?
  A. In that moment when I heard about it there were only 320.
- Q Did Maelzer know before the shooting took place that there were 330? A. I do not know that.
- Q Were there 330 names on the list that you gave to Maelzer? A. No, there were 280.
- Q How did you carry out this shooting let me try to make it short? A. The shooting was carried out in groups of five.
- Q Did you tell your officers and men how to go about it? A. Yes.
- Q All your officers and men had to take part, did they not? A. Yes.
- Q Did you select a place for the execution? A. I had the place selected by one of my officers.
- Q That was a cave, was it not? A. Yes.
- Q Known as the Ardeatine Cave? A. Yes.
- Q Did you have a party at the prisons to get the men on to the lorries?

  A. My prison?
- At the prisons, all the prisons? A. One of my officers was detailed for the transport.
- Q How were the people to be taken out with their hands tied behind their backs?
  A. With their hands bound, yes.

- 2 I think the transports moved off, the first one at 2 o'clock? A. Approximately 2 o'clock, yes.
- And were you at the cave to meet the first party that arrived? A. Yes.
- Did you have a list to sheek off the man as they came into the cave to be shot?

  A. I personally not, but one of my officers was detailed to do that.
- Did he have the list of the whole 280 and 507 A. Only 280; the list of Italian prisoners were still missing.
- Then the trucks arrived five people were to ken out at a time, were they not?
- What happened then, did they meach in the cave? A. A. Yes, always in groups of
- What were your men armed with? A. With their own weapons, the sub-machine gums.
- When they got to the end of the cave had you given orders as to what they were to do, how they were to be shot? A. I hadgiven an order that they were to be shot in the back of the head.
- Q Were they to be standing, sitting, or what? A Kneeling.
- At what range were your men to fire? A. On a very short range without putting the arm dn the victims body.
- Did you have any doctor there to see that the person was dead? A. There was no medical officer but a medical orderly of my H.Q.
- Please answer my question. Did you have a doctor at the cave to make certain if the people who were shot were dead? A. No.
- O The first party were shot by men of your unit were they not?
- And the second party of five, did you assist in the shooting? A. un the second or the third.
- And you took the men up to the end of the cave; you marched the men up?
- ? You ordered them to kneel down? A. No.
- who ordered them to kneel down? A. One of the officers.
- Q And then you fired A shot? A. Always five men on order fired their shot.
- Q One shot into each body? A. Yes.
- Did you satisfy yourself that this man that you shot in cold blood was dead? A. As far as is possible for an unqualified man, yes.
- Having done your shooting, what did you do? Did you leave the cave or stay in it? A. Ho, I returned to my office.
- Did you see that the lists were being aheoked properly? myself that this was done. A. Yes, I did convince
- Had the 50 names arrived from the Italians? A. Only such later.
- 2 Did youmke any arrangements about the cave ----

THE JUDGE ADVOJATE: I am not clear; were some Italians shot before the list

- COL. HAISE: (To the witness) Were any of the persons from the Italian prisons shot before the list arrived? A. I do not know, but I do not think so.
- THE JUDGE ADVOCATE: You must surely remember. Even if it is a long time ago you surely must remember whether you checked off the Italians and saw that the right Italian was shot before he was executed? A. It was the duty of another officer Hauptsturmfuhrer Brimke, to check these lists and as he did it in the other cases I must assume that he did the same thing in the case of the Italians.
- COL. HALSE: You do not think that an Italian would be shot without his name appearing on a list? A. I cannot imagine how really it could have happened.
- Q What had you decided to do with the cave where these unfortunate people had met their deaths, or might have met their deaths you did not know whither they had or not? A. I wanted to blow up this cave in a distance of about 20 metres from the corpses and that is also what happened.
- Q Did you tell anybody you were going to do that? A. I did.
- Q Who did you tell? A. One of my officers named Kuhle who did it with the engineers together.
- Q I put my question badly, I am sorry. What I meant was did you tell Maelser or von Mackensen that you were going to blow up the tunnel? A. I do not think so but "to blow up" is not the right expression "to blow in".
- A. Yes, I went for a second time.
- Q And you found then that one of your officers was not quite so cold blooded as the rest, did not you? A. Yes.
- Q That was Haughturafuhrer Wetjen? A. Yes.
- Q What did you do with him? A. I spoke with him as a friend, as a comrade.
- Q Then what did you do, having spoken to him as a friend and a comrade? A. I stood near him and whilst he was firing I was firing myself at the side.
- Q You went in with one of the parties of five? A. Yes.
- Q Did you have to send somebody for Caruso's list of names? A. Yes, because it was getting darker and darker, so I had to send another officer named Tunath.
- Q Ani did he get the list? A. After having returned to my office I had to 'phone up again but after this telephone conversation he did finally get the list.
- Q At what time would that be? A. Well, it was the end of March, about half an hour before dusk.
- When did you leave the cave firmlly? A. I do not remember exactly but it might have been an hour before dusk.
- When did the executions finish, at what time? A. At dusk.
- Q What time was dusk, do you remember? A. I am under oath and I really cannot say at what time it was.
- Well now, was there any communication from Maelser's headquarters? A. Yes.
- Q When was this? A. When I returned to my office.
- Q For the first time or the second time? A. The second time.
- Q So that would be after 7 o'clock? A. Yes, approximately after 7 o'clock.
- Q But you said that the report had to be in at 7 o'clock. A. It was not an order

that the report had to be in at 7 o'clock or 1900 hours. The order was that the execution had to be finished within 24 hours which meant, in reality, before dark.

- Q Did you report to Maelzer that the execution had been completed? A. Not to Maelzer but to Major Dobrik.
- Q That is his la ? A. That is so.

THE PRESIDENT: Which means --?

THE INTERFRETER: Intelligence officer.

COL. HALSE: GSO.I.

THE FRESIDENT: Tactitian, operation?

THE WITNESS: Operation.

COL. HALEE: At what time did you report to Bohm?

THE PRESIDENT: He has already said that.

THE WITNESS: After having finished at dusk, after seven.

- COL. HALSE: The report was sent in after the execution was completely over? A. The report was given by telephone, not in writing.
- When did you first know that 535 people had been killed? A. On the next morning.
- Who reported to you? A. Cast. Priebke.
- Q He was the man who was checking off the names against the list, was he? A. That is correct.
- So, on your reckoning, it was five more than you arranged and, on the ten to one ratio, it was fifteen more than those ordered by higher authority? A. I do not understand this.
- Q You say you selected 280 and 50 from the Italians? A. Yes.
- Q The order that you say was issued was a ratio of ten to one? A. Yes.
- Q There were 32 policemen killed? A. 33.
- Well, anyway there were five more then your figure of ten to one? A. I heard even six more.
- Q 336, you heard? A. That is so
- Q Did you make any enquiries as to how these six unfortunates got into the vans? A. Yes.
- What was the result of your enquiry? A. The Italians provided a nominal roll obtaining 56 instead of 50 and this nominal roll was not numbered there was no sequence, 1, 2, 3, 4 to 50.
- And nobody counted to see whether there were 50, 56 or 100? A. Yes, that was missed to to so.
- And did you tell Maelzer that six more people had been killed than he ordered?
- Q Did you tell anybody else in authority that six more people had been killed than you were ordered to kill? A. To my own higherauthority I did report this fact.
- Who was that, Dollman? A. Hauster.

Q Did Dollman have snything to do with this shooting in the Ardestine Cave? A. No.

(At 1705 hours the Court adjourns until 1000 hours to-morrow morning, Tuesday, 19th November, 1946)